



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

| 2022 ANNUAL MEETING OF THE BOARD OF TRUSTEES |                         |
|--|-------------------------|
| Friday, June 24, 2022                        | Ballroom, Student Union |
| 10:15 a.m. (EDT)/9:15 a.m. (CDT)             | Knoxville, Tennessee    |

## AGENDA

- I. Call to Order and Invocation
- II. Roll Call
- III. Opening Remarks by the Chair
- IV. Request to Address the Board *[if any, not heard in a committee]*
- V. President's Address
- VI. [Appointment of University Officer  
\(UT Institute of Agriculture\) — Action](#) ..... Tab 1
- VII. [Approval of Compensation and Other Terms of Employment  
of Former Officer — Action](#) ..... Tab 2
- VIII. [Adoption of In-State Residency Classification \(Veterans and Military-Affiliated  
Individuals\) — Action](#) ..... Tab 3
- IX. Board Governance Matters — Action ..... Tab 4
  - A. [Election of the Chair of the Board](#)..... Tab 4.1
  - B. [Appointment of Standing Committees and Chairs](#) ..... Tab 4.2
- X. Approval of Revised Board Policies — Action..... Tab 5
  1. [Board Policy BT0001 – Statement of Governance and Trustee Commitment](#)..... Tab 5.1
  2. [Board Policy BT0002 – Code of Ethics for Trustees](#) ..... Tab 5.2
  3. [Board Policy BT0003 – Conflict of Interest Policy for Trustees](#)..... Tab 5.3
  4. [Board Policy BT0008 – Naming of Facilities and Other Assets](#)..... Tab 5.4
- XI. [Adoption of Proposed UAPA Rules — Action](#) ..... Tab 6
  - A. [Student Code of Conduct \(UT Southern\)](#) ..... Tab 6.1
  - B. [Library Fines \(UT Southern\)](#)..... Tab 6.2
  - C. [Parking Rules \(UT Southern\)](#) ..... Tab 6.3

\*\*\* RECESS \*\*\*



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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- XII. Committee Reports:
- A. Report of the Audit and Compliance Committee
  - B. Report of the Education, Research and Service Committee
  - C. Report of the Finance and Administration Committee
    - 1. [FY 2022-23 Operating Budget \(including Salary Plan, Student Tuition and Fees, and Room and Board Rates\) – Action](#) ..... Tab 7
    - 2. Capital Projects – Action ..... Tab 8
      - a) [Capital Outlay Funding Requests, FY 2023-24 through FY 2027-28](#) ..... Tab 8.1
      - b) [Capital Maintenance Funding Requests, FY 2023-24 through FY 2027-28](#) ..... Tab 8.2
      - c) [Revenue/Institutionally-Funded Capital Projects, FY 2023-24](#)..... Tab 8.3
      - d) [Capital Demolition Funding Requests, FY 2023-24](#) ..... Tab 8.4
- XIII. [Annual Presidential Performance Review – Action](#) ..... Tab 9
- XIV. UT Health Science Center Update – Information ..... Tab 10
- XV. [Consent Agenda – Action](#) ..... Tab 11
- A. [Minutes of Prior Meeting](#)..... Tab 11.1
  - B. [Resolution Appointing a Managerial Group for U.S. Government Contracts](#) ..... Tab 11.2
  - C. [Items from the Education, Research, and Service Committee](#) ..... Tab 11.3
  - D. [Items from the Finance and Administration Committee](#)..... Tab 11.4
- XVI. Other Business
- [Note: Under the Bylaws of the Board, items not appearing on the agenda may be considered only upon an affirmative vote representing a majority of the total voting membership of the Board. Other business necessary to come before the Board at this meeting should be brought to the Chair's attention before the meeting.]*
- XVII. Closing Remarks
- XVIII. Adjournment



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Appointment, Compensation, and other Terms of Employment of University Officer (UT Institute of Agriculture)**

Type: Action

Presenter: Randy Boyd, President

### **Background Information**

Pursuant to Section 6.6 of the University's Bylaws, the Board shall approve, upon the recommendation of the President, the appointment of other officers of the University, as designated in these Bylaws, and approve their initial compensation and other terms of employment. The officers of the University include senior vice presidents.

### **Recommendation**

I am pleased to present Carrie Castille to serve as the next senior vice chancellor and senior vice president for the UT Institute of Agriculture. The SVC/SVP leads the statewide UT Institute of Agriculture, which position oversees and provides leadership to the Herbert College of Agriculture, the College of Veterinary Medicine, UT AgResearch, and UT Extension. The SVC/SVP reports to both the UT Knoxville Chancellor and to the UT System President.

Dr. Castille holds a Ph.D. in renewable natural resources (with emphasis on environmental and public policy) from Louisiana State University, an M.S. degree in environmental studies from Louisiana State University, and a B.S. degree in industrial engineering from the University of Louisiana at Lafayette. She is the former director of USDA's National Institute of Food and Agriculture.

The recommended compensation and other terms of employment are included in the accompanying offer letter, which has been accepted by Dr. Castille. In addition, a copy of Dr. Castille's resume is attached.

### **Board Action**

As authorized by the Bylaws, the Board Chair has designated this item for action by the Board without prior review and recommendation by the Executive Committee. The Board Chair will call for a motion for the Board of Trustees to adopt the following resolution.



## THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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**Resolved:** The Board of Trustees approves the appointment of Dr. Carrie Castille as senior vice chancellor and senior vice president for the UT Institute of Agriculture, effective as of July 1, 2022, with the compensation and other terms of employment as presented in the meeting materials.





March 4, 2022

Dr. Carrie Castille  
517 Myrtle Place  
Lafayette, LA 70506

Dear Carrie,

It is our pleasure to offer you the position of Senior Vice Chancellor and Senior Vice President of the University of Tennessee Institute of Agriculture, effective July 1, 2022 at the rate of \$33,333.00 per month (\$400,000.00 annualized), subject to the terms and conditions outlined in this letter and subject to approval by the University of Tennessee Board of Trustees at their Executive Committee meeting on May 6, 2022.

To assist you with relocation, the University will provide a one-time moving allowance of \$35,000.00 consistent with Fiscal Policy FI0450. This allowance will be reported as taxable income and included on your W-2 statement. The IRS required that applicable taxes be withheld and reported.

Your appointment is at the will and the pleasure of the Chancellor of the University of Tennessee, Knoxville, and the President of the University of Tennessee System. This is a regular, 100 percent full-time, exempt position and does not carry tenure. As a full-time employee, you are also eligible for various benefits. For benefits information, please visit <https://hr.tennessee.edu/benefits/>

While you serve in this role, the Chancellor and President will review your performance annually, and may conduct periodic comprehensive performance reviews. The dual reporting responsibilities of this role are outlined in the attached document.

This offer letter does not constitute a contract of employment. Your employment with the University of Tennessee is on an at-will basis, which means that the employment relationship may be terminated at any time by either the employee or the University of Tennessee with or without cause and with or without notice. The university conducts a criminal background check on all new employees and reserves the right to terminate any employee whose background check includes criminal history that the university deems to be disqualifying.

This job offer is contingent upon documentation of citizenship and/or work authorization as required by the Immigration Reform and Control Act of 1986. You will be presented the required *Employment Eligibility Verification* form (I-9) and a list of acceptable documents. You **must** complete the I-9 form and provide the necessary documentation to the Human Resources Office on or before your first day of work.

If the vacancy announcement for your position required any educational/special licenses documentation, you should submit the required transcript or documentation to the Human Resources office prior to the first day of your employment. Please note, misrepresentation of academic credentials is a Class A misdemeanor in Tennessee.

We are excited to have you as part of the University of Tennessee and look forward to working with you.

To indicate your acceptance of this position, please sign below and return the signed letter to me.

Sincerely,



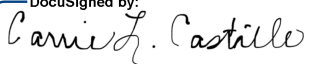
Randy Boyd  
President



Donde Plowman  
Chancellor

cc: Human Resources

ACCEPTANCE: I accept the appointment to serve as Senior Vice Chancellor and Senior Vice President of the University of Tennessee Institute of Agriculture under the terms and conditions outlined above and with the understanding that the offer is not binding until and unless the appointment and compensation is approved by the University of Tennessee Board of Trustees.

DocuSigned by:  
  
F25F38D56ABF480...  
Signature

3/4/2022 | 08:41:30 PST

Date

### **UTIA Sr. Vice Chancellor/Sr. Vice President Dual Reporting Responsibilities**

**The SVC/SVP reports to the Chancellor on the following:**

1. Overseeing the education, research, and extension functions of UTIA (including the partnerships with Tennessee State University Extension), including curriculum development, maintenance of accreditation, growing research expenditures and extension presence
2. Overseeing the recruitment, selection and hiring of faculty and staff, and the tenure and promotion of faculty.
3. Managing the budget and administrative operations of UTIA
4. Overseeing the fundraising efforts, communications, and marketing of UTIA
5. Developing and executing plans for enrollment growth

**The SVC/SVP reports to the President on the following:**

6. Proposing policy related to agriculture and other areas for state of Tennessee and other government relations.
7. Proposing state-wide initiatives that enhance the state's agriculture and natural resource economy and that addresses other areas and grand challenges impacted by Extension and UTIA.
8. Addressing needs of agriculture producers throughout the state.
9. Collaboration activities across the campuses within the system including all campuses and IPS and with TSU
10. Leading the UT Commission on Agriculture

**The SVC/SVP works together with President & Chancellor on:**

11. Meet jointly with SVC/SVP as needed
12. Strategic Vision for UTIA
13. Annual evaluation of the SVC/SVP
14. Capital project needs
15. Requests for state funding for non-formula units from federal or state lawmakers

## CARRIE CASTILLE, PHD

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### EXECUTIVE MANAGEMENT

#### Decision Making

#### Change Management

#### Strategic Leadership

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Trusted leader in public research, extension and education, economic development and public policy. Results-driven, catalyst with proven success in program development and implementation. Strategic in streamlining processes while spearheading organizational changes that successfully improve performance and productivity. Seasoned professional who thrives in dynamic, demanding environments while remaining pragmatic and focused.

### CORE COMPETENCIES

- Visionary leadership
- Key Partnership Development
- Organizational Transition and Restructuring
- External Relations
- Risk Management
- Conflict Resolution
- Economic Development/Recovery
- Crisis Management

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### PROFESSIONAL EXPERIENCE

#### United States Department of Agriculture

#### National Institute of Food and Agriculture, Director (January 2021 to present)

*Provide executive leadership for delivery of programs providing over \$1.9 billion in support to university partners and other cooperators and customers to advance the mission of research, education, and extension programs in the food and agricultural sciences*

Leader of USDA extramural agricultural science agency

#### Key Achievements:

- Developed, led, and implemented a strategy to rebuild the NIFA workforce, increasing staff to over 300 employees since taking the position, and enhance outcome-based performance and accountability process for all the major science portfolios
- Leadership in the formulation of broad, national policies needed to implement the NIFA research, education, and extension programs and align with administrative, industry and stakeholder priorities
- Leadership across administrations to support agriculture and natural resources
- Serves as a member of the USDA Secretary's Subcabinet and integrate all functions into a comprehensive program to maximize program impact to address the broad strategic goals and objectives of the Department, the Mission Area, and the agency
- Solicited and aligned feedback from traditional and non-traditional stakeholder feedback with NIFA priorities with evidence that input is incorporated in budget efforts and program requests for applications

**United States Department of Agriculture****Farm Production and Conservation Mid-South Coordinator (October 2019 to January 2021)****Louisiana, Mississippi, Arkansas, Tennessee, Missouri**

*Provide executive leadership for delivery of programs providing over \$7 billion in support to farmers, ranchers and landowners.*

Cross-functional leadership in USDA Farm Services Agency, Risk Management and Natural Resources Conservation Service mission area.

**Key Achievements:**

- Deputy on the United States Water Task Force (created by Executive Order) to assist with the coordination and implementation of a concerted federal water initiative. Key water related issues include: water quality, water infrastructure, workforce development, water conservation and reuse, and water forecasting and research
- Developmental support and implementation in USDA Coronavirus Aid, Relief, and Economic Security Act
- Leader in the Hypoxia Task Force
- Pivotal leader in integration of the Rural Development into the Farm Production and Conservation mission areas
- Governmental affairs advisor, both legislative and congressional, with working knowledge and understanding of federal funding and federal appropriations process

**United States Department of Agriculture****State Director Louisiana Rural Development (October 2017 to October 2019)**

*Provided leadership over state level USDA Rural Development loans and grants for the expansion of economic opportunities and job creation in rural areas through a \$150 billion national portfolio.*

Direct leadership of over 70 employees in the implementation of innovative, customer-service based programs focused on the creation of rural jobs.

**Key Achievements:**

- In fiscal year 2018, Louisiana Rural Development nearly doubled obligations, providing over \$1 billion in support for rural broadband, healthcare, water infrastructure, community facilities and small business development.
- Advisor to the national leadership team (or: Advisor to national leadership) whose responsibilities not only included implementing streamlined business and operations systems but also facilitated a major organizational change to over 48 State Directors in the mission area.
- Developed and implemented the strategy and national effort to invest over \$3 billion of rural water infrastructure improvements in communities with populations of 10,000 or less
- Chaired and developed the Agriculture Rural Development Working Group that identified and promoted Rural Development programs to agriculture producers, ranchers, fisherman, value-added processing and agribusinesses

**United States Department of Agriculture Presidential Landing Team (December 2016 to February 2017)**

*Strategic leadership to the executive branch establishing a four-year strategic plan for the United States Department of Agriculture.*

- Selected and served as one of three advisors to the President of the United States in the areas of agriculture research, natural resources conservation and Farm Bill programs amongst others.
- Key Achievements: Selected leadership and cabinet level appointment, set national policy priorities, identification of agency performance goals, major policy initiatives, internal management, legal and infrastructure challenges.

**Agriculture and Natural Resources Consultant (April 2016 to November 2017)***Public policy strategic development and program development at the national, state and local level*

Clients include:

- National Association of State Departments of Agriculture Research Foundation
  - Pest Education Activities and Research Program Coordinator
  - Educate decision makers and stakeholders to help identify, control, terminate or mitigate risks to agriculture from invasive species/pests
  - Development of a national guide for state departments of agriculture to utilize for pest mitigation resources
- USA Rice Federation
  - Represent the Louisiana rice industry including farmers, millers, and merchants and serve as the voice for the industry
- Gulf Seafood Foundation
  - Foundation management, strategic development of research priorities, identification of partnerships and potential funding sources, and project implementation

**Louisiana Department of Agriculture and Forestry****Associate Commissioner and Senior Advisor to the Commissioner (July 2008 - January 2016)***Leadership in education, research, and policy initiatives. Represent the department to internal and external constituents and state and federal policy makers often providing testimony to key state and congressional committees.*

Serve as senior advisor and science advisor to the Louisiana Commissioner of Agriculture and Forestry developing scientifically-based policy solutions.

Key Achievements:

- Member of the Commissioner's senior leadership cabinet
- Leader in the identification, development and expansion of rural economic development programs for Louisiana communities
- Counsel and leader on internal and external state and federal policy initiatives including:
  - Farm Bill
  - Clean Water Act
  - Water Resources Development Act
  - Waters of the United States
  - Food Safety Modernization Act
- Creation and implementation of a regional vocational agriculture and biotechnology Industry Based Certification and educational career pathway for Louisiana high school students
- Established the Lower Mississippi Valley Nutrient Management Initiative comprised of state departments of agriculture in Louisiana, Mississippi, Arkansas, Tennessee and Kentucky
- Advised other state departments of agriculture and staff on policy-related matters

**Louisiana State University Agricultural Center****Assistant Professor and Agriculture and Natural Resource Program Leader (February 1999 to June 2008)***Provided vision, leadership, and guidance on re-defining the Cooperative Extension Service for the future.*

Leader of LSU Ag Center agriculture and natural resource programs in both rural and urban communities

Key Achievements:

- The instruction over 4,000 diverse "students" including youth, farmers, landowners, and business owners

- Managed faculty teams that developed natural resource program curriculum, secured grant funds, and conducted statewide education and research programs, including the Louisiana Master Farmer Program, which eventually expanded to a multi-state program receiving national awards and recognition
- Developed research protocol and conducted on-farm water quality monitoring on Louisiana farms
- Executed the Lower Mississippi Valley multi-state initiative addressing environmental concerns in Louisiana, Mississippi, Arkansas, Tennessee, Kentucky and Missouri
- Developed the curriculum, training materials and conducted educational programs for Louisiana legislators on Louisiana groundwater management and held the Louisiana Water Summit statewide conference
- Coordinated the development of commodity-specific Best Management Practices manuals for Louisiana agriculture producers
- Executed the Louisiana Prescribed Burn Manager Program and related curriculum and conducted training to over 1200 farmers
- Authored numerous natural resource publications

## EDUCATION

### Louisiana State University

Degree: Doctor of Philosophy

College: Renewable Natural Resources

Major: Renewable Natural Resources

Emphasis: Environmental and Public Policy

### Louisiana State University

Degree: Master of Science

College: Institute of Environmental Studies

Major: Environmental Studies

Emphasis: Environmental policy/environmental toxicology

### University of Louisiana at Lafayette

Degree: Bachelor of Science

College: Engineering

Major: Industrial Technology

## AWARDS

University of Louisiana – first female inducted into the Lafayette College of Engineering Hall of Distinction (2017)

USDA Secretary's Award for Excellence in Rural Development (2018)

USDA Secretary's Award for Excellence (2003)

## RECENT NATIONAL LEADERSHIP ROLES

Deputy, United States Water Subcabinet (October 2019 to January 2021)

USDA Representative on Hypoxia Task Force (October 2019 to January 2021)

National Academies of Sciences, Engineering and Medicine Board on Chemical Sciences and Technology Study

Panel: Reducing the Threat of Improvised Explosive Device Attacks by Restricting Access to Chemical Explosive

Precursors (October 2016 to October 2017)

Member, National Council for Environmental Policy and Technology - appointed by EPA Secretary Gina McCarthy (September 2014 to November 2015)

Member, USA Rice Federation Sustainability Task Force (August 2013 to October 2017)

Member, USA Rice Federation Regulatory Affairs and Food Safety Committee (August 2013 to October 2017)

Member, United States Business and Industry Council Water Synergy Project board (August 2013 to November 2015)

## RECENT STATE LEADERSHIP ROLES

Member, Postsecondary Education Agriculture Technology Study Commission (July 2019 to October 2017)

Co-Chair, Louisiana Food Safety Modernization Act Study Commission (July 2014 to November 2015)

Co-Chair, Louisiana Farm to School Task Force (July 2014 to November 2015)

Member, Louisiana Board of International Commerce (July 2013 to November 2015)

Co-Chair, Louisiana Agriculture and Forestry Nutrient Management Task Force (October 2012 to November 2015)

Member, Louisiana Nutrient Management Strategy Team (July 2012 to November 2015)

Member, False River Watershed Council (July 2012 to November 2015)

Chair, Southern United States Trade Association Operations Committee (February 2011 to February 2013)

Chair, Louisiana Sustainable Food Policy Council (July 2010 to January 2012)

Member, Governor's Shrimp Advisory Task Force (2009 to November 2015)

Fellow, Food Systems Leadership Institute (January 2009 to December 2011)

Fellow, US Rice Federation Leadership Program (January 2008 to December 2010)

## RECENT HIGHER EDUCATION LEADERSHIP ROLES

Chair, National Agriculture Research, Extension, Education, and Economics (NAREEE) Advisory Board appointed by USDA Secretary Vilsack (September 2010 to April 2017)

Member, NAREEE Advisory Board Data Management Working Group (August 2014 to April 2017)

Member, American Public Land Grant Universities Council on Agriculture Research, Extension and Teaching – representing Natural Resource Conservation associations (January 2014 to April 2017)

Member, Louisiana Agriculture Education Commission (January 2014 to October 2017)

Chair, NAREEE Advisory Board Relevancy and Adequacy Committee (November 2013 to April 2017)

Member, NAREEE Advisory Board Data Management Working Group (August 2014 to April 2017)

Chair, National Agriculture Research Extension Education and Economics Board Renewable Energy Committee (October 2010 April 2017)

Member, National Food Animal Veterinary Institute Board of Directors (January 2010 to November 2015)

Member, Tulane University Nutrient Prize Advisory Team (November 2014 to November 2015)



## PUBLICATIONS

- Dissolved Oxygen*. LeBlanc, B. D. and C. Castille. LSU AgCenter Number 2994-B. 2p. 2007.
- Fecal Coliforms*. LeBlanc, B. D. and C. Castille. LSU AgCenter Number 2994-C. 2p. 2007.
- Nutrient Impairments*. LeBlanc, B. D. and C. Castille. LSU AgCenter Number 2994-G. 2p. 2007
- Factors Influencing Participation in Environmental Stewardship Programs: A Case Study of the Agricultural and Forestry Sectors in Louisiana*, C. Castille. Louisiana State University. 2007
- Sedimentation Impairments*. LeBlanc, B. D. and C. Castille. LSU AgCenter Number 2994-L. 1p. 2007.
- A Manual for Understanding and Preventing Water Pollution from Home Sources*. LeBlanc, B.D., C. Castille, T. Koske, M. Frey, M. Stoker, and R. Hendrick. LSU AgCenter Number 2994.pp-1-27.
- A Guide to Louisiana-Friendly Landscaping*. Branch, B., B. Carney, C. Castille, D. Cummings, D. Ferrin, B. Fletcher, S. Gauthier, D. Gill, H. Harrison, J. Kaster, T. Koske, B. LeBlanc, A. Owings, D. Pollet, D. Reed, R. Schmit, J. Stevens, and R. Strahan. 2007. LSU AgCenter Number 2993.pp-1-88.
- Promoting BMPs through the Master Farmer Program*. Watershed and Wet Weather Technical Bulletin. Water Environmental Federation. Volume 12, Number 1. January/February 2007.
- Louisiana Lawn Best Management Practices (BMPs)*. 2006 (with Koske, Thomas J., Pollet, C. Castille, Dale., Hollier, Clayton., Strahan, Ron)
- Updated TMDL Facts*. C. Castille. 2006.
- Master Farmer Program: Learning Best Management Practices*. Louisiana Agriculture Magazine. Sanders, F and C. Castille. Spring 2004.
- The Do's and Don'ts of Working with Local Communities: Tips for Successful Community-Based Public Meetings*. Journal of Extension, April 2003. Coreil, P. and Castille. C.
- Lower Mississippi Valley Initiative*. Castille, C. 2002.
- Louisiana Master Farmer Program*. Castille, C. 2001.
- TMDL Facts*. Castille, C. 2001.
- Water Use and Rights in Louisiana*. Castille, C. 2001.



# THE UNIVERSITY OF TENNESSEE

## BOARD OF TRUSTEES

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### AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: Title, Compensation, and Other Terms of Employment of a University Officer Resigning and Accepting Appointment with UT System

Type: Action

Presenter: Randy Boyd, President

#### **Background Information**

Article VI, Section 6.9 of the Bylaws of the University of Tennessee Board of Trustees reads as follows:

**Section 6.9 Employment of Former Officers.** When an officer of the University retires or otherwise leaves office, the title, compensation, and other terms and conditions of any further employment by the University shall be presented to the Board or the Executive Committee for approval before any further employment can begin. If the officer holds tenure in an academic department and leaves office to assume full-time faculty duties, the Board of Trustees shall set the faculty salary in accordance with the provisions of the Board Policy on Setting Faculty Salaries upon Conclusion of Administrative Appointments.

Mark La Branche, who has served as Chancellor of UT Southern since July 1, 2021, has resigned his position effective June 30, 2022, and has accepted an appointment as Special Assistant to the President, reporting to President Randy Boyd, effective July 1, 2022, subject to prior approval of the Board. The compensation and other terms of his employment with the UT System are as follows:

**Title:** Special Assistant to the President

**Compensation:** \$130,000 annually (his current salary as Chancellor for UT Southern is \$260,000 annually)

**Other Terms:** At-will appointment, without tenure, without a definite term but will extend through no later than June 30, 2024; serves at the discretion of the UT President

The signed offer letter containing these terms and conditions follows this memorandum.



## THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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### Recommendation

President Boyd recommends approval of the proposed title, compensation, and other terms of employment as presented in the meeting materials.

### Board Action

The Board Chair will call for a motion for the Board of Trustees to adopt the following Resolution:

**Resolved:** In accordance with Article IV, Section 6.9 of the Bylaws of the University, the Board hereby approves the title, compensation, and other terms of employment of Mark La Branche as Special Assistant to the President as presented in the meeting materials.



THE UNIVERSITY OF TENNESSEE SYSTEM

OFFICE OF THE PRESIDENT

RANDY BOYD  
President

May 24, 2022

Via Email ([mlb@utsouthern.edu](mailto:mlb@utsouthern.edu))

Dr. Mark La Branche  
The University of Tennessee Southern  
433 West Madison Street  
Pulaski, Tennessee 38478

Dear Mark,

It is my pleasure to offer you the position of Special Assistant to the President, effective July 1, 2022, at the rate of \$10,833.33 per month (\$130,000 annualized), subject to the terms and conditions outlined in this letter and subject to approval by the University of Tennessee Board of Trustees at its meeting on June 24, 2022.

The position of Special Assistant to the President is without tenure and without a definite term, but it shall not extend beyond June 30, 2024. You will report directly to me and serve at my pleasure, and I will evaluate your performance annually. This is a regular, 100 percent full-time, exempt position. As a full-time employee, you are also eligible for various benefits.

I look forward to continuing to work with you in this new role. Please sign and return this letter to me to indicate that you agree to these terms and will accept this appointment upon approval by the Board of Trustees.

Sincerely,

Randy Boyd  
President

c: Human Resources




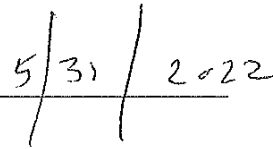
## THE UNIVERSITY OF TENNESSEE SYSTEM

### OFFICE OF THE PRESIDENT

RANDY BOYD  
*President*

**ACCEPTANCE:** I accept the appointment to serve as Special Assistant to the President under the terms and conditions outlined above and with the understanding that the offer is not binding until the appointment, compensation, and other terms of employment are approved by the University of Tennessee Board of Trustees.

  
\_\_\_\_\_  
Mark La Branche

  
\_\_\_\_\_  
Date

**The University of Tennessee  
Board of Trustees**

**Resolution in Honor of Mark La Branche**

WHEREAS, in July 2017, Mark La Branche was appointed to serve as president of Martin Methodist College, founded in 1870 as a private Methodist college for young women;

WHEREAS, on June 25, 2021, the University of Tennessee Board of Trustees voted to acquire Martin Methodist College and establish The University of Tennessee Southern ("UT Southern"), representing the fourth undergraduate college within the UT System and the first new campus since UT Chattanooga in 1969;

WHEREAS, Dr. La Branche was appointed by the Board of Trustees to serve as the inaugural chancellor of UT Southern;

WHEREAS, his leadership, as president of Martin Methodist College and subsequently as Chancellor of UT Southern, was instrumental in the successful acquisition and transition of Martin Methodist College to UT Southern;

WHEREAS, the establishment of UT Southern provides increased opportunities for students, faculty and businesses, and addresses critical needs of the southern Middle Tennessee region by ensuring affordable higher education is accessible to thousands of Tennesseans; and

WHEREAS, in its initial year, UT Southern has quickly experienced substantial enrollment growth, as well as gains in the area of student success and record fundraising;

NOW, THEREFORE, BE IT RESOLVED that the University of Tennessee Board of Trustees hereby extends its sincere gratitude and appreciation for the contributions made by Mark La Branche during his service to the University of Tennessee, UT Southern, and the State of Tennessee.

Adopted: June 24, 2022



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: In-State Classification of Veterans and Military-Affiliated Individuals for Tuition Purposes

Type: Action

Presenter: Randy Boyd, President

### **Background Information**

Public Chapter 791 was adopted in the Second Session of the 112th General Assembly and signed into law by Governor Lee on April 8, 2022. This Public Chapter, which passed unanimously and amends Tennessee Code Annotated Section 49-7-1303, authorizes the governing board of each public institution of higher education in Tennessee to classify a veteran or military-affiliated individual as a Tennessee resident for tuition purposes if the veteran or military-affiliated individual is enrolled in the institution and resides outside of the state.

On behalf of all campuses and institutes of the University, President Boyd recommends and requests that the Board adopt this new in-state classification for veterans and military-affiliated individuals, effectively immediately.

Additionally, if authorized by the Board, the University will need to revise its existing rule titled "Chapter 1720-01-01: Classifying Students In-State and Out-of-State" to conform with the provisions of Public Chapter 791. As such, the University Administration further requests the Board's authorization to proceed with taking such actions as may be required implement this change in classification in accordance with the requirements of the Uniform Administrative Procedures Act, Tennessee Code Annotated Section 4-5-1, *et seq.*

### **Board Action**

The Board Chair will ask a member of the Board to make a motion to approve the following Resolution:



## THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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Resolved:

1. Effectively immediately, in accordance with the authorization granted to the Board pursuant to Public Chapter 791, the Board of Trustees hereby approves the classification of a veteran or "military-affiliated" individual as a Tennessee resident (who is not required to pay out-of-state tuition or an out-of-state fee) if such individual is enrolled at The University of Tennessee and resides outside the State of Tennessee. "Military-affiliated" shall mean active-duty military personnel, reservists, members of the national guard, and reserve officer training corps program cadets.
2. The proper officers of the University be and hereby are authorized to take any and all other actions as may be required or which they may deem necessary or appropriate in order to implement the changes authorized herein, including amending the rule titled "Chapter 1720-01-01: Classifying Students In-State and Out-of-State" to conform with the provisions of Public Chapter 791, subject to and in accordance with the requirements of the Uniform Administrative Procedures Act, Tennessee Code Annotated Section 4-5-1, *et seq.*





# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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4.1

## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Election of the Chair of the Board**

Type: Action

### **Background Information**

Section 2.2 of the Bylaws provides the following:

The Chair shall be elected at the Annual Meeting to serve a two-year term, beginning July 1 of the year of election and ending June 30 of the second succeeding year, and until a successor is elected. The Chair may be elected to serve no more than three (3) consecutive terms except upon an affirmative roll-call vote of a majority of the total voting membership of the Board.

### **Board Action**

The Board shall elect from its voting appointed members a Chair to serve for a term beginning on July 1, 2022 and ending June 30, 2024. Amy Miles, Chair of the Audit and Compliance Committee, will open the floor for nominations.



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

4.2

## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Appointment of Standing Committees and Committee Chairs**

Type: Action

### **Background Information**

Under Section 4.13 of the Bylaws, except as otherwise provided for the student and faculty members of the Education, Research, and Service Committee, the Board of Trustees shall appoint the members and chairs of the standing committees. Appointments shall be made at the Annual Meeting for a two-year term, beginning July 1 of the year of appointment and ending June 30 of the second succeeding year.

No member may serve more than three (3) consecutive terms as chair of the same committee except upon an affirmative roll-call vote of a majority of the total voting membership of the Board.

### **Board Action**

The Board of Trustees shall appoint the members and chairs of the standing committees to serve for a term beginning on July 1, 2022 and ending June 30, 2024.



The University of Tennessee  
Board of Trustees

Resolution \_\_\_\_-2022  
Appointment of Standing Committees and Committee Chairs  
(July 1, 2022 – June 30, 2024)

The Board of Trustees hereby appoints the following standing committees and committee chairs for a two-year term, beginning July 1, 2022 and ending June 30, 2024:

**Executive Committee**

- Chair of the Board, Ex Officio Voting, Chair
- Decosta Jenkins
- Bill Rhodes
- Donnie Smith
- Jamie Woodson

**Audit and Compliance Committee**

- Decosta Jenkins, Chair
- Brad Box
- Amy Miles
- Chair of the Board, Ex Officio Voting

**Education, Research, and Service Committee**

- Jamie Woodson, Chair
- Shanea McKinney
- Donnie Smith
- Commissioner of Agriculture, Ex Officio Voting
- Student Trustee, Voting
- Faculty Representative, Voting
- Chair of the Board, Ex Officio Voting

**Finance and Administration Committee**

- Bill Rhodes, Chair
- Amy Miles
- Chris Patterson
- David Watson
- Chair of the Board, Ex Officio Voting

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(\*) Pursuant to the Bylaws, the Chair of the Board serves as Chair of the Executive Committee.



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

## AGENDA ITEM SUMMARY

5

Meeting Date: June 24, 2022

Item: **Proposed Revisions to Board Policies**

Type: Action

Presenter: Cynthia C. Moore, Secretary and Special Counsel to the Board

### **Background Information**

It is a general best practice to periodically review governance documents, including board policies.

The Association of Governing Boards of Universities and Colleges (AGB) has recommended that every board adopt a statement that addresses the principles and expectations of members, with each member of the board affirming his or her understanding of and commitment to board and trustee responsibilities.<sup>1</sup> Additionally, pursuant to the *Principles of Accreditation: Foundations for Quality Enhancement* issued by the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC), governing boards are expected to define and regularly evaluate its responsibilities and expectations.

Following review of the existing Conflict of Interest Policy for Trustees (COI Policy) and the Code of Ethics for Appointed Trustees (Code of Ethics), along with applicable statutory requirements and other standards, a reorganization and refresh of these governance policies is being recommended.

For improved clarity, a new Statement of Governance and Trustee Commitment has been prepared, along with updates to the Code of Ethics and COI Policy. The governance policies would be renumbered and presented in the following order.

- Board Policy (BT0001) - Statement of Governance and Trustee Commitment;
- Board Policy (BT0002) - Code of Ethics for Trustees; and
- Board Policy (BT0003) - Conflict of Interest Policy for Trustees.

<sup>1</sup> AGB *Board of Directors' Statement on Board Accountability*. Association of Governing Boards of Universities and Colleges, 2007.



## THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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As restructured, the Statement of Governance and Trustee Commitment acknowledges: (i) the Board's general powers and duties; (ii) key fiduciary responsibilities (i.e., duty of care, duty of loyalty, and duty of obedience); and (iii) certain expectations associated with serving as a member of the Board.

The revised Code of Ethics recognizes the BeOneUT values and behaviors, including the commitment to fostering integrity, honesty, and accountability. As revised, the scope of the Code of Ethics focuses on key concepts of ethical behavior. It captures provisions from the existing Code of Ethics (e.g., no personal benefit/gain, no favored treatment, and no gifts/gratuities/favors), but it also incorporates new provisions (e.g., protection of University assets, compliance with law, and proper use of confidential/privileged information).

The updated COI Policy has been streamlined, placing more emphasis on the applicable statutory provisions and the disclosure process. Interpretive language has been removed from the policy given that the analysis of actual or potential conflicts is fact specific and, as such, these types of determinations are made on a case-by-case basis. Further, legal interpretations are often subject to change based on new advisory opinions and court decisions.

The governance policies (attached) were presented to the Executive Committee for its review and are now being presented for the Board's consideration.

### **Board Action**

The Board Chair will call for a motion for the Board of Trustees to adopt each of the following Resolutions.

#### 1. Board Policy BT0001 – Statement of Governance and Trustee Commitment

**Resolved:** The Board of Trustees hereby approves the Statement of Governance and Trustee Commitment, which Statement shall be attached to this Resolution after adoption. Further, this Statement shall be identified as Board Policy (BT0001), and the Board Secretary is hereby authorized to renumber and reorder the Board's outstanding policies to reflect the addition of this new policy and as may otherwise be necessary or appropriate to improve the overall organization of the approved Board policies.



## THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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### 2. Board Policy BT0002 – Code of Ethics for Trustees

**Resolved:** The Board of Trustees hereby approves the restated Code of Ethics, which Code of Ethics shall be attached to this Resolution after adoption and shall be identified as Board Policy (BT0002).

### 2. Board Policy BT0003 – Conflict of Interest Policy for Trustees

**Resolved:** The Board of Trustees hereby approves the restated Conflicts of Interest Policy for Trustees, which Policy shall be attached to this Resolution after adoption and shall be identified as Board Policy (BT0003).



| System-wide Policy:<br>BT0001 – Statement of Governance and Trustee Commitment |                       |
|--|-----------------------|
| Version: 1   | Effective Date: [TBD] |

5.1

## BOARD OF TRUSTEES STATEMENT OF GOVERNANCE AND TRUSTEE COMMITMENT

As the governing body of The University of Tennessee (the “University”), the Board of Trustees (the “Board”) has been vested with broad authority and oversight responsibility for the proper governance of the University.<sup>1</sup> Trustees hold a position of public trust and serve as stewards of the University, including its reputation and resources, in furtherance of its mission of education, discovery, and outreach.

### PURPOSE

The Board is responsible for seeing that each Trustee meets his/her responsibilities and fiduciary obligations in serving as an engaged and effective member of the Board. This policy sets forth aspirations and certain expectations for all members of the Board.

### FIDUCIARY DUTIES

As fiduciaries, members of Board are expected to discharge their responsibilities, in good faith, in accordance with the fiduciary duties of care, loyalty and obedience.

- *Duty of Care* requires Board members to execute their responsibilities with the care that an ordinarily prudent person in a like position would exercise under similar circumstances.
- *Duty of Loyalty* requires Board members to act at all times in a manner that places the interests of the University ahead of the interests of any other person, organization, or constituent group.
- *Duty of Obedience* requires Board members to ensure that the University is operating in furtherance of its mission and public purposes, as set forth in its governing documents and in compliance with applicable laws.

In recognition of the powers and duties vested in the Board by the General Assembly of the State of Tennessee and the fiduciary obligations associated with serving as a member of the Board, the Trustees, individually and collectively, acknowledge and confirm their commitment to the governance principles and other responsibilities set forth herein.

<sup>1</sup> The Board has all express powers granted by acts of the General Assembly of the State of Tennessee, including, but not limited to, those codified in Tennessee Code Annotated § 49-9-209, along with all implied powers necessary, proper, or convenient for the accomplishment of the mission of the University and the responsibilities of the Board. See Appendix A of the University’s Bylaws for a non-exclusive list of the powers and responsibilities.



| System-wide Policy:<br>BT0001 – Statement of Governance and Trustee Commitment |                       |
|--|-----------------------|
| Version: 1   | Effective Date: [TBD] |

5.1

## TRUSTEE COMMITMENT

*Each Trustee shall –*

- *Serve as a faithful steward of the University's mission, values, and resources, which are held in trust for current and future generations of students;*
- *Endeavor to ensure that the University is acting in accordance with its stated mission, values, and strategic priorities;*
- *Serve as an ambassador of the University by demonstrating a steadfast commitment to the value of higher education and promoting the impact and accomplishments of the University;*
- *Safeguard the autonomy of the University and the related traditions of academic freedom and shared governance;*
- *Promote the role of the Board as an oversight, policy-making body that supports the management of the University through its President, who serves as the chief executive officer responsible for the day-to-day operations of the University;*
- *Regularly attend<sup>2</sup>, properly prepare, and actively participate in Board/Committee meetings;*
- *Act in good faith and in the best interest of the University, adhering to the Code of Ethics and Conflict of Interest Policies for Trustees;*
- *Serve the University as a whole rather than representing any particular interest;*
- *Participate in orientation and continuing education activities in order to be knowledgeable about public higher education and the University's academic, research, and outreach programs;*
- *Recognize the Chair as the official spokesperson for the Board and the President as the official spokesperson of the University;*
- *Participate in the life of the University, including attending University events consistent with the role of a Trustee; and*
- *Provide philanthropic support at a level consistent with Trustee's financial capacity.*

<sup>2</sup> Failure of a Trustee to attend more than fifty percent (50%) of the regular meetings of the Board in a calendar year is cause for removal and authorizes the Board to call for the Governor to appoint a successor. Tennessee Code Annotated § 49-9-204(c). This requirement does not apply to any ex officio member.





| System-wide Policy:<br>BT0001 – Statement of Governance and Trustee Commitment |                       |
|--|-----------------------|
| Version: 1   | Effective Date: [TBD] |

5.1

## REMOVAL FROM THE BOARD

Trustees appointed by the Governor are subject to removal by a two-thirds ( $\frac{2}{3}$ ) majority vote of each house of the Tennessee General Assembly for misconduct, incapacity, or neglect of duty.<sup>3</sup>

## RELATED POLICIES

Board Policy (BT0002) – Code of Ethics for Trustees

Board Policy (BT0003) – Conflict of Interest Policy for Trustees

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<sup>3</sup> *Tennessee Code Annotated* § 49-9-202(c)(5).



| System-wide Policy:<br>BT0002 – Code of Ethics for Trustees |                       |
|---|-----------------------|
| Version: 1  | Effective Date: [TBD] |

5.2

## BOARD OF TRUSTEES CODE OF ETHICS FOR TRUSTEES

### PURPOSE

The Board of Trustees is committed to governing the University in a manner that will foster the public's trust and confidence in the University and its mission of education, discovery, and outreach. The Trustees support the University's [Be One UT](#) values and behaviors, including:

- *Leading by example;*
- *Setting high standards; and*
- *Fostering integrity through openness, honesty, accountability, and stewardship.*

The Board has adopted this Code of Ethics<sup>1</sup> for Trustees in furtherance of promoting the University's reputation for excellence and integrity.

### APPLICABILITY

This policy shall apply to all members of the Board of Trustees.

### CODE OF ETHICS

Best Interests of the University. Consistent with the fiduciary obligations required by law, the University's Bylaws, and the expectations set forth in the Statement of Governance and Trustee Commitment (Board Policy BT0001), each Trustee shall act in good faith, with due care, and in the best interests of the University, as a whole.

Integrity. Trustees shall (i) act with honesty, fairness, and transparency, (ii) be accountable for exercising independent decision-making, and (iii) promote ethical behavior by all members of the University community.

Personal Benefit or Gain. Trustees shall not use the authority, title, prestige, or other attribute of the office for personal benefit or gain for themselves or for any relative.

Conflicts of Interest. Trustees shall abide by the Conflict of Interest Policy for Trustees, as may be amended from time to time.

Gifts, Gratuities, and Favors. Trustees shall not encourage or accept, directly or indirectly, on behalf of himself/herself or any member of the Trustee's household, any gift, gratuity, service, favor, or other consideration of any kind from any person or entity: (i) that has, or is seeking to obtain, contractual or other business relationships with the University, or (ii) where it could

<sup>1</sup> *Tennessee Code Annotated § 49-9-210 requires the Board to establish and adopt a code of ethics that applies to and governs the conduct of all appointed members of the Board.*



| System-wide Policy:<br>BT0002 – Code of Ethics for Trustees |                       |
|---|-----------------------|
| Version: 1  | Effective Date: [TBD] |

5.2

reasonably be inferred that such gift would influence a Trustee's judgment in the impartial performance of the duties of the office. Notwithstanding the foregoing, Trustees may accept awards, resolutions, incidental tokens of appreciation, informational materials, refreshments and other items of a similar nature commonly given to Trustees in connection with their service or as donors to the University.

Favored Treatment. Trustees shall not seek to obtain any special consideration, treatment, or favor for any person beyond that which is generally available. This includes, but is not limited to, efforts to influence administrative decisions with respect to an individual's admission, employment, discipline, and other similar matters. Trustees may provide routine letters of recommendation, except as otherwise may be prohibited by law or Board policy.

Corporate Opportunity. Trustees owe a duty to the University to advance its legitimate interests when the opportunity to do so arises. Trustees are prohibited from: (i) taking for themselves opportunities that become known through their service as a Board member; (ii) using University resources or their position for personal or professional gain; and (iii) a competing or helping another individual or entity compete with the University. However, if the Board determines that the University will not pursue a particular opportunity, the Trustee may then do so.

Protection and Proper Use of University Assets. Trustees are responsible for protecting the University's assets and ensuring their efficient use in support of the University's mission. A Trustee's use of University assets shall be in support of and in connection with the legitimate interests of the University and may otherwise be permitted for members of the general public.

Compliance with Governance Documents and Law. Trustees are expected to become familiar with and comply with the University's Bylaws, Committee Charters, Board policies. In addition, Trustees are responsible for promoting and upholding laws, rules, regulations and other legal requirements applicable to the University.

Confidential Information. Trustees are responsible for protecting confidential and/or privileged information, including written and verbal communications. Trustees may not use any confidential or privileged information acquired as a result of service to the University for any purpose unrelated to the University.

## COMPLIANCE

Reporting of Violations. Anyone who believes that he or she has information indicating that a Trustee has violated the provisions of this Code of Ethics and Conflict of Interest Policy shall make disclosure of such facts and circumstances to the Chair of the Board and the Secretary and Special Counsel to the Board. If the alleged violation involves the Chair, the disclosure shall be made to the Chair of the Audit and Compliance Committee and the Secretary and Special Counsel to the Board.



| System-wide Policy:<br>BT0002 – Code of Ethics for Trustees |                       |
|---|-----------------------|
| Version: 1  | Effective Date: [TBD] |

5.2

Review of Allegations. The Chair of the Board or, if applicable, the Chair of the Audit and Compliance Committee shall review the alleged violation with the Secretary and Special Counsel to determine whether any such allegation warrants consideration of any censure or other action, including removal from the Board.

Hearing. An appointed Trustee accused of a material violation of the Code of Ethics shall be afforded a due process contested case hearing in accordance with the Tennessee Uniform Administrative Procedures Act, Tennessee Code Annotated, Title 4, Chapter 5.

Removal. If a contested case hearing results in a finding that an appointed Trustee materially violated the Code of Ethics, the Board may remove the Trustee from the Board by a two-thirds ( $\frac{2}{3}$ ) vote of the Board. Upon removal, the position shall be considered vacant, and the vacancy shall be filled as provided by law.

#### RELATED POLICIES

Board Policy (BT0001) – Statement of Governance and Trustee Commitment

Board Policy (BT0003) – Conflict of Interest Policy for Trustees



| System-wide Policy:<br>BT0003 - Conflict of Interest Policy for Trustees |                       |
|--|-----------------------|
| Version: 1   | Effective Date: [TBD] |

5.3

## PURPOSE

The ultimate purpose of any conflict of interest policy is to ensure that each Trustee exercises independent judgment in a manner that he or she reasonably believes to be in the University's best interest consistent with the fiduciary duty of loyalty.

## APPLICABILITY

This policy applies to all voting members of the Board of Trustees.

## CONFLICT OF INTEREST

Pursuant to Tennessee Code Annotated § 49-9-207, it is unlawful for any member of the Board *"to be financially interested in any contract or transaction affecting the interest of the university, or to procure or be a party in any way to procuring, the appointment of any relative to any position of trust or profit connected with the university."* A violation of this statutory provision subjects such individual to removal by the governor or the Board.

Notwithstanding the foregoing, a Board member may serve as an officer, director, or employee of a bank. Subject to disclosure of such relationships to both the University and the bank, the dual relationship shall not invalidate, or adversely affect, any sale, contract or other business transaction between the University and the bank. In this regard, "bank" means any state or national bank, or state or federal savings and loan association, or credit union established pursuant to chapter 4 of Title 45.<sup>1</sup>

A conflict of interest is presumed to exist when a Trustee or an immediate family member: (i) has a financial interest in any entity with which the University is or may be doing business; or (ii) serves in a position of authority (e.g., director, partner, officer, etc.) of an entity with which the University is or may be doing business. For purposes of this policy, an "immediate family member" shall mean a Trustee's spouse, children of the Trustee or his/her spouse, any other members of the Trustee's household, and brothers, sisters, or parents of the Trustee or his/her spouse. Although not all conflicts of interest are impermissible, any actual or potential conflicts of interests should be disclosed as set forth in this policy.

## DISCLOSURE REQUIREMENTS

Initial Disclosure. Each Trustee shall complete and submit a conflict of interest and disclosure questionnaire (the "Questionnaire") no later than thirty (30) days of the effective date of their initial appointment to the Board.

<sup>1</sup> Tennessee Code Annotated § 45-2-405.



| System-wide Policy:<br>BT0003 - Conflict of Interest Policy for Trustees |                       |
|--|-----------------------|
| Version: 1   | Effective Date: [TBD] |

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Annual Disclosure. On an annual basis, each Trustee shall update his/her Questionnaire. The annual disclosure shall be completed on or before January 31 of each year.

Ongoing Disclosure. On an ongoing basis, each Trustee shall amend his/her Questionnaire to reflect any additions or revisions to the items required to be disclosed under this policy. In the event that a matter arises quickly and a Trustee has not had an opportunity to update his/her Questionnaire, the Trustee shall orally declare any such conflict of interest prior to the Board or any standing committee thereof considering any matter relating thereto.

Disclosure associated with other Board responsibilities. From time to time, members of the Board may serve on the boards of Related Foundations of the University (as further described in Board Policy BT0029) and/or in other capacities, which may subject them to additional conflicts of interest policies, guidelines, and disclosure requirements applicable to such positions.

Supplemental Disclosures. From time to time, each Trustee may be requested to make disclosures other than those set forth above as may be required by law or as necessary to assist the University with various applications, certifications, filings, or other reports.

Form of Questionnaire. The Questionnaire may be in written or electronic form and is intended to elicit information about any actual or potential conflicts of interest and other required information. If a Trustee is aware of any additional facts or circumstances that are relevant to, but not contemplated by the inquiries set forth in the Questionnaire, the Trustee has an affirmative obligation to disclose such information in accordance with this intent of this policy. If a Trustee is uncertain about whether an item requires disclosure, then he/she is encouraged to contact the Secretary and Special Counsel to the Board for further guidance and clarification.

Circulation and Review of Questionnaires. The Secretary and Special Counsel to the Board shall be responsible for circulating the Questionnaires to the Board members as contemplated herein. Additionally, the Secretary and Special Counsel to the Board shall review the Questionnaires to identify any actual or potential conflicts of interest. In the event that any actual or potential conflict of interest is identified, such matters shall be brought to the attention of the respective Trustee, the Chair of the Audit and Compliance Committee (or, if the interest involves the Chair an independent member of such Committee), and the Chair of the Board for review and resolution, as may be appropriate.

Abstention from Voting. A Trustee must abstain from voting on or influencing transactions where a conflict of interest exists. For example, a Trustee should abstain from voting on an agreement with a bank, if the Trustee also serves as a director for such bank.

## RELATED POLICIES

Board Policy (BT0001) – Statement of Governance and Trustee Commitment

Board Policy (BT0002) – Code of Ethics for Trustees



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

## AGENDA ITEM SUMMARY

5.4

Meeting Date: June 24, 2022

Item: **Proposed Revisions to Board Policies – Naming of Facilities and Other Assets (Board Policy BT0008)**

Type: Action

Presenters: Cynthia Moore, Secretary and Special Counsel to the Board  
Kerry Witcher, Vice President for Development and Alumni Affairs  
and President and CEO, UT Foundation, Inc.

### **Background Information**

A recent review was conducted of the existing *Board Policy on the Naming of Facilities and Other Assets*, which policy was originally adopted in 2001 and last revised in 2010, along with the related *Guidelines for Naming Opportunities and Endowments* adopted in 2006. This review included extensive benchmarking of similar policies at other institutions of higher education and broad engagement of members of the advancement leaders from across the UT System. Given that the existing policy had been modified multiple times previously and the organizational structure was less than ideal, a determination was made to proceed with developing a new restated policy.

An overview of the concepts that guided the development of this revised policy, along with certain key provisions below.

### **Overview**

In preparing the revised policy, we have focused our attention on the following goals:

- Developing a self-contained policy, with key principles and guidance included within the policy itself, eliminating the need for supplemental guidelines.
- Improving the clarity of the policy, which now includes a list of defined terms.
- Removing items that were considered to be administrative or procedural in nature from the policy. Upon approval of the policy, the University administration will update and/or development new procedures as may be required to adhere to the policy.
- Incorporating an easy reference chart to clearly outline where the authority for various types of namings resides.



## THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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### Policy Highlights

The proposed policy acknowledges that naming opportunities should be consistent with and advance the University's mission and core values. In this regard, it recognizes academic freedom and importance of ensuring that faculty can pursue teaching, research, and scholarship that allows the University maintain its integrity and independence.

The policy distinguishes between honorific namings, philanthropic namings, and administrative namings, outlining the general requirements associated with each type of naming.

Additionally, more general guidance has been provided for namings of Facilities and University Units (i.e., institutes, colleges, schools, or departments) that require Board approval.

### Board Action

The Board Chair will call for a motion for the Board of Trustees to adopt the following Resolution.

**Resolved:** The Board of Trustees hereby approves the Policy on Naming of Facilities and Other Assets, which Policy shall be attached to this Resolution after adoption.

5.4





| System-wide Policy:<br>BT0008 - Policy on the Naming of Facilities and Other Assets |                       |
|---|-----------------------|
| Version: 5  | Effective Date: [TBD] |

5.4

## BOARD OF TRUSTEES POLICY ON NAMING OF FACILITIES AND OTHER ASSETS

### I. PURPOSE

In accordance with the requirements of Tennessee law, the Board of Trustees has been granted the authority to name University buildings. Additionally, pursuant to the University's Bylaws, the Board of Trustees has reserved to itself the naming of other assets of the University, including colleges, schools, and programs, except as delegated to the administration from time to time by Board policy.

The Board of Trustees considers the naming of University buildings and other assets to be one of the highest and most distinct honors that the University can bestow. Namings serve as a long-standing tradition and an important form of recognition of significant philanthropic giving, outstanding and distinguished service to the University, and/or other valuable contributions to society.

The purpose of this policy is to (i) establish consistent principles, guidelines, and other requirements pertaining to naming opportunities, and (ii) set forth delegated levels of authority for approval thereof.

### II. DEFINITIONS

For purposes of this policy, capitalized terms used herein shall have the meanings as set forth in Appendix A attached hereto.

### III. APPLICABILITY

This policy applies to all Honorific and Philanthropic naming opportunities pertaining to Physical Assets and Non-Physical Assets. This policy shall not apply to (i) Administrative namings, or (ii) namings that are designated for finite periods of time of short-term duration temporarily associated with Facilities (e.g., athletics sponsorships), which items are delegated to the University administration.

### IV. PRINCIPLES

All naming opportunities shall be consistent with and advance the University's mission of education, discovery, and outreach and the University's core values. In this regard, it is imperative that the faculty be able to pursue teaching, research, and scholarship in a manner that allows the University to maintain its integrity and independence.



| System-wide Policy:<br>BT0008 - Policy on the Naming of Facilities and Other Assets |                       |
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| Version: 5  | Effective Date: [TBD] |

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Given the prominence and duration of namings, each naming opportunity should be undertaken with utmost care and proper due diligence. Factors to be considered shall include, among others, the following:

- Whether the integrity, reputation, and/or legacy of those being recognized demonstrate exemplary character and the highest standards of personal and professional ethics;
- Whether the naming represents a potential conflict of interest, the appearance of improper commercial influence, or could otherwise compromise the institution's academic freedom;
- Whether any outstanding commitment might impair the University's ability to grant a proposed naming (e.g., where any existing agreement prohibits the changing of a Facility name); and
- Whether any federal, state, or local laws, rules, or regulations or other legal obligations apply (e.g., historic designations, grant/contract provisions, restrictions associated with tax-exempt, bond-financed projects, etc.).

## V. GUIDELINES

The following guidelines are intended to promote a consistent approach to naming opportunities pertaining to the University.

- Honorific Recognition. Nominations of prospective Honorees should demonstrate such person's or persons' historical significance to the University, the State of Tennessee, the nation or the world and reflect: (i) exceptional levels of achievement in advancing knowledge and discovery; (ii) remarkable service and leadership of lasting value to the University; (iii) a longstanding relationship of engagement and support that has contributed to transformational University advancements; and/or (iv) other significant contributions to society.
- Philanthropic Namings. It is appropriate to express the esteem and appreciation the University feels for a Donor who has made a substantial Gift to the University.

Philanthropic namings shall be evidenced by a standard form of Gift agreement, as may be approved by the President based on the advice of the Vice President for Development and Alumni Affairs ("Vice President") and the General Counsel. An exception to the standard form of Gift agreement may be considered by the President, based on the recommendation of the Vice President in consultation with the General Counsel.

Philanthropic recognition shall not grant to a Donor any (i) rights of "ownership" or special use privileges, or (ii) decision-making authority, with respect to any Physical Asset or Non-Physical Asset of the University.



| System-wide Policy:<br>BT0008 - Policy on the Naming of Facilities and Other Assets |                       |
|---|-----------------------|
| Version: 5  | Effective Date: [TBD] |

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C. Naming Exclusions. Namings that are associated with (i) a religious or political organization, or (ii) a partisan interest group shall not be permitted. Additionally, no namings shall be made for or in honor of elected or appointed federal, state or local officials while such individuals are in office. Honorific namings shall not be allowed for any current Board member, employee, or student of the University; however, such limitations shall not apply when there is a Gift that meets the requirements associated with Philanthropic namings or the honoree is a retiring from employment with the University. In the instance where a proposed Philanthropic naming pertaining to a current Board member requires Board approval, such Board member shall declare his/her conflict and abstain from deliberating and voting on the proposed naming.

D. Specific Requirements - Facility Namings.

1. **Functional Use.** The names of University Facilities with specialized functions that are unlikely to change (e.g., residence halls, libraries, athletics, etc.) should include terms that are descriptive of the Facility's primary functional use.
2. **Funding Requirements.** For Philanthropic namings, the Gift should contribute significantly to the realization or completion of a Facility, the enhancement of a Facility's usefulness to the University, or the preservation and care of an existing Facility. Prior to presentation of any Gift agreement or proposal to a Donor suggesting a Facility naming, the respective advancement office shall work in cooperation and coordination with the Vice President to conduct internal and external benchmarking (to the extent available) to consider the Gift amount appropriate for such naming. In evaluating and recommending the appropriate Gift level for a Philanthropic naming, the University administration shall consider, among other things, the following factors: (i) the location and visibility of the Facility; (ii) the size of the Facility; (iii) whether the Facility will accommodate high-profile programs/activities; (iv) the expected level and type of use of the Facility (e.g., high exposure to student traffic, public use, etc.); and (v) the historical significance of the Facility.
3. **Nature and Timing of Gifts.**
  - a) Generally, Gifts associated with the naming of a Facility shall be outright gifts of cash or cash-equivalents (e.g., marketable securities).
  - b) In certain instances, a Pledge, an irrevocable Planned Gift, or non-cash contributions (e.g., gift of real property) may be acceptable for funding a naming opportunity so long as (i) the discounted present value of the Gift (calculated in accordance with the Global Reporting Standards issued by the Council for Advancement and Support of Education) satisfies the funding requirements, (ii) a non-cash contribution may be easily liquidated and is accompanied by a qualified appraisal, and (iii) the need for immediate cash is not a primary consideration. Transformational projects often involve complex gift structures and multiple sources of funding. Typically, a Pledge



# THE UNIVERSITY OF TENNESSEE

| System-wide Policy:<br>BT0008 - Policy on the Naming of Facilities and Other Assets |                       |
|---|-----------------------|
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5.4

shall be paid in equal, pro rata amounts over a period of five (5) to ten (10) years. The guidelines set forth herein are meant to be illustrative and intentionally flexible, and such guidelines may be adapted to accommodate different types of funding mechanisms in order to accomplish important University goals.

c) Revocable Planned Gifts shall not be used for the naming of Facilities.

4. **Proposals and Gift Agreements.** All proposals and related agreements pertaining to Facility namings shall indicate that such namings require the approval of the Board of Trustees or its Executive Committee.
5. **Approvals.** Naming recommendations for Facilities will be submitted to the Board for its consideration after all necessary state and other approvals, if any, have been obtained.
6. **Signage.** All signage, including plaques and other forms of recognition, shall comply with (i) the design standards set by the University and/or the respective campus or institute, (ii) applicable State of Tennessee signage requirements, and (iii) any other federal, state, or local laws, rules, regulations, or ordinances. Corporate logos and other branding design elements shall not be permitted.

## E. Specific Requirements – University Unit Namings.

1. **Funding Requirements.** For Philanthropic namings, the Gift should, among other things, reflect the size, operating budget, and national prominence of the particular University Unit (i.e., institute, college, school, or department). The Gift should be such that it is transformative in nature, enabling the University Unit to improve its competitiveness or distinction. Prior to presentation of any Gift agreement or proposal to a Donor suggesting a University Unit naming, the respective advancement office shall work in cooperation and coordination with the Vice President to conduct internal and external benchmarking (to the extent available) to consider the Gift amount appropriate for such naming. In general, to receive best consideration by the Board, the Gift should be in an amount sufficient to establish a permanent endowment fund that, at a minimum, will (i) generate an annual income distribution equal to or in excess of ten percent (10%) to forty percent (40%) of the current operating budget for the University Unit, or (ii) be equal to or in excess of \$75 million.
2. **Nature and Timing of Gifts.**
  - a) Generally, Gifts associated with the naming of a University Unit shall be outright gifts of cash or cash-equivalents (e.g., marketable securities).



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- b) In certain instances, a Pledge, an irrevocable Planned Gift, or non-cash contributions (e.g., gift of real property) may be acceptable for funding a naming opportunity so long as (i) the discounted present value of the Gift (calculated in accordance with the Global Reporting Standards issued by the Council for Advancement and Support of Education) satisfies the funding requirements, (ii) the non-cash contribution may be easily liquidated and is accompanied by a qualified appraisal, and (iii) the need for immediate cash is not a primary consideration. Transformational initiatives often involve complex gift structures and multiple sources of funding. Typically, a Pledge shall be paid in equal, pro rata amounts over a period of five (5) to ten (10) years. The guidelines set forth herein are meant to be illustrative and intentionally flexible, and such guidelines may be adapted to accommodate different types of funding mechanisms in order to accomplish important University goals.
- c) Revocable Planned Gifts shall not be used for the naming of University Units.
3. ***Proposals and Gift Agreements.*** All proposals and related agreements pertaining to University Unit namings shall indicate that such namings require the approval of the Board of Trustees or its Executive Committee.
4. ***Approvals.*** Naming recommendations for University Units will be submitted to the Board for its consideration after all necessary state and other approvals, if any, have been obtained.
5. ***Signage.*** All signage, including plaques and other forms of recognition, shall comply with (i) the design standards set by the University and/or the respective campus or institute, (ii) applicable State of Tennessee signage requirements, and (iii) any other federal, state, or local laws, rules, regulations, or ordinances. Corporate logos and other branding design elements shall not be permitted.
- F. **Corporate Namings.** Beyond the Principles set forth in Section IV above, supplemental due diligence may be required when a Facility or University Unit is to be named for a business entity, foundation, or other organization. Further, it may be appropriate to limit the duration of a naming of a Facility or University Unit when named for a business entity, foundation, or other organization. The Vice President shall be responsible for establishing administrative procedures to ensure that the proper due diligence is performed prior to the presentation of any Gift agreement or proposal to a Donor suggesting a corporate naming.
- G. **Other Named Assets.** As the University is a multi-campus system comprised of campuses and institutes with distinct missions and constituencies, each component shall be responsible for identifying the minimum Gift requirements corresponding to Other Named Assets, which Gift requirements shall be benchmarked against peer and aspirational peers to the extent such information is available. The minimum Gift requirements for Other Named Assets shall be subject to periodic review and approval by: (i) the Chancellor for the respective campus, as applicable; (ii) the Vice President, and (iii) the President.



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H. Reservation of Rights. Notwithstanding the foregoing, the University, in its sole discretion, may approve, condition, or deny any proposed naming opportunity, even if it satisfies the guidelines set forth herein.

## VI. NAMING AUTHORITY AND DELEGATIONS

The Board of Trustees (or its Executive Committee as permitted by the University's Bylaws) shall have final review and approval authority of all Honorific and Philanthropic namings of Facilities and University Units. Other Physical Assets and Other Named Assets shall be reviewed and approved by the University officers identified on Appendix B.

## VII. NAMING DURATION

The University affirms its commitment to Donors and Honorees to esteem their legacy and historical connection to the University.

With respect to Philanthropic namings of Physical Assets of the University, such namings are intended to remain in place for the useful life of the specific Physical Asset. In the case of a Philanthropic naming of a University Unit, such naming is intended to remain in place for so long as such University Unit continues to exist. Notwithstanding the foregoing, the University and the Donor(s) may agree to a shorter duration for the naming. Upon the expiration of the naming duration, the University, in its sole discretion, may rename the Physical Assets or University Unit. As deemed appropriate by the University, former namesakes and their prior legacy of giving may be recognized through another form of recognition (e.g., a plaque in a new, replacement Facility).

If, prior to the anticipated duration of the naming, a named (i) Physical Asset is to be demolished, sold, transferred, repurposed, substantially renovated, or otherwise materially altered, or (ii) a University Unit is to be reorganized, cease to exist, or otherwise materially altered (e.g., merged with another University Unit), the University shall make a good faith effort to attempt to notify the Donor(s) or their heir(s)/representative(s) of any such an event. While the University shall be under no obligation to continue the original naming or provide substitute naming opportunity; the University may, in its sole discretion, decide to do so or honor the Donor(s) prior legacy of giving through another form of recognition.

The duration of an Honorific naming shall be based on specific terms approved by the Board or the University administration (where such approval has been delegated).





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## **VIII. REVISIONS TO AND REMOVAL OF NAMING RECOGNITION**

In the event that (i) it becomes impossible or impractical to use the named Gift for the purpose designated by the Gift Agreement, or (ii) the Gift Agreement is determined to be in conflict with any federal, state, or local law, rule, regulation, or ordinance, the University shall make a good faith effort to attempt to notify the Donor(s) or their heir(s)/representative(s) of this change in circumstance. In such situations, the Board or the University administration (if the naming approval was delegated) shall direct the use of the Gift in the best interest of the University and in a manner as close as possible to the original intent of the Donor(s) as expressed in the Gift Agreement.

The University may revise and relocate an Honorific naming at its discretion, subject to the terms of any applicable Board resolution. The University shall make a good faith effort to attempt to notify the Honoree(s) or their heirs in advance of any such action. The revision shall be approved by the Board or the University administration (if the naming approval was delegated).

The Board or the University administration (if the naming was delegated), shall, in its sole and absolute discretion, have the right to revoke and/or remove a previously approved naming (whether Honorific or Philanthropic) in exceptional circumstances, including, but not limited to, the following:

- the planned or continued naming is deemed to be no longer consistent with the University's mission or values;
- the planned or continued naming may compromise the public's trust of the University, the reputation, or integrity of the University; and/or
- the terms and conditions of the Gift are not fulfilled by the Donor(s) as originally contemplated (e.g., failure to pay a Pledge, the amount paid to the University is less than the minimum funding requirement, etc.)

Additionally, the President may authorize the removal of a naming of a Facility or University Unit, if the Donor requests the removal of such naming. In all other situations, the President or the designated University Officer (who was authorized to approve a naming) may authorize the removal of other naming opportunities, if the Donor requests the removal of such naming.

Except as may be required by law, the University shall have no financial responsibility to the Donor(s)/Honoree(s) in connection with any revision to or removal of a naming as provided herein.

## **IX. ANNOUNCEMENTS AND NAMING CEREMONIES**

No ceremony or event celebrating a naming required to be approved under this policy shall be announced or held prior to the date of approval of the naming.



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#### X. NAMING POLICY - ADMINISTRATIVE PROCEDURES

The Vice President shall be responsible for the development of administrative procedures, standard Gift agreement templates, and other related materials, with the assistance of the Office of General Counsel, as may be necessary for implementing the provisions of this policy.

#### XI. WAIVER

Notwithstanding the foregoing, the Board of Trustees or its Executive Committee, in its sole and absolute discretion, shall have the authority to waive any provision of this policy on a case-by-case basis, as may be deemed appropriate under the circumstances.

History:

|                      |  |
|----------------------|--|
| Adopted              | 02/22/2001                             |
| Revised              | 03/07/2006<br>02/27/2009<br>10/22/2010 |
| Amended and Restated | [TBD]                                  |





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## APPENDIX A - DEFINITIONS

**Donor(s)** - An individual, a group of individuals (e.g., family members), a foundation, a corporation, or any other entity that makes a Gift (as defined below).

**Gift** - A voluntary, non-reciprocal charitable contribution made by Donor(s) to or for the use of the University, whether made directly to the University or indirectly through a Related Foundation.

**Honoree** - a person who receives special recognition by the University in connection with an Honoring naming recognition (as defined below).

**Planned Gifts** - Gifts that represent a Donor's or Donors' present decision to make a future Gift (e.g., bequest, charitable remainder trust, life insurance policies, retirement plan assets, etc.).

**Pledge** - A good faith commitment to make a Gift over a defined period of time, which is evidenced by a written gift instrument.

**Related Foundations** - The supporting foundations identified in the Board's Policy on Related Foundations (BT0029), as such policy may be amended from time to time.

### *Types of Naming -*

- **Administrative** - a formal assignment of a specific name related to the function or location of a facility or the general description of a program, which is unrelated to an honorific or philanthropic naming.
- **Honorific** - a formal assignment of a specific name to recognize a distinguished individual that generally does not involve a philanthropic gift.
- **Philanthropic** - a formal assignment of a specific name to express appreciation for a Gift from a Donor.

### *Types of Physical Assets -*

- **Facility or Facilities** - Buildings and other facilities (i.e., athletic stadiums, arenas, parking facilities, etc.), including any significant extensions thereto (i.e., wings, annexes, etc.) or collections thereof (i.e., a complex) of the University or held by the Related Foundations for the benefit and use of the University.
- **Interior Spaces/Objects** - Classrooms, laboratories, auditoriums, lobbies, and other common space, lockers, etc.



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- Exterior Spaces/Objects – Courtyards, plazas, malls, memorials, landmarks, streets, entrances/gates, athletic fields, gardens, open spaces, land, pastures/fields, lakes, pathways, etc.

*Types of Non-Physical Assets –*

- University Units – An institute, college, school, or department.
- Other Named Assets – Deanships, chairs, professorships, fellowships, scholarships, lectures, awards, prizes, centers, programs, etc.

**University** – The University of Tennessee, including all of its components (i.e., system administration, campuses, and institutes).



| <b>System-wide Policy:</b><br><b>BT0008 - Policy on the Naming of Facilities and Other Assets</b> |                              |
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**APPENDIX B - NAMING AUTHORITY**

| <b>Physical Assets</b>   | <b>Approval(s)</b>   |
|--|--|
| Facilities   | Board of Trustees*   |
| Interior Spaces/Objects:   |  |
| ➤ UT System Administration   | President or designee                                      |
| ➤ Campus   | Chancellor   |
| ➤ University of Tennessee<br>Institute of Agriculture (UTIA)         | President, Chancellor (UT Knoxville)<br>and UTIA SVC/SVP   |
| ➤ University of Tennessee<br>Space Institute                         | Chancellor (UT Knoxville), Dean, and<br>Executive Director |
| Exterior Spaces/Objects:   |  |
| ➤ UT System Administration   | President or designee                                      |
| ➤ Campus   | Chancellor   |
| ➤ University of Tennessee<br>Institute of Agriculture (UTIA)         | President, Chancellor (UT Knoxville)<br>and UTIA SVC/SVP   |
| ➤ University of Tennessee<br>Space Institute                         | Chancellor (UT Knoxville), Dean, and<br>Executive Director |
| <b>Non-Physical Assets</b>   | <b>Approval(s)</b>   |
| University Units - Institutes, Colleges, Schools, and<br>Departments | Board of Trustees*   |
| Other Named Assets:  |  |
| ➤ UT System Administration   | President or designee                                      |
| ➤ Campus   | Chancellor or designee                                     |
| ➤ University of Tennessee<br>Institute of Agriculture (UTIA)         | President, Chancellor (UT Knoxville)<br>and UTIA SVC/SVP   |
| ➤ University of Tennessee<br>Space Institute                         | Chancellor (UT Knoxville), Dean, and<br>Executive Director |

(\*) The Executive Committee may act on behalf of the Board of Trustees in accordance with the provisions of the University's Bylaws.



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: Adoption of Permanent UAPA Rules for UT Southern

Type: Action

Presenter: Ryan Stinnett, General Counsel

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### **Background Information**

Pursuant to the Uniform Administrative Procedures Act, Tennessee Code Annotated Section 4-5-1, *et seq.*, the University (like other state agencies) must promulgate rules in order to fully implement certain procedures or practices. Shortly after joining the UT System last year, UT Southern began the process of promulgating rules on three topics: a student code of conduct, library fines, and parking rules. Each proposed rule requires Board approval and is discussed below.

### **Student Code of Conduct (Chapter 1720-07-01)**

The UT Southern Student Code of Conduct applies to the conduct of a student that occurs on University-controlled property and, in some cases, conduct that occurs off University-owned property. Among other topics, the Student Code addresses the following:

- the types of student misconduct that are prohibited;
- guidelines for no-contact directives and interim restrictions when allegations of misconduct occur;
- student rights and pre-hearing procedures, including applicable burdens and standards of proof and a student's right to have an advisor;
- the investigation process that is conducted by the Office of Student Conduct, including how a notice of allegations and notice of sanctions are provided to the respondent and the multiple hearing options and alternative and informative resolutions available to the parties;
- the process for hearings before a conduct officer and a student conduct board;
- the process for Title IX hearings involving students;



## THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

- the process for appealing a decision of a conduct officer, the student conduct board, or the Title IX officer to the Associate Vice Chancellor of Student Affairs;
- the disciplinary sanctions that may be imposed for violations of the Student Code;
- the UT Southern “Honor Statement,” which prohibits cheating, plagiarism, and any other type of academic dishonesty; and
- amnesty for individual good Samaritans and students in need of emergency medical attention.

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### **Library Fines (Chapter 1720-07-02)**

The Library Fines rule explains how fines are assessed by the UT Southern library. There are no fines for overdue items borrowed from the library’s regular book collection or for overdue library equipment, unless those items are subject to recall or deemed lost under the rule. However, there are fines, at the rates specified in the rule, for hourly reserve and daily reserve items. The rule also sets out the process and timeline for the library to send overdue notices to borrowers and to block future borrowing of items from the library until outstanding obligations are paid.

### **Parking (Chapter 1720-07-03)**

The UT Southern Parking Rules are intended to promote the safe and orderly parking of vehicles on the property of UT Southern; facilitate the safe and convenient operation of UT Southern business and services; and regulate parking on campus, with priority given to UT Southern business and services. The Parking Rules address vehicle regulations, parking permits, parking requirement and limitations, citations and fines, enforcement, appeals, and hearings. The UT Southern Office of Safety and Security implements and enforces the Parking Rules. Citations may be appealed to the UT Southern Office of Safety and Security within fourteen (14) calendar days from the issuance of the citation. All persons parking vehicles and all persons owning vehicles parked on UT Southern property are subject to and required to comply with the Parking Rules.

### **Rulemaking Process**

The three rules were filed with the Tennessee Secretary of State on February 17, 2022, and published on the Secretary of State’s website. Pursuant to the Uniform Administrative Procedures Act, Tennessee Code Annotated Section 4-5-204, and pursuant to authority delegated by the Board, the University’s General Counsel Ryan Stinnett served as the presiding officer and conducted a rulemaking hearing on each of these rules at UT Southern on April 19, 2022. Board Secretary Cindy Moore and Dr. Daniel McMasters, UT



## THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

Southern's Associate Vice Chancellor for Student Affairs, also participated in the rulemaking hearings. No public comments were received at the hearings on any of the three rules and no written comments were received on any of the three rules from the public during the comment period.

As required by the Act, the next step in promulgating these UT Southern rules is approval by the Board. Upon such approval, the rules will be sent to the State Attorney General's Office for legal review. If the Attorney General's Office approves the rules, the University will file the rules with the Tennessee Secretary of State, and then the Secretary of State will post the rules on its website. The rules will become effective 90 days after such filing. During that time, the University will present the rules to the Joint Government Operations Committee of the General Assembly.

### **Board Action**

The Board Chair will ask a member of the Board to make a motion to approve the following Resolution:

Resolved:

1. The rules for The University of Tennessee (Southern) titled "Chapter 1720-07-01: Student Code of Conduct" (UTS); "Chapter 1720-07-02: Library Fines" (UTS); and "Chapter 1720-07-03: Parking Rules" (UTS), are hereby approved as presented in the meeting materials, which shall be attached to this Resolution after adoption and shall become effective after completion of the rulemaking procedures under the Uniform Administrative Procedures Act.
2. The proper officers of the University be and hereby are authorized to take any and all such actions as may be required or which they may deem necessary or appropriate in order to implement these rules upon them becoming effective.

**Department of State**  
**Division of Publications**  
 312 Rosa L. Parks Ave., 8th Floor, Snodgrass/TN Tower  
 Nashville, TN 37243  
 Phone: 615-741-2650  
 Email: [publications.information@tn.gov](mailto:publications.information@tn.gov)

**For Department of State Use Only**Sequence Number: 02-21-22Notice ID(s): 3441File Date: 2/17/2022

# Notice of Rulemaking Hearing

6.1

*Hearings will be conducted in the manner prescribed by the Uniform Administrative Procedures Act, T.C.A. § 4-5-204. For questions and copies of the notice, contact the person listed below.*

|                                 |  |
|---------------------------------|--|
| <b>Agency/Board/Commission:</b> | University of Tennessee (Southern)   |
| <b>Division:</b>                |  |
| <b>Contact Person:</b>          | C. Ryan Stinnett, General Counsel  |
| <b>Address:</b>                 | 719 Andy Holt Tower, Knoxville, TN 37996                                   |
| <b>Phone:</b>                   | 865-974-2540   |
| <b>Email:</b>                   | <a href="mailto:ryanstinnett@tennessee.edu">ryanstinnett@tennessee.edu</a> |

*Any Individuals with disabilities who wish to participate in these proceedings (to review these filings) and may require aid to facilitate such participation should contact the following at least 10 days prior to the hearing:*

|                     |  |
|---------------------|--|
| <b>ADA Contact:</b> | Crissy Jordan  |
| <b>Address:</b>     | 433 West Madison Street, Pulaski, TN 38478                           |
| <b>Phone:</b>       | 931-363-9882, ext. 3882  |
| <b>Email:</b>       | <a href="mailto:cjorda43@utsouthern.edu">cjorda43@utsouthern.edu</a> |

**Hearing Location(s)** (for additional locations, copy and paste table)

|               |   |   |                                  |
|---------------|---|---|----------------------------------|
| Address 1:    | UT Southern – Gault Fine Arts Center – Recital Hall |   |                                  |
| Address 2:    | 423 W. Madison Street                               |   |                                  |
| City:         | Pulaski   |   |                                  |
| Zip:          | 38478   |   |                                  |
| Hearing Date: | 04/19/2022  |   |                                  |
| Hearing Time: | 1:00 p.m.   | <input checked="" type="checkbox"/> CST/CDT | <input type="checkbox"/> EST/EDT |

**Additional Hearing Information:**

Oral and written comments on the proposed rulemaking will be received at the public hearing on April 19, 2022 at 1:00 p.m. CDT. In addition, interested parties may submit written comments before April 19, 2022, by mail or email to the following person:

C. Ryan Stinnett, General Counsel  
 University of Tennessee  
 719 Andy Holt Tower  
 Knoxville, TN 37996  
 865-974-2540  
[ryanstinnett@tennessee.edu](mailto:ryanstinnett@tennessee.edu)

**Revision Type (check all that apply):**☐ Amendment☒ New☐ Repeal

**Rule(s)** (ALL chapters and rules contained in filing must be listed. If needed, copy and paste additional tables to accommodate more than one chapter. Please enter only **ONE** Rule Number/Rule Title per row.)

| Chapter Number | Chapter Title  |
|----------------|--|
| 1720-07-01     | Student Code of Conduct  |
| Rule Number    | Rule Title   |
| 1720-07-01-.01 | Introduction   |
| 1720-07-01-.02 | Definitions  |
| 1720-07-01-.03 | Jurisdiction   |
| 1720-07-01-.04 | Standards of Conduct   |
| 1720-07-01-.05 | No-Contact Directives  |
| 1720-07-01-.06 | Interim Restrictions   |
| 1720-07-01-.07 | Student Rights and Pre-Hearing Procedures  |
| 1720-07-01-.08 | Student Conduct Board Hearings   |
| 1720-07-01-.09 | Title IX Hearings  |
| 1720-07-01-.10 | Appeals  |
| 1720-07-01-.11 | Sanctions  |
| 1720-07-01-.12 | Honor Statement  |
| 1720-07-01-.13 | Amnesty for Individual Good Samaritans and Students in Need of Emergency Medical Attention |
| 1720-07-01-.14 | Emergency Powers   |
| 1720-07-01-.15 | Severability   |

Place substance of rules and other info here. Statutory authority must be given for each rule change. For information on formatting rules go to <https://sos.tn.gov/products/division-publications/rulemaking-guidelines>.

**RULES OF  
THE UNIVERSITY OF TENNESSEE  
(SOUTHERN)**

**CHAPTER 1720-07-01  
STUDENT CODE OF CONDUCT**

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| 1720-07-01-.02 | Definitions                               | 1720-07-01-.10 | Appeals  |
| 1720-07-01-.03 | Jurisdiction                              | 1720-07-01-.11 | Sanctions  |
| 1720-07-01-.04 | Standards of Conduct                      | 1720-07-01-.12 | Honor Statement  |
| 1720-07-01-.05 | No-Contact Directives                     | 1720-07-01-.13 | Amnesty for Individual Good Samaritans and Students in Need of Emergency Medical Attention |
| 1720-07-01-.06 | Interim Restrictions                      |                | Emergency Powers   |
| 1720-07-01-.07 | Student Rights and Pre-Hearing Procedures | 1720-07-01-.14 | Severability   |
| 1720-07-01-.08 | Student Conduct Board Hearings            | 1720-07-01-.15 |  |

**1720-07-01-.01 INTRODUCTION.**

- (1) Students at the University of Tennessee (the "University") are members of both the University community and the larger community of which the University is a part. Accordingly, students are responsible for conducting themselves in a lawful manner and in compliance with University rules and policies. The University has established the Student Code of Conduct ("Code") in order to advance the mission of the University by maintaining a safe and secure learning environment; protecting the rights and privileges of all members of the University community; providing a basis for orderly conduct of the affairs of the University; promoting a positive relationship between the University and its surrounding community; preserving institutional



integrity and property; encouraging students to engage in conduct that brings credit to themselves and the University; and ensuring that each student who matriculates at the University graduates ready to contribute to society as an ethical and law-abiding citizen.

- (2) The University is committed to respecting students' constitutional rights. The Code shall be interpreted in a way that does not violate students' constitutional rights, including, without limitation, the rights protected by the First Amendment to the United States Constitution.
- (3) Students are responsible for being fully acquainted with and for complying with the Code, the University catalog, handbook, and other rules and policies relating to students. Failure or refusal to comply with the rules and policies established by the University may subject a student to disciplinary action up to and including expulsion from the University.

6.1

**Authority:** T.C.A. § 49-9-209(e).

**1720-07-01-.02 DEFINITIONS.** The following words, terms, or phrases, when used in the Code, shall have the following meanings:

- (1) **Business Day:** Any weekday not designated by the University as a holiday or administrative closure day. When calculating a time period of business days specified in this Code, the business day of the event that triggers a time period is excluded.
- (2) **Complainant:** An individual who may have been subjected to student conduct that violates the Standards of Conduct, regardless of whether that individual makes a complaint or report to OSC. This term does not imply pre-judgment concerning whether the Respondent violated the Standards of Conduct. OSC is the final decision maker with respect to whether an individual is a Complainant for purposes of the Code.
- (3) **Conduct Officer:** A University employee designated by OSC to hold administrative hearings or to present information on behalf of OSC to the Student Conduct Board.
- (4) **Disciplinary Hold:** The University hold described in Section .11(3) of these rules.
- (5) **Faculty Member or Instructor:** A person hired by the University to conduct teaching, research, or supervised clinical placements.
- (6) **Good Faith:** Having a belief in the truth of information that a reasonable person in the same position could have, based on the information known to the person communicating the information at the time the information was communicated by that person. Information is not communicated in good faith if it is communicated with knowing or reckless disregard for information that would negate the former information.
- (7) **Formal Complaint:** A document filed by a Complainant (or signed by the Title IX Coordinator) alleging that a Respondent engaged in sexual harassment, sexual assault, dating violence, domestic violence, stalking, or sexual exploitation, and requesting that the University investigate the allegation. There are two (2) types of Formal Complaints: (i) Formal Complaints that include Title IX Allegations (as defined under Section .02(20)); and (ii) Formal Complaints that do not include Title IX Allegations, but do otherwise include allegations of sexual harassment, sexual assault, dating violence, domestic violence, stalking, or sexual exploitation.
- (8) **Member of the University Community:** A person who is a student, University employee, University volunteer, invited visitor to University-controlled property, or participant in a University-affiliated activity.
- (9) **Notice:** Written notice transmitted by United States mail, courier service, or hand delivery to the address the University's Registrar has on file for the student; and/or by e-mail to a student's University-provided e-mail account. When a notice is transmitted by United States mail or courier service, the notice is effective on the date that it is mailed or delivered to the courier service. When a notice is transmitted by hand delivery, the notice is effective on the date that it

is delivered to the person to whom the notice is addressed. When a notice is transmitted by e-mail, the notice is effective on the date that the e-mail is sent. A student's University-issued email address is the official method of communication used by the University.

- (10) OSC: Office of Student Conduct, which acts through University employees designated by the Associate Vice Chancellor of Student Affairs to act on behalf of the University in the student conduct process, including, without limitation University employees who work in OSC and University employees who work in University Housing.
- (11) Possession: Direct control of a substance or property, actual knowledge of a substance or property, and/or being in such close proximity to the substance or property that it is a reasonable presumption that a person had knowledge of the substance or property.
- (12) Protected Activity: A person's good faith: (a) opposition to conduct prohibited under the Standards of Conduct; (b) report to the University about conduct prohibited under the Standards of Conduct; (c) participation (or reasonable expectation of participation) in any manner in an investigation, meeting, hearing, or interim measure; or (d) exercise of rights or responsibilities under any provision of the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act.
- (13) Respondent: A student or student organization who has been accused of violating the Standards of Conduct and/or whose conduct is being investigated by OSC.
- (14) SCB: Student Conduct Board.
- (15) Sexual Harassment: Conduct on the basis of sex that satisfies one (1) or more of the following: (a) an employee of the University conditioning the provision of an aid, benefit, or service of the University on an individual's participation in unwelcome sexual conduct; (b) unwelcome conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the University's education program or activity; or (c) sexual assault, dating violence, domestic violence, or stalking.
- (16) Staff Member: A person employed by the University on a part- or full-time basis, primarily involved in planning, organizing, staffing, directing and/or controlling efforts to achieve the goals and objectives of the University.
- (17) Standards of Conduct: Rule 1720-07-01-.04.
- (18) Student: For purposes of the Code, the term "student" means:
  - (a) A person enrolled or registered for study at the University, either full-time or part-time, pursuing undergraduate, graduate, or professional studies, as well as non-degree and non-credit programs and courses;
  - (b) A student organization;
  - (c) A person who has completed the immediately preceding Fall or Spring academic term and is eligible for re-enrollment;
  - (d) A person who is not officially enrolled but who has a continuing relationship with the University (e.g., on educational leave or other approved leave status);
  - (e) A person who attended the University during a previous academic term and who engaged in misconduct during the time of enrollment; and/or
  - (f) A person who has been admitted to the University and later matriculates at the University, with respect to misconduct:

- 1. That occurs as part of the application process; or

2. That occurs post-admission and pre-matriculation and falls within the jurisdiction of the Code (e.g., occurs on University-controlled property).
- (19) Student Organization: An organization composed of University students that has submitted a pending application or has completed the process for registration according to University rules.
  - (20) Title IX Allegations: Allegations within a Formal Complaint that a Respondent's conduct constitutes Sexual Harassment (as defined under Section .02(15)) in the University's education program or activity and occurred within the United States, as defined in federal regulations, 34 C.F.R. 106.30(a).
  - (21) Title IX Hearing Officer: As more fully described in Section .09(2), a person or persons appointed to conduct a Title IX Hearing.
  - (22) UAPA: Uniform Administrative Procedures Act, T.C.A. § 4- 5-101 et seq.
  - (23) University: The University of Tennessee (Southern), including without limitation its campuses, centers, institutes, and constituent parts.
  - (24) University-Affiliated Activity: An activity on or off University-controlled property that is initiated, aided, authorized, sponsored, or supervised by the University.
  - (25) University-Controlled Property: All land, grounds, structures, or any other property owned, controlled, or operated by the University. For purposes of this Code, University-controlled property includes, without limitation, all streets, alleys, sidewalks, and public ways abutting such property. University-controlled property also includes computers and network systems owned, controlled, or operated by the University or funded by the University.
  - (26) University Official: An employee of the University, including, without limitation, faculty members and staff members, or, for purposes of this Code, a University-recognized volunteer, when acting in the performance of their duties. Student employees may be considered University officials when acting in the performance of their University duties.
  - (27) Weapon: Any device, instrument, or substance that is designed to, or reasonably could be expected to, inflict a wound, incapacitate, or cause serious bodily injury or death, including, without limitation, firearms (loaded and unloaded, real firearms and devices that would reasonably appear to a law enforcement officer to be real firearms), ammunition, electronic control devices (including but not limited to tasers and stun guns), devices designed to discharge an object (including but not limited to bb guns, air guns, pellet guns, potato guns, and slingshots, but not water guns), explosives, dangerous chemicals (including but not limited to mace, tear gas, and oleoresin capsicum), martial arts weapons, bows and arrows, artificial knuckles, nightsticks, blackjacks, dirks, daggers, swords, and knives with fixed blades longer than four (4) inches. The term "weapon" does not include pocket knives that fold (but not excluding switchblades); chemical repellents available over-the-counter for self-defense; instruments used solely for personal hygiene, preparation of food, maintenance, University-related instruction, or University employment-related duties.
  - (28) Written: To communicate words on paper or electronically. A notice delivered via e-mail constitutes a written notice under this rule.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-01-.03 JURISDICTION.**

- (1) Jurisdiction. The Code applies to the conduct of a student that occurs on University-controlled property. With respect to conduct that does not occur on University-controlled property, the University has the discretion to discipline a student for conduct in violation of the Code if the student's conduct adversely affects the interests of the University, including, without limitation,

when the conduct:

- (a) Occurs during or in connection with a University-affiliated activity, including, without limitation, an overseas study program, clinical or field placement, internship, or in-service experience;
  - (b) Consists of academic dishonesty or research misconduct;
  - (c) Is prohibited by local, state, or federal law;
  - (d) Involves another member of the University community; or
  - (e) Threatens, or indicates that the student may pose a threat to, the health or safety of the student or other person, or the security of any person's property, including, without limitation, alcohol-related misconduct, drug-related misconduct, arson, battery, fraud, hazing, sexual assault or misconduct, stalking, or theft.
- (2) Relation to Criminal and Civil Legal Proceedings. The Code has been adopted in furtherance of the University's interests and serves to supplement, rather than substitute for, the enforcement of the civil and criminal law. Accordingly, University disciplinary action may be instituted against a student charged with conduct that potentially violates both the criminal law and the Standards of Conduct without regard to the pendency of criminal charges or civil litigation. At the discretion of the Associate Vice Chancellor of Student Affairs, disciplinary action relating to a violation of the Standards of Conduct may be carried out prior to, simultaneously with, or following criminal proceedings. Students accused of violating the Standards of Conduct may not challenge the University disciplinary proceedings on the grounds that criminal charges, civil litigation, or other University proceedings regarding the same incident are pending or have been terminated, dismissed, reduced, or not yet adjudicated.
- (3) Responsibility for Conduct. Each student shall be responsible for his/her conduct from the time of application for admission through the actual awarding of a degree, even though conduct may occur before classes begin or after classes end, as well as during the academic year and during periods between terms of actual enrollment (and even if his/her conduct is not discovered until after a degree is awarded). Should a student withdraw from the University with disciplinary charges pending, a disciplinary hold may be implemented or remain in place, and the student's academic record and/or ability to register for classes may be encumbered by the appropriate University office.
- (4) Professional and Ethical Standards. Graduate or professional programs within the University may take separate and independent academic action against students for alleged violations of professional and/or ethical standards using procedures other than those contained in the Code.
- (5) Student Organizations' Responsibility for Violations of the Standards of Conduct. Notwithstanding anything in the Code to the contrary, a student organization may be found responsible for conduct that violates the Standards of Conduct only if the conduct is fairly attributable to the student organization. Whether conduct is fairly attributable to the student organization will be determined by OSC based on a totality of the following criteria:
- (a) Whether the misconduct was endorsed by one (1) or more officers of the student organization ("endorsed by" means: having prior knowledge that the misconduct was reasonably likely to occur and failing to take reasonable preventative or corrective action; failing to attempt to stop known misconduct while it is occurring; and/or helping to plan, promote, or carry out the misconduct);
  - (b) Whether the misconduct occurred in connection with an activity:
    - 1. Financed by the student organization and/or one (1) or more members or alumni of the student organization who contributed personal funds in lieu of organizational funds;

2. Related to initiation into, admission into, affiliation with, or as a condition for continued membership in the student organization; and/or
  3. Advertised, promoted, or publicized in such a way that a reasonable student viewing or hearing the advertisement, promotion, or publication would believe that the activity was affiliated with the student organization.
- (c) Whether the misconduct occurred on property owned, controlled, rented, leased, and/or used by the student organization and/or any of its members/alumni acting on the student organization's behalf; and/or
- (d) Whether a member of the student organization attempted to conceal the activity connected with the misconduct or conceal the misconduct of another member of the student organization.

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**Authority:** T.C.A. § 49-9-209(e).

**1720-07-01-.04 STANDARDS OF CONDUCT.** Students are prohibited from engaging in the following types of misconduct:

- (1) Academic Dishonesty. Cheating, plagiarism, or any other act of academic dishonesty, including, without limitation, an act in violation of the Honor Code.
- (2) False Information. Providing false information to a University official.
- (3) Misuse of Information in Connection with University Investigation or Hearing. Falsifying, distorting, misrepresenting, or withholding information in connection with a University investigation or hearing.
- (4) Misconduct Relating to Records or Identification. Forging, altering, destroying, falsifying, or misusing records or identification, whether in print or electronic form.
- (5) Harm to Others. Causing physical harm to any person; endangering the health, safety, or welfare of any person; engaging in conduct that causes a reasonable person to fear harm to their health or safety; or making an oral or written statement that an objectively reasonable person hearing or reading the statement would interpret as a serious expression of an intent to commit an act of unlawful violence to a particular individual or group of individuals.
- (6) Harassment. Unwelcome conduct that is so severe, pervasive, and objectively offensive, that it substantially interferes with the ability of a person to work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech).
- (7) Sexual Harassment, Sexual Assault, Dating Violence, Domestic Violence, Stalking, Sexual Exploitation, and/or Retaliation. Violating the University's Policy on Sexual Harassment, Sexual Assault, Dating and Domestic Violence, and Stalking, which includes sexual exploitation and retaliation.
- (8) Invasion of Privacy. Invasion of another person's privacy when that person has a reasonable expectation of privacy, including, without limitation, using electronic or other means to make a video or photographic record of any person in a location in which the person has a reasonable expectation of privacy, without the person's knowledge or consent. This includes, but is not limited to, making a video or photographic record of a person in shower/locker rooms or restrooms. The storing, sharing, and/or distributing of such nonconsensual recordings by any means is also prohibited.
- (9) Private or Public Property. Any of the following conduct with respect to private or public property, including, without limitation, University-controlled property: theft; misappropriation; unauthorized

possession, use, sale, duplication, or entry; vandalism; destruction; damage; or conduct that is reasonably likely to cause damage.

- (10) **Hazing.** Any intentional or reckless act, on or off University-controlled property, by one (1) student, acting alone or with others, which is directed against any other student, which endangers the mental or physical health, safety, or welfare of that student, or which induces or coerces a student to endanger his or her mental or physical health, safety, or welfare. Hazing does not include customary athletic events or similar contests or competitions and is limited to those actions taken and situations created in connection with initiation into or affiliation with any organization, regardless of the student's willingness to participate.
- (11) **Disorderly Conduct.** Fighting or other physically violent or physically threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.
- (12) **Lewd, Indecent, or Obscene Conduct.** Engaging in lewd, indecent, or obscene conduct, including, without limitation, public exposure of one's sexual organs, public urinating, and public sexual acts.
- (13) **Imminent Lawless Action.** Engaging in speech, whether orally, in writing, or by electronic means, that is directed to inciting or producing imminent lawless action and is likely to incite or produce such action.
- (14) **Fire Safety.** Any act of arson; falsely reporting a fire, the presence of an explosive or incendiary device, or other emergency; setting off a false fire alarm; or tampering with, removing, or damaging fire alarms, fire extinguishers or any other safety or emergency equipment from its proper location except when removed in a situation in which there is a reasonable belief of the need for such equipment.
- (15) **University Keys, Access Cards, and Identification.** Possessing, using, or duplicating University keys, University access cards, or University identification cards without authorization from the University.
- (16) **Information Technology.** Theft, misuse, or unauthorized use of information technology facilities, resources, or access codes, including, without limitation: unauthorized entry into or transfer of a file; using another person's identification and/or password without that person's consent; using information technology facilities or resources to interfere with the work of another student, faculty member, staff member, or other member of the University community; using information technology facilities or resources to interfere with normal operation of a University information technology system or network; circumventing University information technology system or network security; using information technology facilities or resources in violation of copyright laws; falsifying an e-mail header; and conduct that violates the University's policy on the acceptable use of information technology resources.
- (17) **Weapons.** Possessing, carrying, using, storing, or manufacturing any weapon if prohibited by federal, state, or local law; or possessing, carrying, using, storing, or manufacturing any weapon on University-controlled property or in connection with a University-affiliated activity, unless authorized in writing by the Director of Safety and Security or unless federal or state law affirmatively gives a student a right, irrespective of this Chapter, to possess or carry a weapon on University-controlled property or in connection with a University-affiliated activity.
- (18) **Alcohol-Related Conduct – University Property or University Activities.** Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages on University-controlled property or in connection with a University-affiliated activity unless expressly permitted by University rules or policy.
- (19) **Alcohol-Related Conduct Prohibited by Law.** Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages, if prohibited

by federal, state, or local law.

- (20) **Providing Alcohol to Underage Person.** Providing an alcoholic beverage to a person younger than twenty-one (21) years of age, unless permitted by law.
- (21) **Drugs and Drug Paraphernalia.** Using, manufacturing, possessing, distributing, selling, dispensing, or being under the influence of drugs, if prohibited by federal, state, or local law; using, manufacturing, possessing, distributing, or selling drug paraphernalia, if prohibited by federal, state, or local law; using or possessing a prescription drug if the prescription was not issued to the student; or distributing or selling a prescription drug to a person to whom the prescription was not originally issued.
- (22) **Failure to Fulfill a University Financial Obligation.** Failing to timely fulfill a University bill, account, or other financial obligation owed to the University.
- (23) **Failure to Respond, Comply, or Identify.** Failing to respond to a request to report to a University administrative office; failing to comply with a lawful directive of a University employee or other public official acting within the scope of their duties; or failing to identify oneself to a University employee or other public official acting within the scope of their duties when requested to do so.
- (24) **Failure to Appear.** Failing to appear at a University hearing, including, without limitation, a hearing of a University conduct board, following a request to appear either as a party or as a witness, unless the student has a right to not appear under state or federal law.
- (25) **Violation of Interim Administrative Actions, Disciplinary Sanctions, or Conditions of Re-Enrollment.** Violating the terms of a no-contact directive, an interim restriction (e.g., interim suspension), a disciplinary sanction, or a condition of re-enrollment imposed by the University.
- (26) **Obstruction or Disruption of University Activity.** Obstructing or disrupting teaching, learning, studying, research, public service, administration, disciplinary proceedings, emergency services, or any other University-affiliated activity, whether the activity is in person or remote, or the free flow of pedestrian or vehicular traffic on University-controlled property. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution.
- (27) **Violation of University Policy or Rule.** Violating a University policy or rule, including, without limitation, University policies or rules relating to facilities' use, smoking, the acceptable use of information technology resources, research misconduct, finder's fees relating to clinical investigations involving human subjects or access to University data or materials, University libraries, dining services, parking or transportation, University identification card use, sexual harassment, residence halls, and registered student organizations.
- (28) **Act Prohibited by Law.** Committing an act that is prohibited by federal, state, or local law.
- (29) **Attempted Violation; Accessory to Violation.** Attempting to commit a violation of a Standard of Conduct or being an accessory to the commission of an act or attempted act in violation of a Standard of Conduct.
- (30) **Retaliation.** Engaging in retaliation. Retaliation is an act or omission committed by a student because of another person's participation in a protected activity that would discourage a reasonable person from engaging in protected activity, including, without limitation, any act or omission constituting "retaliation" under the University's Policy on Sexual Harassment, Sexual Assault, Dating and Domestic Violence, and Stalking and University rules. Retaliation violates the Standards of Conduct regardless of whether the underlying allegation of a violation of the Standards of Conduct is ultimately found to have merit. Retaliation can include, without limitation: (a) an act or omission committed against a person's family, friends, advisors, and/or other persons reasonably expected to provide information in connection with a University investigation or hearing; and (b) an act or omission committed by a student through a third party.

**Authority:** T.C.A. § 49-9-209(e).

**1720-07-01-.05 NO-CONTACT DIRECTIVES.** In cases involving allegations of assault, injury, sexual abuse, harassment, or in cases where there is reason to believe continued contact between a student and specific persons may interfere with those persons' security, safety or ability to participate effectively in work or studies, the University may issue a written instruction to a student, called a no-contact directive, that prohibits a student from having verbal, physical, written, and/or electronic contact with specific other persons for a definite or indefinite period of time. A no-contact directive also may prohibit a student from being present on designated University-controlled property. Any student, faculty, or staff member or other person with a reasonable justification may request that the University issue a no-contact directive to a student. However, the University retains ultimate authority to decide whether or not to issue a no-contact directive. Restrictions in a no-contact directive are not interim restrictions.

**Authority:** T.C.A. § 49-9-209(e).

**1720-07-01-.06 INTERIM RESTRICTIONS.**

- (1) When the Associate Vice Chancellor of Student Affairs has reasonable cause to believe that a Respondent's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an ongoing threat to the disruption of, or interference with, the normal operations of the University, the Associate Vice Chancellor of Student Affairs may impose interim restrictions prior to the conclusion of the student conduct process. Examples of interim restrictions include, without limitation, restricting the student's privileges to participate in University-affiliated activities, restricting the student's privileges to access University-controlled property, University-owned housing removal and/or reassignment, and/or interim suspension.
- (2) Interim restrictions shall be confirmed by written notice to the Respondent that explains the basis for the interim restrictions and shall remain in effect until the conclusion of the student conduct process, which shall be completed without undue delay.
- (3) Within three (3) business days of the imposition of interim restrictions, the Respondent shall be offered an opportunity to appear personally before the Associate Vice Chancellor of Student Affairs in order to discuss the following issues only: (a) the reliability of the information concerning the Respondent's conduct; and (b) whether the conduct and surrounding circumstances reasonably indicate that the Respondent's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an imminent threat of disruption of or interference with the normal operations of the University.
- (4) An interim suspension is an official separation of the Respondent from the University until the conclusion of the student conduct process or the interim suspension is lifted, whichever occurs first. While on interim suspension, the Respondent loses all University rights and privileges (e.g., enrollment privileges) except for the rights and privileges to contest the allegations pursuant to the Code, shall not represent the University in any official manner, and shall not be present on University-controlled property or participate in University-affiliated activities without the prior approval of the Associate Vice Chancellor of Student Affairs.
- (5) When a Respondent is placed on interim suspension from the University, the Respondent may be assigned a grade of "W" or "I," whichever is deemed more appropriate by the faculty member involved.
- (6) Notwithstanding any other provision in this Section .06, in any case in which a Formal Complaint is filed that includes Title IX Allegations, the Associate Vice Chancellor of Student Affairs may impose an interim suspension on a Respondent only after undertaking an individualized safety and risk analysis, and determining that an immediate threat to the physical health or safety of any student or other individual arising from the allegations of Sexual Harassment justifies removal of the Respondent. The Associate Vice Chancellor of Student Affairs will provide the Respondent notice and an opportunity for the Respondent to challenge an interim suspension or removal within three (3) business days.



**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-01-.07 STUDENT RIGHTS AND PRE-HEARING PROCEDURES.**

- (1) Conflicts of Interest.
  - (a) The student conduct process must be carried out in a manner that is free from conflicts of interest or bias and is consistent with due process of law.
  - (b) In all cases involving allegations of sexual assault, dating violence, domestic violence, or stalking, the student conduct process must include protections for the Respondent analogous to, and no less protective than, the conflict of interest provisions of T.C.A. § 4-5-303. Notwithstanding the preceding sentence: 1. an attorney for the University is allowed to provide legal advice to multiple University employees who serve in different roles in the process of disciplining a student; and 2. the University is allowed to provide the Complainant with equivalent rights as the Respondent during the student conduct process.
- (2) Burden of Proof and Standard of Proof. The Respondent has the right to the presumption that the Respondent is not responsible for the allegations of misconduct. The University bears the burden of presenting information demonstrating that the Respondent is responsible for any violations of the Standards of Conduct. The University uses the preponderance of the evidence standard when making determinations about whether a Respondent is responsible for violating a Standard of Conduct, meaning the information must demonstrate that it is more likely than not that the Respondent violated a Standard of Conduct.
- (3) Right to an Advisor. The Complainant and the Respondent each may be assisted by one (1) advisor of their choice and at their own cost during all stages of the student conduct process. The advisor may be, but is not required to be, an attorney. The role of an advisor is limited to assisting, advising, and/or supporting a Complainant or Respondent during the student conduct process, including at all meetings, investigative interviews, and hearings. An advisor is not permitted to speak for or on behalf of a Complainant or Respondent, appear in lieu of a Complainant or Respondent, participate as a witness, or participate directly in any other manner during any phase of the student conduct process, except in a Title IX Hearing. If a Complainant or Respondent does not have an advisor present at a Title IX Hearing, the University must provide one (1) (selected by the University) without fee or charge to that party. In a Title IX Hearing, the advisor is permitted to speak on behalf of a Complainant or Respondent for the purposes of asking questions of the other party and witnesses, in accordance with Section .09(5)(f). of this Chapter.
- (4) Allegations of Sexual Harassment, Sexual Assault, Dating Violence, Domestic Violence, Stalking, or Sexual Exploitation. In cases involving allegations of sexual harassment, sexual assault, dating violence, domestic violence, stalking, or sexual exploitation, the student conduct process is initiated upon the filing of a Formal Complaint by a Complainant or by the Title IX Coordinator. As soon as practicable after the filing of a Formal Complaint, OSC will provide a Notice of Receipt of Formal Complaint to the parties who are known. The Notice of Receipt of Formal Complaint shall include the following information: (a) notice of the student conduct process that applies to the allegations; (b) the identities of the parties involved in the incident; (c) the conduct allegedly constituting the violation; (d) the date and location of the incident, if known; (e) a statement that the Respondent is presumed not responsible for the alleged conduct; (f) a statement that a determination regarding responsibility is made at the conclusion of the student conduct process; (g) the parties' right to have an advisor of their choice, who may be, but is not required to be, an attorney; (h) the parties' right to inspect and review evidence; and (i) notice of the provisions of the Code that prohibit providing false information to a University official.
- (5) Investigations.
  - (a) OSC may investigate the allegations against the Respondent by interviewing witnesses and obtaining other information. If OSC investigates allegations against a Respondent,

OSC will 1. ensure that the burden of proof of gathering evidence rests on the University and not on the parties; 2. provide an equal opportunity for the parties to identify and present witnesses for interview, including fact witnesses and expert witnesses, and other inculpatory and exculpatory evidence; and 3. provide written notice of the date, time, location, participants, and purpose of all investigative interviews and other meetings, with sufficient time to prepare to participate. OSC is not obligated to interview a witness identified by the Respondent or the Complainant if OSC believes the witness is not likely to possess relevant information, is not likely to lead OSC to the discovery of relevant information, or the information the witness is likely to possess is cumulative of other information gathered by OSC. OSC may interview and re-interview the Complainant, the Respondent, and/or any other person at any time during the investigation in order to obtain additional and/or clarifying information. Investigations conducted by OSC will be prompt, thorough, and equitable. In conducting an investigation, OSC will act as a fair and impartial party rather than a representative of the person, office, unit, organization, or entity that submitted the allegations to OSC. At the conclusion of its investigation, OSC may prepare a written investigative report of the findings of the investigation. The investigative report may include an assessment of the credibility of persons interviewed during the investigation and an assessment of whether it is more likely than not that the Respondent violated the Standards of Conduct.

- (b) In cases in which a Complainant or the Title IX Coordinator has filed a Formal Complaint alleging sexual harassment, sexual assault, dating violence, domestic violence, stalking, or sexual exploitation, OSC will provide both parties with an equal opportunity to inspect and review any evidence obtained as part of the investigation that is directly related to the allegations raised in the Formal Complaint. Prior to completion of the investigative report, OSC will send to each party and the party's advisor, if any, the evidence subject to inspection and review. The parties must have at least ten (10) business days to submit a written response, which OSC will consider prior to completion of the investigative report. After the investigative report is final, the parties shall have ten (10) business days to submit a written response to the report. No hearing shall occur until that 10-day period has expired, even if the parties have submitted responses prior to the expiration of the 10-day period.
- (6) Notice of Allegations and Notice of Sanctions.
  - (a) A Notice of Allegations is a written notice that informs the Respondent that OSC has concluded that it is more likely than not that the Respondent violated the Standards of Conduct. A Notice of Allegations generally includes, without limitation, the following information: 1. a brief summary of the facts of Respondent's alleged misconduct; 2. notice that OSC has determined that it is more likely than not that Respondent violated the Standards of Conduct; 3. notice of the specific Standard(s) of Conduct that OSC has determined the Respondent more likely than not violated; 4. the Respondent's option(s) to elect a hearing to contest OSC's determination of responsibility for misconduct and/or the sanction(s); and 5. the names of witnesses likely to present information concerning the alleged misconduct if the Respondent elects to contest the allegations through a hearing.
  - (b) A Notice of Sanctions is a written notice that informs the Respondent of the disciplinary sanction(s) that OSC proposes for the violation(s) of the Standards of Conduct.
  - (c) If the Respondent fails to elect a hearing option available under Section .07(7) within seven (7) business days of OSC transmitting a Notice of Allegations and Notice of Sanctions in writing to the Respondent, then the Respondent waives all rights to a hearing, and OSC has the discretion to deem the Respondent to have accepted OSC's determination of responsibility for misconduct and may impose sanction(s) deemed appropriate by OSC (unless OSC extends the time for the Respondent to request a Formal Hearing for good cause).
  - (d) This Section .07(6) does not apply to Formal Complaints that include Title IX

## Allegations.

- (7) Hearing Options. A Respondent shall have the following options for a hearing:
- (a) An administrative hearing before the Conduct Officer, which is described in Section .07(9);
  - (b) A hearing before the Student Conduct Board, which is described in Section .08;
  - (c) A hearing before a Title IX Hearing Officer ("Title IX Hearing"), which is described in Section .09 and which is the only hearing option provided under the Code for determining responsibility for Title IX Allegations; or
  - (d) A contested case hearing under the Uniform Administrative Procedures Act, which is conducted in accordance with the University's procedures for conducting contested case proceedings under the UAPA, Chapter 1720-01-05.
- (8) Alternative Resolution and Informal Resolution.
- (a) Alternative Resolution. At any time during the student conduct process, allegations against the Respondent (except for Title IX Allegations which may be resolved through the Informal Resolution process set forth in Section .07(8)(b)) may be resolved through an alternative resolution. An alternative resolution is a resolution that is reached through a process and/or by a sanction or restriction not described in the Code. An alternative resolution concludes with a written agreement that confirms an agreement to resolve the allegations against the Respondent. To be valid, an alternative resolution agreement shall be signed by OSC and the Respondent, and shall include a waiver of the Respondent's right, if any, to have a hearing on the allegations. Prior to the execution of an alternative resolution agreement, if a Complainant has not participated with OSC in the discussion of an alternative resolution, then OSC will provide the Complainant with an opportunity to provide a timely objection to the proposed alternative resolution. In appropriate cases, OSC may request the Complainant to sign an alternative resolution agreement and determine that the alternative resolution agreement is not effective without the Complainant's signature. Neither the Respondent nor the Complainant may revoke or appeal an alternative resolution agreement after that party has signed the agreement. If an alternative resolution agreement is not reached, then the student conduct process will proceed, and the allegations against the Respondent will be resolved through one (1) of the other resolution methods in the Code.
  - (b) Informal Resolution. In cases that include Title IX Allegations, at any time prior to reaching a determination regarding responsibility, OSC may facilitate an informal resolution process that does not involve a full investigation and adjudication. To facilitate an informal resolution, OSC will (a) provide to the parties a written notice disclosing the allegations and the requirements of the informal resolution process including the circumstances under which it precludes the parties from resuming a Formal Complaint arising from the same allegations, and (b) obtain the parties' voluntary, written consent to the informal resolution process. At any time prior to agreeing to a resolution, any party has the right to withdraw from the informal resolution process and resume the student conduct process with respect to the Formal Complaint.
- (9) Administrative Hearing Before Conduct Officer. A Respondent has a right to resolve a disciplinary case through an administrative hearing with the Conduct Officer by accepting responsibility for violating the Standards of Conduct. Following the Respondent's written acceptance of responsibility and written waiver of the right to a hearing under the UAPA, if applicable, the Conduct Officer will assess a sanction that is appropriate under Chapter 1720-07-01-.11. Following the assessment of the sanction, the Respondent may acknowledge the acceptance of the sanction in writing or appeal the sanction to the Associate Vice Chancellor of Student Affairs in accordance with Chapter 1720-07-01-.10. A Respondent who resolves a disciplinary case through an administrative hearing with the Conduct Officer may only appeal the sanction to the Associate Vice Chancellor of Student Affairs. A Respondent may not revoke a written acceptance of responsibility or a written acceptance of sanctions.

**Authority:** T.C.A. § 49-9-209(e).

**1720-07-01-.08 STUDENT CONDUCT BOARD HEARINGS.**

- (1) Notice of SCB Hearing.
  - (a) When a Notice of SCB Hearing Is Sent. If the Respondent requests a SCB hearing in accordance with Section .07(7)(b), then OSC will send the Respondent and the Complainant a Notice of SCB Hearing at least seven (7) business days in advance of the date of the hearing.
  - (b) Information in the Notice of SCB Hearing. The Notice of SCB Hearing generally will contain, or be accompanied by, the following information: 1. the date, time, and place of the SCB hearing (OSC may reschedule the SCB hearing for good cause and issue a revised Notice of SCB hearing that contains a new date, time, and place of the SCB hearing); 2. a copy of the Notice of Allegations; 3. the sanction(s) that the Conduct Officer will request the SCB impose on the Respondent; 4. the names of all witnesses through whom the Conduct Officer is likely to present information during the SCB hearing; 5. a notice of the right to the assistance and/or support of an Advisor during the SCB hearing; 6. a description of all tangible or electronic information that the Conduct Officer is likely to present to the SCB, such as an investigative report, police report, incident report, witness statements, video or audio recordings, photographs, text messages, or phone records; 7. notice of the right to request a copy of OSC's investigative file, redacted in accordance with the Family Educational Rights and Privacy Act of 1974, (20 U.S.C. § 1232g), and the federal regulations implementing that statute, as amended; and 8. notice of the right to request copies of all documents, copies of all electronically stored information, and access to tangible evidence that the University has in its possession, custody, or control and may use to support claims or defenses, unless the use would be solely for impeachment.
  - (c) More than One Respondent. In cases involving more than one (1) Respondent, SCB hearings concerning each Respondent's conduct may be conducted separately upon written request of a Respondent submitted at the time of the Respondent's request for a hearing. OSC has the discretion to make the final determination of whether to grant such a request and will notify the Respondents of the decision.
  - (d) Consequences of Failing to Attend a SCB Hearing. If the Respondent fails to attend a SCB hearing, then the Respondent waives all rights to an SCB hearing. The SCB may: proceed with the SCB hearing without the Respondent's participation; hold the Respondent accountable for all decisions made in the Respondent's absence, including, without limitation, decisions concerning responsibility for alleged violations of the Standards of Conduct; and may determine that the Respondent's failure to attend the hearing constitutes a separate violation of the Standards of Conduct. If the SCB determines, in the Respondent's absence, that it is more likely than not that the Respondent violated the Standards of Conduct, then OSC may implement the sanctions imposed by the SCB and conclude the student conduct process.
- (2) Composition of the Student Conduct Board.
  - (a) Eligible Pool. The University shall appoint a pool of persons who are eligible to serve on a SCB. The University may appoint University students, University faculty members, or University staff employees; however, an employee who works in OSC is ineligible to serve on a SCB. Persons appointed by the University will be trained by OSC to serve on a SCB.
  - (b) Appointment of the SCB. The SCB is an ad hoc board composed of three (3) members of the eligible pool and one (1) non-voting member of the eligible pool designated as the Chairperson. The SCB and the Chairperson are appointed by the Associate Vice Chancellor of Student Affairs.

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- (c) Fairness and Impartiality of SCB Members. Any member of the SCB who determines that they cannot decide a case fairly and impartially for any reason (e.g., having a personal prejudice or bias) shall excuse themselves from serving on the SCB. The Complainant and the Respondent have the right to request the removal of any member of the SCB, including the Chairperson, on the grounds that the person cannot be fair and impartial in deciding the case. Any request for the removal of a member of the SCB must be made in writing to the Associate Vice Chancellor of Student Affairs at least three (3) business days in advance of the date of the hearing. If the Associate Vice Chancellor of Student Affairs decides that any member of the SCB cannot be fair and impartial, then the Associate Vice Chancellor of Student Affairs shall appoint a new member of the eligible pool.

(3) General Rules Governing SCB hearings.

(a) Required Pre-Hearing Information and Copies – Complainant and Respondent.

1. At least five (5) business days prior to the SCB hearing, the Complainant and the Respondent must provide the following to OSC in writing:
    - (i) The name of their advisor, if any, who will attend the SCB hearing;
    - (ii) The names of all witnesses and a brief summary of the information that they reasonably anticipate that each witness will provide to the SCB; and
    - (iii) A copy of all tangible or electronic information that they plan to present to the SCB (including, but not limited to, witness statements, video or audio recordings, photographs, text messages, phone records, medical bills, diagrams). However, they are not required to provide copies of information that is not in a form that allows copying (e.g., weapon; piece of clothing), in which case they should describe the information in writing.
  2. During the SCB hearing, the Complainant and the Respondent may present witnesses who were not identified in the Notice of SCB Hearing only if they comply with this Section .08(3)(a) (i.e., other witnesses not identified to OSC in writing at least five (5) business days prior to the SCB hearing will not be allowed). The Complainant and the Respondent are responsible for contacting witnesses who were not identified in the Notice of SCB Hearing, informing them about the date, time, and place of the SCB hearing, and securing their attendance at the SCB hearing.
  3. During the sanctioning phase of the SCB hearing only, the parties may present statements that they want the SCB to consider in determining the appropriate sanction to impose on the Respondent if the SCB finds that the Respondent violated a Standard of Conduct. The Complainant's statement may include a description of the impact of the Respondent's conduct on the Complainant. The Respondent's statement may include a description of any factors the Respondent believes mitigates the conduct. The Respondent may also present character statements during the sanctioning phase of the hearing only.
- (b) Pre-Hearing Review of Information. Individuals involved with the hearing are responsible for contacting OSC to arrange a time to review the information prior to the hearing if such review is desired and the information has not been made available electronically. No less than three (3) business days prior to the hearing, OSC will make copies of information submitted by the Complainant, the Respondent, and the Conduct Officer available for review by the Complainant, Respondent, their respective advisors, and members of the SCB. Those individuals will be notified by OSC when materials are available for review. OSC may make the information available electronically. In its sole discretion, OSC may redact irrelevant information prior to making information available.
- (c) Recording of the SCB Hearing. The University shall be responsible for making a verbatim record (e.g., digital or other recording) of a SCB hearing. Deliberations of the SCB shall not be recorded. The record of the SCB hearing shall be the property of the University. The

Complainant and the Respondent may take notes during a SCB hearing, which shall be their own property, but neither the Complainant nor the Respondent may record the hearing using any other method of recording. However, the University will provide a copy of the verbatim record to the Complainant and the Respondent upon request.

- (d) Attendance and Participation. Attendance during an SCB hearing generally is limited to members of the SCB, the Conduct Officer, the Complainant and the Complainant's advisor, the Respondent and the Respondent's advisor, and witnesses. The Conduct Officer, the Complainant, the Respondent, advisors, and witnesses may not be present during the deliberations of the SCB. Witnesses may attend the SCB hearing only while they are presenting information to the SCB, unless the witness is the Complainant or the Respondent. The Chairperson and OSC have the discretion to allow other persons to attend the SCB hearing, in accordance with state and federal law. The Complainant and the Complainant's advisor may attend any part of the SCB hearing (excluding the deliberations of the SCB), but the Complainant and the Complainant's advisor shall be excused from the hearing room when the Respondent's education records or information obtained from the Respondent's education records are disclosed unless the information is also part of the Complainant's education records. However, the previous sentence shall not apply, and the Complainant and the Complainant's advisor shall have the right to attend the entire SCB hearing, in cases of sexual assault, dating violence, domestic violence, and stalking. The Chairperson may accommodate concerns for the personal safety, well-being, and/or fears of confrontations of the Complainant, the Respondent, and/or witnesses by permitting attendance or participation by closed circuit television, video conferencing, or other appropriate means, as determined in the discretion of the Chairperson. However, the identity of all persons who present information to the SCB must be made known to the Respondent and the Complainant. The Respondent and the Complainant must be allowed to simultaneously see, hear, and otherwise access the communications of any party or witness who is attending or participating by closed circuit television, video conferencing, or other similar means.
- (4) Procedural Rules for SCB Hearings.
- (a) Authority of the Chairperson. The Chairperson has the authority to maintain order and make all decisions necessary for the fair, orderly, and expeditious conduct of the SCB hearing.
  - (b) Exclusion of Information. Upon the Chairperson's initiation or upon request by the Conduct Officer, the Complainant, the Respondent, or a member of the SCB, the Chairperson may exclude the following information from the SCB's consideration: 1. irrelevant information; 2. information that unreasonably repeats information already provided to the SCB; 3. information that was not provided in advance of the hearing in accordance with Section .08(3)(a), or information from witnesses who were not disclosed in advance of the hearing in accordance with Section .08(3)(a); 4. information that is protected from disclosure under federal or Tennessee law; and/or 5. information about a person's character or character trait, if the information is being presented to show that on a particular occasion the person acted in accordance with the character or character trait. Generally, in cases involving an allegation of sexual misconduct, neither the Complainant's nor the Respondent's prior sexual history is relevant to the issue of whether sexual misconduct occurred and will not be considered by the SCB. However, when the Respondent contends that the Complainant gave consent for a particular sexual act, the prior sexual history between the Complainant and the Respondent may be relevant to assess the manner and nature of communications between the parties, although the mere existence of a current or previous dating, romantic, intimate, or sexual relationship with the other person does not allow a Respondent to imply or infer consent. The Complainant's and the Respondent's prior sexual history may also be relevant in other limited circumstances, such as to show intent, motive, absence of mistake, or to explain an injury or physical finding.
  - (c) Persons Who May Present Information. The only persons who may present information and/or witnesses during a SCB hearing are the Conduct Officer, the Complainant, and the Respondent. The Complainant and the Respondent are responsible for presenting their own information and/or witnesses, if any, to the SCB (an advisor shall not present

information and/or witnesses to the SCB).

- (d) **Formal Rules.** Formal rules of process, procedure, and/or technical rules of evidence, such as those applied in criminal or civil court, are not used in SCB hearings. The Chairperson shall decide all procedural questions that arise during a SCB hearing. The Chairperson may consult with OSC for assistance in resolving procedural questions fairly and in accordance with the Code.
  - (e) **Questioning of Witnesses.** Witnesses will provide information to the SCB and answer questions from the Chairperson. The Chairperson may ask questions and/or submit a request for additional information to the Respondent, the Complainant, the Conduct Officer, and/or witnesses. The Conduct Officer, the Respondent and/or the Complainant shall not directly ask questions to each other or other witnesses. The Conduct Officer, the Respondent, the Complainant, and/or members of the SCB may propose questions for the Chairperson to ask witnesses by submitting the proposed questions to the Chairperson in writing during the hearing. The Chairperson has the discretion whether to ask a witness a question proposed by the Conduct Officer, the Respondent, the Complainant, and/or members of the SCB. The method of questioning witnesses outlined in this Section .08(4)(e) is used to preserve the educational tone of the SCB hearing and to avoid the creation of an adversarial environment.
  - (f) **Closing Statements.** At the close of the SCB hearing, the Chairperson may allow the Conduct Officer, the Complainant, and the Respondent equal opportunities to make statements to the SCB summarizing the information presented to the SCB and/or advocating the decision that the SCB should reach.
  - (g) **Burden of Presenting Information Demonstrating Misconduct.** The Conduct Officer bears the burden of presenting information demonstrating that it is more likely than not that the Respondent violated a Standard of Conduct, as alleged in the Notice of Allegations. Neither the Complainant nor the Respondent is required to present information or witnesses concerning the Respondent's alleged misconduct. The SCB shall not draw an inference adverse to the Conduct Officer, the Complainant, or the Respondent if the Complainant or the Respondent chooses not to present information or witnesses to the SCB.
- (5) **Notice of Decision of the Student Conduct Board.**
- (a) **Deliberation of the SCB.** After the Chairperson determines that all relevant information has been received by the SCB, the SCB will deliberate in private and decide, for each Standard of Conduct alleged in the Notice of Allegations to have been violated, whether it is more likely than not that the Respondent violated the Standards of Conduct. The SCB will decide by majority vote whether the Respondent violated the Standards of Conduct.
  - (b) **Basis of Decision.** The SCB shall base its decision solely on information presented during the SCB hearing. However, if the SCB requests that additional information be provided after the SCB hearing, the SCB may consider and base its decision on the additional information, as long as the Conduct Officer, the Respondent, and the Complainant have had a chance to review and respond to the additional information either in a resumption of the SCB hearing or in writing.
  - (c) **Determination of Sanction(s).** If the SCB decides that the Respondent violated the Standards of Conduct, then the SCB will decide the appropriate sanction(s) by majority vote. The Conduct Officer and the Complainant may advocate that the SCB impose a specific sanction(s), and the Respondent may respond. In deciding the appropriate sanctions for a Respondent's misconduct, the SCB may consider any statements provided by the Complainant and/or the Respondent as described in Section .08(3)(a)3. During the sanctioning phase, the SCB may also consider a statement provided by the Conduct Officer about the Respondent's conduct history.
  - (d) **Issuance of Notice of Decision.** Issuance of Notice of Decision. Within three (3) business days of the conclusion of the SCB hearing, the Chairperson shall issue a Notice of Decision

and transmit a copy of the Notice of Decision to OSC. OSC shall notify the Respondent about the Notice of Decision and provide a copy of the Notice of Decision. If permitted or required under law, OSC shall notify the Complainant about the Notice of Decision (simultaneously with the notification to the Respondent) and provide a copy of the Notice of Decision to the Complainant.

- (e) Information in Notice of Decision. The following information shall be included in the Notice of Decision: 1. for each Standard of Conduct identified in the Notice of Allegations, the SCB's decision concerning whether it is more likely than not that the Respondent violated the Standard of Conduct and the SCB's rationale for the decision concerning the alleged violation of the Standard of Conduct, including, without limitation, a brief summary of the information upon which the SCB relied in making its decision; 2. the sanction(s), if any, that the SCB has imposed on the Respondent; and 3. information about the Respondent's and the Complainant's options, if any, to appeal the decision of the SCB.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-01-.09 TITLE IX HEARINGS.**

- (1) The Title IX Hearing. Any case that includes Title IX Allegations that reaches the hearing stage will be resolved through a Title IX Hearing. The Title IX Hearing must be conducted in accordance with 34 C.F.R. 106.45.
- (2) The Title IX Hearing Officer. The Associate Vice Chancellor of Student Affairs (or a designee) will appoint a qualified Title IX Hearing Officer to conduct the Title IX Hearing and will notify the parties and their Advisors, if any, of the appointment. The Title IX Hearing Officer may be a University employee, a team of University employees, an external person engaged to conduct the Title IX Hearing, or a team of external people engaged to conduct the Title IX Hearing. A party may object to the appointment of any Title IX Hearing Officer, in writing to the Associate Vice Chancellor of Student Affairs. Any objection must be received within three (3) business days of the notice of appointment, and it must state the party's grounds for objecting. The Associate Vice Chancellor of Student Affairs will decide whether an objection is justified, and that decision is final. If a Title IX Hearing Officer is removed based on an objection, the Associate Vice Chancellor of Student Affairs will appoint a new qualified Title IX Hearing Officer to conduct the Title IX Hearing.
- (3) Notice of Title IX Hearing.
  - (a) When a Notice of Title IX Hearing is Sent. The Title IX Hearing Officer will send the Respondent and the Complainant a Notice of Title IX Hearing at least ten (10) business days in advance of the date of the hearing.
  - (b) Information in the Notice of Title IX Hearing. The Notice of Title IX Hearing will contain, or be accompanied by, the following information: 1. the date, time, and place of the Title IX Hearing; 2. notice of the right to have an advisor of the party's choice, who may be, but is not required to be, an attorney, and that, if the party does not have an advisor present at the hearing, the University must provide an advisor of the University's choice, without fee or charge, to ask the other party and any witnesses all relevant questions and follow-up questions on behalf of that party; 3. notice that any cross-examination of any other party or witness must be conducted by the advisor, and never by a party personally; and 4. notice that all of the evidence obtained as part of the investigation that is directly related to the allegations raised in the Formal Complaint will be available to the parties at the hearing. Other pre-hearing information may also be included in the Notice of Title IX Hearing. Any Title IX Hearing may be rescheduled by the Title IX Hearing Officer or upon request of any party and for good cause shown.
  - (c) More than One Respondent. In cases involving more than one (1) Respondent, Title IX Hearings concerning each Respondent's conduct may be conducted separately upon written request of a party submitted at least seven (7) business days in advance of the hearing. OSC has the discretion to make the final determination of whether to grant such a request and will notify the parties of the decision.



- (d) Consequences of Failing to Attend a Title IX Hearing. If a party fails to attend a Title IX Hearing, the Title IX Hearing Officer may proceed with the Title IX Hearing without that party's participation.
- (4) General Rules Governing Title IX Hearings.
  - (a) The Title IX Hearing will take place no sooner than ten (10) business days after the parties' receipt of the written investigative report.
  - (b) The Title IX Hearing Officer may conduct pre-hearing meetings or conferences with the parties and their advisors, if any, to discuss any pre-hearing issues, including but not limited to, the date of the hearing, the location of the hearing, any technology to be used at the hearing, the general rules governing the hearing, including any rules of decorum, the identification of witnesses, and the availability of evidence at the hearing.
  - (c) Each party must notify the Title IX Hearing Officer at least five (5) business days before the hearing of the identity of the party's advisor, if any, or that the party does not have an advisor so that the University can provide an advisor.
  - (d) At the request of either party, the University will provide for the hearing to occur with the parties located in separate rooms with technology enabling the Title IX Hearing Officer and parties to simultaneously see and hear the party or the witness answering questions.
  - (e) Upon request of a party, and for good cause shown, the Title IX Hearing Officer may permit the participation of witnesses who were not identified by the party to the investigator, or the inclusion of evidence at the Title IX Hearing that was not provided by the party to the investigator.
- (5) Procedural Rules for Title IX Hearings.
  - (a) Authority of the Title IX Hearing Officer. The Title IX Hearing Officer has the authority to maintain order and make all decisions necessary for the fair, orderly, and expeditious conduct of the Title IX Hearing. The Title IX Hearing Officer shall be the final decision maker concerning what, how, and in what order witnesses are questioned and evidence is examined.
  - (b) Exclusion of Information.
    - 1. Questions and evidence about the Complainant's sexual predisposition or prior sexual behavior are not relevant, unless such questions and evidence about the Complainant's prior sexual behavior are offered to prove that someone other than the Respondent committed the conduct alleged by the Complainant, or if the questions and evidence concern specific incidents of the Complainant's prior sexual behavior with respect to the Respondent and are offered to prove consent.
    - 2. The University cannot access, consider, disclose, or otherwise use a party's records that are made or maintained by a physician, psychiatrist, psychologist, or other recognized professional or paraprofessional acting in the professional's or paraprofessional's capacity, or assisting in that capacity, and which are made and maintained in connection with the provision of treatment to the party, unless the University obtains that party's voluntary, written consent to do so.
    - 3. The University cannot require, allow, rely upon, or otherwise use questions or evidence that constitute, or seek disclosure of, information protected under a legally recognized privilege, unless the person holding such privilege has waived the privilege.
  - (c) Preliminary Matters. The Title IX Hearing Officer shall begin the hearing by explaining the substance of the allegations and the specific University rule or policy allegedly

violated.

- (d) Attendance and Participation. Attendance during a Title IX Hearing generally is limited to the Title IX Hearing Officer, a representative from OSC, the Complainant and the Complainant's advisor, the Respondent and the Respondent's advisor, and witnesses. Witnesses may attend the Title IX Hearing only while they are presenting information to the Title IX Hearing Officer, unless the witness is the Complainant or the Respondent. The Title IX Hearing Officer and OSC have the discretion to allow other persons to attend the Title IX Hearing, in accordance with state and federal law.
- (e) Opening Statements. The Complainant and then the Respondent may each make an opening statement to the Title IX Hearing Officer, and they may provide a written copy of their opening statements to the Title IX Hearing Officer at the hearing. The Title IX Hearing Officer will provide a copy of any written opening statement received to the other party.
- (f) Questioning the Witnesses. Following any opening statements, the Title IX Hearing Officer will question the Complainant, the Respondent, and any witnesses requested by the parties or deemed to have relevant information by the Title IX Hearing Officer. The Title IX Hearing Officer will permit each party's advisor to ask the other party and any witnesses all relevant questions and follow-up questions, including those challenging credibility. Such cross-examination must be conducted directly, orally, and in real time by the party's advisor of choice and never by a party personally. Only relevant cross-examination and other questions may be asked of a party or witness. Before the Complainant, the Respondent, or any witness answers a cross-examination or other question, the Title IX Hearing Officer must first determine whether the question is relevant and explain any decision to exclude a question as not relevant. The Title IX Hearing Officer cannot draw an inference about the determination regarding responsibility based solely on a party's or witness's absence from the hearing or refusal to answer cross-examination or other questions. Alleged verbal conduct by a Respondent that constitutes any part of the Title IX Allegations at issue in the case may be considered by the Title IX Officer in reaching a determination regarding responsibility even if the Respondent does not submit to cross-examination during the Title IX Hearing.
- (g) Closing Statements. At the close of the Title IX Hearing, the Title IX Hearing Officer may allow the Complainant and the Respondent equal opportunities to make closing statements summarizing the information presented to the Title IX Hearing Officer and/or advocating the decision that the Title IX Hearing Officer should reach.
- (6) Recording of the Title IX Hearing. The University will create an audio or audiovisual recording or transcript of the hearing and make it available to the parties for inspection and review.
- (7) Notice of Decision of Title IX Hearing Officer. Within ten (10) business days of the hearing, the Title IX Hearing Officer must issue a written determination regarding responsibility ("Notice of Decision of Title IX Hearing Officer"). The written determination must include: 1. identification of the allegations potentially constituting Sexual Harassment; 2. a description of the procedural steps taken from the receipt of the Formal Complaint through the determination, including any notifications to the parties, interviews with parties and witnesses, site visits, methods used to gather other evidence, and hearings held; 3. findings of fact supporting the determination; 4. conclusions regarding the application of the Code to the facts; 5. a statement of, and rationale for, the result as to each allegation, including a determination regarding responsibility, any disciplinary sanctions imposed on the Respondent, and whether remedies designed to restore or preserve equal access to the University's education program or activity will be provided by the University to the Complainant; and 6. the procedures and permissible bases for the Complainant and Respondent to appeal. If the Respondent is determined responsible, the Title IX Hearing Officer may consider relevant information provided at the hearing by the Complainant, the Respondent, or any other witness in deciding the appropriate sanction for the Respondent's misconduct.

- (8) Notice of Decision of Title IX Hearing Officer to OSC. The Title IX Hearing Officer shall transmit a copy of the Notice of Decision of Title IX Hearing Officer to OSC. OSC shall notify the Respondent and the Complainant about the written determination and provide a copy of it simultaneously to the parties.

**Authority:** T.C.A. § 49-9-209(e).

#### 1720-07-01-.10 APPEALS.

- (1) A decision of the Student Conduct Board, a decision of the Title IX Hearing Officer, or a decision of the Conduct Officer concerning a sanction following an administrative hearing, may be appealed to the Associate Vice Chancellor of Student Affairs. Both Respondent and the Complainant (if any) may appeal a decision.
- (a) The request for appeal shall be submitted in writing to the Associate Vice Chancellor of Student Affairs within five (5) business days of written notice of the decision being appealed. The request for appeal shall contain:
1. A statement identifying the decision from which the student is appealing; and
  2. A brief statement of the grounds for the appeal.
- (b) All appeals to the Associate Vice Chancellor of Student Affairs are heard based upon the record made during the hearing, with the exception of an appeal based on new evidence in Section .10(d)2. below.
- (c) Pending the outcome of an appeal, the penalty specified in the decision on appeal shall not be imposed.
- (d) Grounds for Appeal. The grounds for the appeal shall be limited to one (1) or more of the following grounds:
1. Procedural Error. A procedural irregularity affected the outcome of the matter.
  2. New Evidence. New evidence that was not reasonably available at the time the determination regarding responsibility was made that could affect the outcome of the matter.
  3. Conflict of Interest or Bias. The Conduct Officer or member of the Student Conduct Board, or in the case of a Title IX Hearing, Title IX Coordinator, the investigator(s), or the Title IX Hearing Officer, had a conflict of interest or bias that affected the outcome of the matter.
  4. Clearly Unreasonable Sanction. The sanction(s) imposed by the Conduct Officer, Student Conduct Board, or Title IX Hearing Officer is clearly unreasonable (i.e., has no sound basis or justification in reason).
- (e) Appeal Process. A Complainant or a Respondent may submit a written statement in support of or challenging a decision of the Student Conduct Board, a decision of the Title IX Hearing Officer, or a decision of the Conduct Officer concerning a sanction following an administrative hearing. The written statement must be received by the Associate Vice Chancellor of Student Affairs within five (5) business days of the date on which request for appeal was filed, or within five (5) business days of the date on which the non-appealing party received notice that the other party requested an appeal. Within five (5) business days of the receipt of the last written statement, the Associate Vice Chancellor of Student Affairs will issue a written decision describing the result of the appeal and the rationale for the result, which will be provided simultaneously to both parties.

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- (f) The Associate Vice Chancellor of Student Affairs may:
  - 1. Affirm the decision;
  - 2. Amend the decision;
  - 3. Return the case to the Conduct Officer, Student Conduct Board, or Title IX Hearing Officer with instructions for reconsideration of the case; or
  - 4. Overturn the decision of the Conduct Officer, Student Conduct Board, or Title IX Hearing Officer.

- (2) The decision of the Associate Vice Chancellor of Student Affairs is final and not appealable.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-01-.11 SANCTIONS.**

- (1) Disciplinary sanctions are primarily intended to educate students and student organizations about appropriate behavior, encourage students and student organizations to take responsibility for misconduct, promote the personal and professional development of students, discourage other students and student organizations from violating the Standards of Conduct, and protect members of the University community. The sanctions imposed should be appropriate for the particular case based on the gravity of the offense (including without limitation how the violation affected or reasonably could have affected other members of the University community). Consideration may also be given to the student's or student organization's conduct record; the student's or student organization's responsiveness to the conduct process; whether the student acted in self-defense, and, if so, whether the amount of force used was reasonable under the circumstances; student academic classification; and other aggravating or mitigating factors.
- (2) The following sanctions may be imposed on any student found to have violated the Standards of Conduct:
  - (a) Warning. A warning is a notice that the student has violated the Standards of Conduct, that the misconduct must cease and/or not reoccur, and that further misconduct will likely result in the imposition of more serious sanctions.
  - (b) Loss of Privilege. Specified student privileges are lost or restricted. Such privileges include, without limitation, representing the University in any official manner, the use of or access to University-controlled property, University parking privileges, or participation in University-affiliated activities (e.g., extracurricular activities).
  - (c) Educational Activities. Educational activities are designed to educate the student about why certain conduct was inappropriate. Examples of such activities include, without limitation, offering a formal apology (in writing and/or in person); attending an educational class, training, or workshop; giving or attending a presentation; preparing and submitting a research project or paper on a designated topic; or offering a written reflection responding to a prompt given by OSC. The student may be held responsible for the payment of reasonable expenses relating to the educational activity.
  - (d) Restitution. Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
  - (e) Disciplinary Probation. Disciplinary probation is imposed for a specified designated period of time during which the student may continue to be enrolled but must demonstrate conduct that conforms to the Standards of Conduct. Conditions may be placed on the student's continued enrollment. A student may be placed on disciplinary probation for moderate misconduct or in the case of repeated minor misconduct. Also, a student allowed

to re-enroll following a suspension will be placed on disciplinary probation. Subsequent violations of the Standards of Conduct during a period of disciplinary probation may result in more serious sanctions such as suspension or expulsion from the University

- (f) **Suspension.** A suspension is an official separation of a student from the University for a specific designated period of time and/or until certain conditions are met. A suspension may be imposed for serious misconduct. Suspension may include conditions that must be satisfied prior to a student being allowed to re-enroll and/or conditions that will be in place if the student is allowed to re-enroll. The effective date of a suspension may be imposed retroactively to the date that the misconduct occurred. While suspended, the student loses all University rights and privileges (e.g., enrollment privileges), shall not represent the University in any official manner, and shall not be present on University-controlled property without the prior approval of the Associate Vice Chancellor of Student Affairs. The student may be required to meet with an assigned University staff member periodically while suspended to ensure the student is making satisfactory progress regarding the developmental sanctions issued. The Associate Vice Chancellor of Student Affairs will determine whether the student is eligible for consideration for re-enrollment by the University's admissions office(s). Prior to reenrollment, the student must satisfy the terms and conditions of all sanction(s) that are required to be completed prior to re-enrollment. Students who are permitted to return to the University following a period of suspension will automatically be placed on disciplinary probation for a designated period of time, which is designed to facilitate a smooth transition back to the University community. A student on post-suspension disciplinary probation must abide by the Standards of Conduct and all terms and conditions placed on the student's re-enrollment.
- (g) **Expulsion.** Expulsion is a sanction that permanently bars a person from re-enrolling as a student at the University. This sanction generally is imposed when the student's misconduct is deemed so serious as to warrant total and permanent disassociation from the University community without the possibility of re-enrollment; and/or when, by the student's repeated misconduct, a student has exhibited a blatant disregard for the health, safety, or welfare of other members of the University community or the University's right to establish rules of conduct. A person who has been expelled shall not be present on University-controlled property without the prior approval of the Associate Vice Chancellor of Student Affairs.
- (h) **Revocation of Degree.** The sanction of the revocation of a degree may be imposed if a student has obtained a degree at least in part through cheating, plagiarism, other academic dishonesty, or through research misconduct. Revocation of a degree shall be approved by the Chancellor before the revocation is effective. If approved by the Chancellor, this sanction will be noted on the student's academic transcript on a permanent basis
- (3) A disciplinary hold may be placed on a student's account until the completion of the student disciplinary process and/or until the student satisfies the terms and conditions of any sanctions imposed. A student who, at the time of commencement, is subject to a continuing disciplinary sanction or an unresolved disciplinary charge shall not be awarded a degree before the conclusion of all sanctions and/or resolution of all disciplinary charges.
- (4) In addition to the sanctions in Section .11(2), the following sanctions may be imposed on a student organization found to have violated the Standards of Conduct:
  - (a) **Social Probation.** Social probation prohibits a student organization from sponsoring or participating in specified social activities. While on social probation, a student organization may not host social events or participate in University-affiliated activities. Any exceptions to social probation must be approved, in advance, by the Associate Vice Chancellor of Student Affairs or his/her designee.
  - (b) **Disciplinary Probation.** Disciplinary probation means that a student organization is permitted to retain University registration on a probationary status. Violation of the Standards of Conduct during the period of disciplinary probation may result in more

serious sanctions, including revocation of University registration.

- (c) Revocation or Suspension of University Registration. In cases of serious or repeated misconduct, a student organization's University registration may be suspended or revoked.
- (5) More than one (1) of the sanctions listed above may be imposed for any single violation of the Standards of Conduct.
- (6) Voluntary intoxication or impairment because of alcohol, drugs, chemicals, or other substances does not diminish or excuse a violation of the Standards of Conduct.
- (7) Except for interim restrictions, disciplinary sanctions shall not become effective until after opportunities for appeal have been exhausted. Sanctions may be applied retroactively to the date of the offense. Coursework performed while disciplinary charges are pending or disciplinary proceedings are underway shall be considered conditional. Coursework may be affected or disregarded based on a final finding of misconduct or the sanction imposed, which may result in loss of course credit, a loss of tuition and/or fees, a delay in the awarding of a degree, or revocation of a degree that was awarded prior to a final decision in the disciplinary proceeding.
- (8) Parental Notifications. If a student accepts responsibility or is found responsible for violating a Standard of Conduct involving drugs or alcohol, and that student is under twenty-one (21) years of age, OSC shall inform the parent or legal guardian of that student of the violation, as required by state law. Typically, OSC fulfills the parental notification requirement by mailing written notification to the parent or legal guardian's address listed with the Office of the University Registrar. OSC may also notify parents or legal guardians through other means when necessary or appropriate. Parental notification is not considered a disciplinary sanction.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-01-.12 HONOR STATEMENT.**

- (1) Honor Statement. An essential feature of the University is a commitment to maintaining an atmosphere of intellectual integrity and academic honesty. As such the University utilizes an Honor Statement that reads, "As a student of the University, I pledge that I will neither knowingly give nor receive any inappropriate assistance in academic work, thus affirming my own personal commitment to honor and integrity." Each student is responsible for knowing and adhering to the terms and conditions of the Honor Statement.
- (2) Academic Dishonesty. The Honor Statement prohibits cheating, plagiarism, and any other type of academic dishonesty.
- (3) Plagiarism. Plagiarism is using the intellectual property or product of someone else without giving proper credit. The undocumented use of someone else's words or ideas in any medium of communication (unless such information is recognized as common knowledge) is a serious offense, subject to disciplinary action that may include failure in a course and/or dismissal from the University. Specific examples of plagiarism include, but are not limited to: (a) using without proper documentation (quotation marks and citation) written or spoken words, phrases, or sentences from any source; (b) summarizing without proper documentation (usually a citation) ideas from another source (unless such information is recognized as common knowledge); (c) borrowing facts, statistics, graphs, pictorial representations, or phrases without acknowledging the source (unless such information is recognized as common knowledge); (d) collaborating on a graded assignment without the instructor's approval; and (e) submitting work, either in whole or in part created by a professional service or used without attribution (e.g., paper, speech, bibliography, or photograph).
- (4) Examples of Other Types of Academic Dishonesty. Specific examples of other types of academic dishonesty include, but are not limited to: 1. providing or receiving unauthorized information during an examination or academic assignment, or the possession and/or use of unauthorized materials during an examination or academic assignment; 2. providing or receiving

unauthorized assistance in connection with laboratory work, field work, scholarship, or another academic assignment; 3. falsifying, fabricating, or misrepresenting data, laboratory results, research results, citations, or other information in connection with an academic assignment; 4. serving as, or enlisting the assistance of, a substitute for a student in the taking of an examination or the performance of an academic assignment; 5. altering grades, answers, or marks in an effort to change the earned grade or credit; 6. submitting without authorization the same assignment for credit in more than one (1) course; 7. forging the signature of another or allowing forgery by another on any class or University-related document such as a class roll or drop/add sheet; 8. gaining an objectively unfair academic advantage by failing to observe the expressed procedures or instructions relating to an exam or academic assignment; and 9. engaging in an activity that unfairly places another student at a disadvantage, such as taking, hiding, or altering resource material, or manipulating a grading system.

(5) Academic Dishonesty.

- (a) Notice of Academic Dishonesty and Informal Opportunity to Respond. When an act of alleged academic dishonesty, in violation of Section .04(1) is discovered by, or brought to the attention of, an instructor, the instructor shall notify the student about the alleged academic dishonesty, describe the information supporting the allegation, and give the student an informal opportunity to respond to the allegation(s) and information.
- (b) Referral By Academic Department to OSC. After the instructor provides the student with an informal opportunity to respond, and if the instructor still believes that an act of academic dishonesty has occurred, the instructor shall refer the incident to OSC. In referring the incident to OSC, the instructor shall include the academic penalty that the instructor plans to impose, if any. The referring instructor will not assign an academic penalty or a final grade for the course pending resolution of the allegation by OSC. If a grade must be submitted at the end of the grading period, the student will receive a temporary grade of "Not Reported" (NR) until the case is resolved. The instructor does not have the authority under the Code to impose a sanction identified in Rule 1720-07-01-.11.
- (c) Academic Penalties and Appeals of Academic Penalties. If, at the conclusion of the student conduct process, OSC determines that a student is not responsible for violating Section .04(1) of this Chapter, the instructor shall not impose any academic penalty. If OSC determines that a student is responsible for violating Section .04(1) of this Chapter, the instructor may impose an academic penalty, in addition to any sanctions imposed by OSC under Section .11(2) of this Chapter. Academic penalties may include, without limitation, dismissal from a program of study; a failing or reduced grade in the academic exercise, assignment, examination, and/or course; loss of credit for the work involved; an assignment to repeat the work, to be graded on its merits; and/or a warning. An instructor may impose more than one (1) academic penalty. A student may appeal an academic penalty, as distinct from a student disciplinary sanction, through the appropriate institutional academic misconduct or grade appeal procedures.

- (6) Academic Dishonesty – Resolution through the Student Conduct Process. After receiving any conduct referral for academic dishonesty, OSC will proceed with the student conduct process. OSC may issue a Notice of Allegations for violating Section .04(1) of this Chapter regardless of the response of the instructor to the alleged academic dishonesty. If OSC issues a finding of responsibility and Notice of Sanctions for a violation of Section .04(1) of this Chapter, then the allegations shall be resolved through one of the hearing processes pursuant to Section .07(7) of the Code.

**Authority:** T.C.A. § 49-9-209(e).

**1720-07-01-.13 AMNESTY FOR INDIVIDUAL GOOD SAMARITANS AND STUDENTS IN NEED OF EMERGENCY MEDICAL ATTENTION.**

- (1) Background. The University of Tennessee holds paramount the health, safety, and welfare of students. Accordingly, all University students are expected to alert appropriate officials in the

event of a health, safety, or welfare emergency, including, without limitation, a situation involving the abuse of alcohol or other drugs.

- (2) **Expectations.** When individual students know or reasonably should have known that other individual students are in need of emergency medical attention, the individual students are expected to: 1. contact appropriate people to report the incident and request assistance (e.g., University staff members, law enforcement), and provide those people with the names and contact information for the individual students reporting the incident and the impaired individual students; and 2. demonstrate cooperation and care by remaining with the impaired individual students and providing reasonable assistance during and after the incident. Individual students who take all of the steps described in this Section .13(2) will be referred to as a “Good Samaritan” under the Code. The individual students in need of emergency medical attention will be referred to as an “individual impaired student” under the Code.
- (3) **Amnesty for Individual Good Samaritans.** Unless individual Good Samaritans have engaged in a repeated or serious violation of the Standards of Conduct (e.g., physical or sexual assault, property destruction, disorderly behavior, theft, second incident of misconduct involving alcohol or drugs), individual Good Samaritans will not be subject to formal University disciplinary action for misconduct discovered by the University as a result of the Good Samaritan’s report. While no formal University disciplinary action may be taken, the individual student who acted as a Good Samaritan may be required to meet with a University staff member to discuss the individual Good Samaritan’s misconduct and adhere to appropriate remedial and/or educational recommendations.
- (4) **Amnesty for Individual Impaired Students.** Unless individual impaired students have engaged in a repeated or serious violation of the Standards of Conduct (e.g., physical or sexual assault, property destruction, disorderly behavior, theft, second incident of misconduct involving alcohol or drugs), individual impaired students will not be subject to formal University disciplinary action for misconduct discovered by the University as a result of the Good Samaritan’s report. While no formal University disciplinary action may be taken, the individual impaired students may be required to meet with a University staff member, participate in educational activities, and/or establish that the individual students have addressed issues that contributed to the misconduct.
- (5) **Application of Amnesty to Student Organizations.** Student organizations, through their officers and members, are also expected to take responsible action in emergency situations. While this Section .13 may not fully apply to a student organization, adherence to steps described in Section .13(2) by a student organization’s officers and/or members will be considered a mitigating factor when determining the outcome or sanction. Additionally, the University will consider a failure of officers and/or members to adhere to steps described in Section .13(2) to be an aggravating factor when determining the outcome or sanction.

**Authority:** T.C.A. § 49-9-209(e).

**1720-07-01-.14 EMERGENCY POWERS.** When, in the judgment of the University’s Chancellor, conditions are such that an emergency exists which makes it impossible for the Student Conduct Board to function, the Chancellor may suspend these procedural regulations and appoint an ad hoc committee to hear a conduct matter. Any such ad hoc committee shall follow procedures that will insure that the Respondent is provided with due process. The final decision of the ad hoc committee may be appealed to the Associate Vice Chancellor of Student Affairs, but the grounds for appeal are limited to those outlined in Section .10(1)(d).

**Authority:** T.C.A. § 49-9-209(e).

**1720-07-01-.15 SEVERABILITY.**

- (1) If any provision of this Chapter or its application to any person, act, or practice is held invalid, the remainder of the Chapter or the application of its rule provisions to any person, act, or practice shall not be affected thereby.
- (2) If any provision of 34 C.F.R. 106.45, “Grievance process for formal complaints of sexual harassment,” upon which the provisions of this Chapter, including but not limited to rules on



which Title IX Hearings are based, is vacated, repealed, or otherwise held invalid, the remainder of the chapter rule(s) shall not be affected thereby.

**Authority:** T.C.A. § 49-9-209(e).

I certify that the information included in this filing is an accurate and complete representation of the intent and scope of rulemaking proposed by the agency.

Date: February 17, 2022

Signature: C. Ryan Stinnett

Name of Officer: C. Ryan Stinnett

Title of Officer: General Counsel

**Department of State Use Only**

Filed with the Department of State on: 2/17/2022

Tre Hargett

Tre Hargett  
Secretary of State

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**For Department of State Use Only**Sequence Number: 02-22-22Notice ID(s): 3442File Date: 2/17/2022

# Notice of Rulemaking Hearing

6.2

Hearings will be conducted in the manner prescribed by the Uniform Administrative Procedures Act, T.C.A. § 4-5-204. For questions and copies of the notice, contact the person listed below.

|                                 |  |
|---------------------------------|--|
| <b>Agency/Board/Commission:</b> | University of Tennessee (Southern)       |
| <b>Division:</b>                |  |
| <b>Contact Person:</b>          | C. Ryan Stinnett, General Counsel        |
| <b>Address:</b>                 | 719 Andy Holt Tower, Knoxville, TN 37996 |
| <b>Phone:</b>                   | 865-974-2540                             |
| <b>Email:</b>                   | ryanstinnett@tennessee.edu               |

Any Individuals with disabilities who wish to participate in these proceedings (to review these filings) and may require aid to facilitate such participation should contact the following at least 10 days prior to the hearing:

|                     |  |
|---------------------|--|
| <b>ADA Contact:</b> | Crissy Jordan                              |
| <b>Address:</b>     | 433 West Madison Street, Pulaski, TN 38478 |
| <b>Phone:</b>       | 931-363-9882, ext. 3882                    |
| <b>Email:</b>       | cjorda43@utsouthern.edu                    |

## Hearing Location(s) (for additional locations, copy and paste table)

|               |  |
|---------------|--|
| Address 1:    | UT Southern – Gault Fine Arts Center – Recital Hall                                    |
| Address 2:    | 423 W. Madison Street  |
| City:         | Pulaski  |
| Zip:          | 38478  |
| Hearing Date: | 04/19/2022   |
| Hearing Time: | 1:00 p.m. <input checked="" type="checkbox"/> CST/CDT <input type="checkbox"/> EST/EDT |

## Additional Hearing Information:

Oral and written comments on the proposed rulemaking will be received at the public hearing on April 19, 2022 at 1:00 p.m. CDT. In addition, interested parties may submit written comments before April 19, 2022, by mail or email to the following person:

C. Ryan Stinnett, General Counsel  
 University of Tennessee  
 719 Andy Holt Tower  
 Knoxville, TN 37996  
 865-974-2540  
[ryanstinnett@tennessee.edu](mailto:ryanstinnett@tennessee.edu)

## Revision Type (check all that apply):

☐ Amendment  
☒ New  
☐ Repeal

**Rule(s)** (ALL chapters and rules contained in filing must be listed. If needed, copy and paste additional tables to accommodate more than one chapter. Please enter only **ONE** Rule Number/Rule Title per row.)

| Chapter Number | Chapter Title |
|----------------|---------------|
| 1720-07-02     | Library Fines |
| Rule Number    | Rule Title    |
| 1720-07-02-.01 | Library Fines |

Place substance of rules and other info here. Statutory authority must be given for each rule change. For information on formatting rules go to <https://sos.tn.gov/products/division-publications/rulemaking-guidelines>.

6.2

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(SOUTHERN)**

**CHAPTER 1720-07-02  
LIBRARY FINES**

**TABLE OF CONTENTS**

1720-07-02-.01 Library Fines

**1720-07-02-.01 LIBRARY FINES.**

- (1) There are no fines for overdue items borrowed from the library's regular book collection or for overdue library equipment, unless those items are subject to recall as provided in Section .01(3) or deemed lost as provided in Section .01(4).
- (2) Hourly reserve items are subject to an overdue fine of 25 cents per hour, up to a limit of \$10.00 for each item. Daily reserve items are subject to an overdue fine of \$1.00 per day, up to a limit of \$10.00 for each item.
- (3) All items from the library's regular book collection and all library equipment are subject to recall. When a recall is requested, a new due date is set for ten (10) days from the date of the request. If the recalled item is not returned within that ten-day period, the borrower will be blocked from use of the library and subject to a fine of 50 cents per day up to a maximum of \$10.00.
- (4) Overdue notices will be sent to the borrower when any regular item, reserve item, or item of library equipment becomes due. If the borrower does not return the item within the time specified in the notice, the item will be deemed lost. A charge for the item's replacement cost plus a \$10.00 processing fee will be sent to the borrower twenty-one (21) days after the first overdue notice.
- (5) If fines for overdue items and charges for lost items are not paid as required, the borrower will be blocked from borrowing items from the library until the outstanding obligations are paid.

**Authority:** T.C.A. § 49-9-209(e).

I certify that the information included in this filing is an accurate and complete representation of the intent and scope of rulemaking proposed by the agency.

Date: February 17, 2022

Signature: 

Name of Officer: C. Ryan Stinnett

Title of Officer: General Counsel

6.2

**Department of State Use Only**

Filed with the Department of State on: 2/17/2022

  
Tre Hargett  
Secretary of State

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# Notice of Rulemaking Hearing

**6.3**

Hearings will be conducted in the manner prescribed by the Uniform Administrative Procedures Act, T.C.A. § 4-5-204. For questions and copies of the notice, contact the person listed below.

|                                 |  |
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| <b>Phone:</b>                   | 865-974-2540                             |
| <b>Email:</b>                   | ryanstinnett@tennessee.edu               |

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**Revision Type (check all that apply):**

☐ Amendment  
☒ New  
☐ Repeal

**Rule(s)** (ALL chapters and rules contained in filing must be listed. If needed, copy and paste additional tables to accommodate more than one chapter. Please enter only **ONE** Rule Number/Rule Title per row.)

| Chapter Number | Chapter Title                                |
|----------------|--|
| 1720-07-03     | Parking Rules                                |
| Rule Number    | Rule Title                                   |
| 1720-07-03-.01 | General                                      |
| 1720-07-03-.02 | Definitions                                  |
| 1720-07-03-.03 | Registration of Vehicles and Parking Permits |
| 1720-07-03-.04 | Parking Requirements and Limitations         |
| 1720-07-03-.05 | Parking Citations and Fines                  |
| 1720-07-03-.06 | Enforcement                                  |
| 1720-07-03-.07 | Appeals and Hearings                         |

6.3

Place substance of rules and other info here. Statutory authority must be given for each rule change. For information on formatting rules go to <https://sos.tn.gov/products/division-publications/rulemaking-guidelines>.

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(SOUTHERN)**

**CHAPTER 1720-07-03  
PARKING RULES**

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1720-07-03-.01 General  
1720-07-03-.02 Definitions  
1720-07-03-.03 Registration of Vehicles and Parking Permits  
1720-07-03-.04 Parking Requirements and Limitations  
1720-07-03-.05 Parking Citations and Fines  
1720-07-03-.06 Enforcement  
1720-07-03-.07 Appeals and Hearings

**1720-07-03-.01 GENERAL.**

- (1) These rules are intended to promote the safe and orderly parking of vehicles on the property of The University of Tennessee Southern (UTS); facilitate the safe and convenient operation of UTS business and services; and regulate parking on campus, with priority given to UTS business and services.
- (2) The UTS Office of Safety and Security implements and enforces these rules.
- (3) All persons parking vehicles and all persons owning vehicles parked on UTS property are subject to and required to comply with these rules.

**Authority:** T.C.A. § 49-9-209(e).

**1720-07-03-.02 DEFINITIONS.**

- (1) The term "parking permit" means either a hangtag or decal issued by UTS, that has not expired and, when properly displayed on a vehicle, authorizes a vehicle to be parked in certain designated parking spaces on UTS property.

- (2) The term “vehicle” means any self-propelled vehicle that is capable of exceeding twenty-five (25) miles per hour.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-03-.03 REGISTRATION OF VEHICLES AND PARKING PERMITS.**

- (1) All vehicles parked on UTS property must be registered with the Office of Safety and Security and have a valid UTS parking permit properly displayed.
- (2) UTS students and employees may obtain a parking permit for a fee.
- (3) A specific expiration date is indicated on each parking permit. A UTS parking permit is not valid after its expiration date.
- (4) Parking permits are not transferrable to any person but may be transferred between vehicles.
- (5) Lost or stolen parking permits will be replaced without charge.
- (6) A registrant is responsible for his or her registered vehicle and all parking violation citations relating to the parking of the registered vehicle. If the person operating the registered vehicle is other than the registrant when a parking violation is committed, both the operator and the registrant may be cited for the parking violation. However, UTS will not collect multiple fines for a single violation.
- (7) A registrant is responsible for his or her UTS parking permit and all violation citations relating to the use of the registrant’s parking permit, and is prohibited from sharing or otherwise permitting the parking permit to be used by other persons. If the person using a UTS parking permit when a violation is committed is other than the registrant to whom the parking was issued, both the person who committed the violation and the registrant may be cited. However, UTS will not collect multiple fines for a single violation.
- (8) The owner of an unregistered vehicle is responsible for the unregistered vehicle and all parking violation citations relating to the parking of the vehicle. If the person operating an unregistered vehicle is other than the owner of the vehicle when a parking violation is committed, both the operator and the owner may be cited for the parking violation. However, UTS will not collect multiple fines for a single violation.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-03-.04 PARKING REQUIREMENTS AND LIMITATIONS.**

- (1) Persons on UTS property are authorized to park a vehicle only in a designated parking space located in those areas or lots for which a UTS parking permit authorizes the vehicle to be parked. Lack of an available authorized designated parking space will not excuse a person from violating any of these rules.
- (2) A vehicle must not be parked in a manner that blocks or obstructs traffic, a street, sidewalk, fire hydrant, building entrance or exit, another vehicle, or in another manner that disrupts the orderly affairs of UTS.
- (3) A disability parking permit is valid for parking in all campus disability parking spaces and all campus unreserved parking spaces.
- (4) Certain parking spaces are designated for motorcycles only, and motorcycles must be parked in those designated parking spaces.
- (5) Motorcycles must not be parked in any area not authorized for the parking of vehicles that are not motorcycles, including within the confines of the exterior perimeter of any building. Motorcycles must not be parked in a manner that blocks stairways, sidewalks, or pedestrian access.

- (6) Traffic control signs and devices and the directions of officers must be obeyed when parking vehicles.
- (7) On special occasions (including but not limited to athletic events, concerts, and graduation exercises) or in emergency situations, the UTS Office of Safety and Security may impose parking limitations more restrictive or permissive than in these rules, as it determines to be appropriate under the circumstances.
- (8) The UTS Office of Safety and Security reserves the right to alter, block, reserve, or reallocate designated parking spaces on UTS property at any time.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-03-.05 PARKING CITATIONS AND FINES.**

- (1) The following examples constitute violations of these rules which may result in a citation with the corresponding fine:
 

|  |       |
|--|-------|
| (a) No Valid Parking Permit Displayed/Properly Displayed   | \$25  |
| (b) Expired Parking Permit Displayed   | \$25  |
| (c) Displaying, Selling, or Purchasing a Stolen, Deactivated, Altered, Counterfeit, or Reproduced Parking Permit | \$100 |
| (d) Vehicle Not Parked in a Designated Parking Space   | \$25  |
| (e) Vehicle Parked in Multiple Designated Parking Spaces   | \$25  |
| (f) Violation of Rule 1720-07-03-.03(7)  | \$25  |
| (g) Vehicle Parked in Unauthorized Lot or Space  | \$25  |
| (h) Vehicle Parked Over Posted Time Restriction  | \$25  |
| (i) Vehicle Parked in Violation of Rule 1720-07-03-.04(2)  | \$25  |
| (j) Vehicle Parked in Fire Lane  | \$40  |
| (k) Vehicle Parked in Reserved Parking Area  | \$40  |
| (l) Unauthorized Vehicle in Disability Parking Space   | \$200 |
| (m) Unauthorized Vehicle in Disability Loading Area  | \$200 |
| (n) Unauthorized Use of Disability Parking Permit  | \$200 |
- (2) The fine for a disability parking violation in Section .05(l), (m), or (n), or any other disability parking fine violation as defined by State law, is set by State law, T.C.A. § 55-21-108. The fine imposed under these rules will increase or decrease automatically when increased or decreased by State law. The fine for a disability parking violation shall not be suspended or waived where prohibited by T.C.A. § 55-21-108.
- (3) Towing and Immobilization of Vehicles.
  - (a) UTS may tow or immobilize a vehicle without advance notice to the registrant (if a registered vehicle) or the owner/operator (if an unregistered vehicle) if the vehicle is parked:



1. In a fire lane or in a manner which blocks a fire lane;
  2. In a manner that blocks an emergency exit;
  3. In a designated disability parking space or disability loading area;
  4. In a parking space reserved for designated vehicles;
  5. While displaying a stolen/deactivated/altered/counterfeit/reproduced UTS parking permit; or
  6. In any other manner that blocks or obstructs traffic, a street, sidewalk, fire hydrant, building entrance or exit, another vehicle, or that disrupts the orderly affairs of UTS.
- (b) Registrants/owners/operators of vehicles that are towed or immobilized pursuant to these rules will be required to pay a fee of forty dollars (\$40.00) as a condition of the vehicle being released or mobilized.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-03-.06 ENFORCEMENT.**

- (1) A citation for any type of parking violation must be paid or appealed within fourteen (14) calendar days after the issuance of the citation. Failure to pay or appeal a citation within fourteen (14) calendar days after issuance of the citation constitutes a separate violation of these rules. If a fine is not paid or appealed within fourteen (14) calendar days after the issuance of the citation, a ten dollar (\$10.00) late charge will be added.
- (2) Windshield notices and/or other methods of notification will be used to provide the owner/operator of the vehicle with advance notice of UTS' intent to tow/boot the vehicle as a result of the vehicle receiving more than five (5) citations in one (1) semester or academic term and the owner's/operator's right to a hearing. In the event the owner/operator does not request a hearing or prevail at the hearing, his/her vehicle will be towed/booted whenever it is next found upon UTS property in violation of Rule 1720-07-03-.05(1).
- (3) Vehicles that are towed/booted/immobilized under these traffic and parking regulations will be released only upon the owner or registrant of the vehicle providing identification and paying to UTS all previously unpaid parking fines, penalties, and towing/booting charges levied by UTS. The release of a vehicle impounded in an impound lot not owned or operated by UTS also may be subject to payment of additional fees or charges levied by the owner/operator of the impound lot.
- (4) Any student who receives \$100.00 or more in traffic and/or parking violations on UTS property during any semester or academic term may be subject to disciplinary action in accordance with applicable rules.
- (5) UTS students and employees with unpaid parking fines or charges will not be allowed to register a vehicle, renew their UTS parking permits, or purchase UTS parking permits until all fines and penalties are paid.

**Authority:** T.C.A. § 49-9-209(e).

#### **1720-07-03-.07 APPEALS AND HEARINGS.**

- (1) Citations may be appealed to the UTS Office of Safety and Security within fourteen (14) calendar days from the issuance of the citation, using the form provided by the Office of Safety and Security. Persons who fail to appeal a citation within fourteen (14) calendar days of issuance of the citation forfeit their right to appeal the citation.

- (2) If a vehicle is towed or immobilized, with or without advance notice, the registrant (if a registered vehicle) or the owner/operator (if an unregistered vehicle) shall have the right to a hearing upon request to the UTS Office of Safety and Security to contest the parking violation(s) for which the vehicle was towed or immobilized. A request for a hearing may be made within fourteen (14) calendar days of the date of the towing or immobilization. A registrant/owner/operator may pay any fines, penalties, immobilization fees and/or towing charges required for the mobilization or release of the vehicle prior to the hearing. Persons who fail to request a hearing within fourteen (14) calendar days of the towing or immobilization forfeit their right to a hearing. If the underlying parking citation for which the vehicle was immobilized or towed is successfully appealed, then UTS shall refund any fines, penalties, immobilization fees or towing charges paid by the registrant/owner/operator.
- (3) The Traffic Appeals Board, composed of faculty, staff, and students, will review appeals of citations and hear challenges involving the towing or immobilization of a vehicle. All decisions of the Traffic Appeals Board will be communicated to the appealing person in writing and are final.

**Authority:** T.C.A. § 49-9-209(e).

I certify that the information included in this filing is an accurate and complete representation of the intent and scope of rulemaking proposed by the agency.

Date: February 17, 2022

Signature: C. Ryan Stinnett

Name of Officer: C. Ryan Stinnett

Title of Officer: General Counsel

**Department of State Use Only**

Filed with the Department of State on: 2/17/2022

Tre Hargett

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Secretary of State

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# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **FY 2022-23 Operating Budget (including Student Tuition and Fees and Room and Board Rates)**

Type: Action

Presenter: David L. Miller, Senior Vice President and Chief Financial Officer

### **Background Information**

University administration has developed the following proposed operating budget and student tuition and fees in accordance with the Bylaws and policies of the Board of Trustees. The proposed Unrestricted Educational and General (E&G) Operating Budget is in the amount of \$1,821,280,849, an increase of 7.7% from the current fiscal year, and the proposed Unrestricted Auxiliary Operating Budget is in the amount of \$314,940,749, an increase of 18.2% from FY 2021-22.

| Unit                  | Unrestricted E&G       | Unrestricted Auxiliary |  | Restricted            | Total                  |
|-----------------------|------------------------|------------------------|--|-----------------------|------------------------|
| Knoxville             | \$ 920,575,341         | \$ 274,171,267         |  | \$ 335,361,527        | \$1,530,108,135        |
| Health Science Center | 327,705,229            | 4,003,283              |  | 320,544,008           | 652,252,520            |
| Chattanooga           | 207,381,717            | 23,152,232             |  | 86,801,263            | 317,335,212            |
| Agriculture           | 178,492,968            |                        |  | 53,294,708            | 231,787,676            |
| Martin                | 107,453,710            | 10,567,896             |  | 34,234,735            | 152,256,341            |
| Public Service        | 29,229,471             |                        |  | 6,031,012             | 35,260,483             |
| Southern              | 14,665,496             | 3,046,071              |  | 5,057,807             | 22,769,374             |
| System Administration | 35,776,917             |                        |  | 11,850,000            | 47,626,917             |
| <b>Total Revenues</b> | <b>\$1,821,280,849</b> | <b>\$ 314,940,749</b>  |  | <b>\$ 853,175,060</b> | <b>\$2,989,396,658</b> |

The materials following this memorandum include: (1) The formal Resolution of approval; and (2) the FY 2022-23 Proposed Budget Document.

The Proposed Budget Document includes narrative overviews and detailed schedules of all items subject to Board approval: the FY 2022-23 operating budget and 2022-23 student tuition and fees. The document also includes as an information item details on all other changes to student fees authorized by university administration that do not require Board approval. The Senior Vice President and Chief Financial Officer and the President support the proposed FY 2022-23 Operating Budget and Student Tuition and Fees and recommend them for approval.

**THE UNIVERSITY TENNESSEE  
BOARD OF TRUSTEES**

Resolution 00\_-2022<sup>1</sup>

Resolution to Approve the FY 2022-23 Operating Budget

WHEREAS, by state law, the Board of Trustees must approve an annual operating budget for the University; and

WHEREAS, state law further requires the Board of Trustees to approve student tuition and fees;

WHEREAS, the FY 2022-23 Budgets for Education and General (E&G) and Auxiliary Enterprises are balanced, and within available resources and comply with all applicable policies and guidelines;

NOW THEREFORE BE IT RESOLVED that:

1. The FY 2022-23 Operating Budget is approved with the understanding that if the General Assembly or the Department of Finance and Administration further alters the FY 2022-23 appropriations, or if changes in estimated resources require, the budget shall be modified accordingly so expenditures will not exceed available resources.
2. The FY 2022-23 student tuition and fee schedules, course-related fees, and other dedicated student fees presented in the FY 2022-23 operating budget are approved.
3. The FY 2022-23 salary plan is approved.
4. Any remaining Unrestricted Current Fund balances may be considered as a reserve for contingencies to be used for the following purposes, provided that all such changes shall be reported in a Revised Budget presented to the Board for approval:
  - a. Employing additional staff where enrollments and reorganization requirements warrant;
  - b. Modifying departmental operating budgets where changing conditions during the year require funding adjustments;
  - c. Funding to make salary adjustments for personnel as may be necessary during the year in keeping with state and university salary guidelines;
  - d. Improving physical facilities as opportunities arise;
  - e. Mandated cost increases; and
  - f. State impoundment of funds or appropriations rescission during the budget year.

Adopted by the Board of Trustees, the 24<sup>th</sup> day of June 2022.

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<sup>1</sup> Number will be inserted after adoption.

# THE UNIVERSITY OF TENNESSEE

7

## Proposed Operating Budget Fiscal Year 2022-23



# THE UNIVERSITY OF TENNESSEE

UT Chattanooga

UT Knoxville

UT Space Institute

UT Institute of Agriculture

AgResearch - Extension - College of Veterinary Medicine

UT Martin

UT Health Science Center

UT Institute for Public Service

Municipal Technical Advisory Service

County Technical Assistance Service

Tennessee Language Center

UT Southern

UT System Administration

The University of Tennessee is a statewide system of higher education with campuses in Knoxville, Chattanooga, Martin, Memphis and Pulaski; the UT Space Institute in Tullahoma; the UT Institute of Agriculture with a presence in every Tennessee county; and the statewide Institute for Public Service. The UT system manages Oak Ridge National Laboratory through its UT-Battelle partnership; enrolls about 54,000 students statewide; produces about 10,000 new graduates every year; and represents more than 400,000 alumni around the world.

# The University of Tennessee

## FY 2022-23 Proposed Budget Document

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|--|------|
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## Message from the Chief Financial Officer

“Unprecedented” is a word that is seldom seen in higher education budget documents, but for the third year in a row it is a word that seems hard to avoid. FY 2022-23 will mark a complete resumption of normal operations and historic levels of state funding, both of which result in unusually large budget changes. Major highlights include:

- No tuition increase.
- UT’s largest salary pool ever, breaking the record set last year.
- Over \$1.2 billion of state funding for operating appropriations, capital projects, and UT grant programs.
- \$148 million for strategic initiatives: the UT Oak Ridge Institute, UTK Institute for American Civics, Tennessee’s “Grow Your Own” teacher apprenticeship program, and implementation of a new cloud-based Enterprise Resource Planning system (ERP).
- Appropriations that indirectly support UT: a 28% increase in HOPE scholarships and two programs benefiting the UT Health Science Center - increased Graduate Medical Education (GME) funding and an initiative to improve access to rural dental care.

Total operating revenues are nearly \$3 billion, up 6.8% from the current year. Unrestricted revenues for educational and general (E&G) operations and auxiliary enterprises will top \$2 billion for the first time, up 9.1%.

Unrestricted E&G revenues increase \$130 million, 7.7%. Most of the increase comes from state appropriations. Growth in tuition and fee revenues will add \$47 million. Proposed fee adjustments account for \$6 million of this increase. The remaining \$41 million result from

strong enrollment projections at UT Knoxville and UT Southern, especially strong enrollment growth of out-of-state students who pay higher rates of tuition, and the fourth and final year of the phase-in of UT Chattanooga’s “Soar in Four” tuition model.

Auxiliary enterprise revenues will grow by over 18%. This follows two years of significant declines and signals a return to the normal long-term trend line for auxiliary revenues. Enrollment gains at UT Knoxville and UT Southern will provide an additional boost to revenues from housing, meal plans, parking, and bookstores. An even greater boost is expected due to the remarkable success of resurgent programs in UT Knoxville athletics. Campuses have proposed rate changes for some auxiliary units which will generate additional revenues of \$1.65 million to offset increasing costs and contractual obligations. Details on proposed rate changes are described in Section C.

The net increase for restricted fund revenues from grants, contracts, gifts, and endowments is \$12.4 million, up 6.7%. This figure incorporates two offsetting components which understate true funding growth for UT activities that typically rely on restricted funds: a large drop due to the expiration of federal COVID-relief grants received during FY21 and FY22 offset by large increases in funding for traditional financial aid, research, and public service programs.

Revenue and expenditure data for each operating unit are provided in this budget document.

Respectfully,

*David L. Miller*

David L. Miller  
Senior Vice President & Chief Financial Officer



## University of Tennessee FY 2022-23 Proposed Budget

### Overview

Current fund revenues for the University of Tennessee (UT) Fiscal Year 2022-23 (FY23) proposed operating budget are nearly \$3.0 billion, up 6.8% from the current year. This includes \$2.1 billion in unrestricted educational and general (E&G) and auxiliary enterprise revenues and \$853 million of revenues from restricted funds.

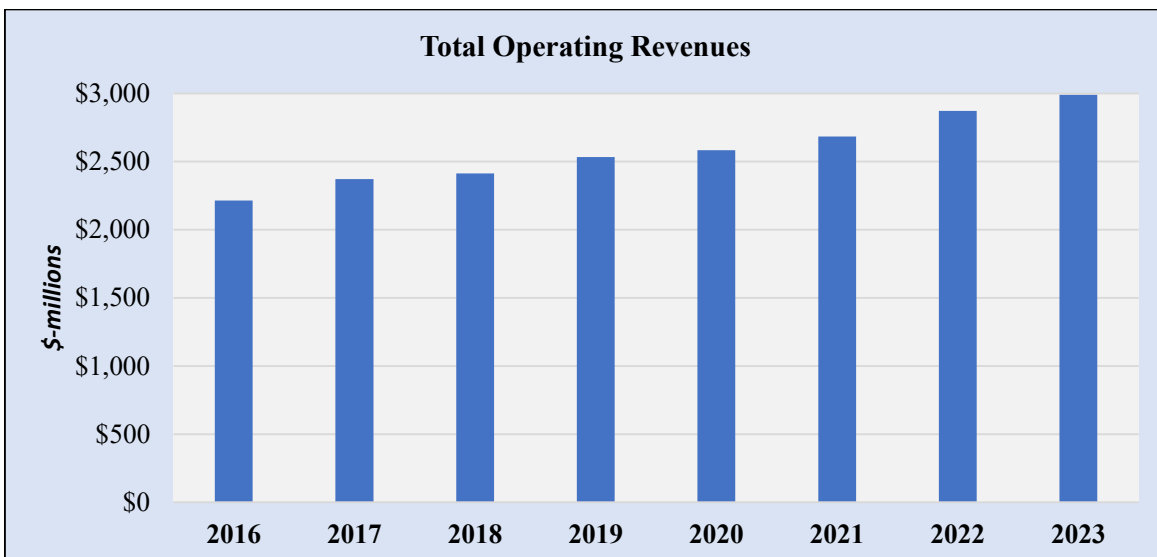
### FY23 Operating Revenues by Fund Group

| Fund Group                      | FY22                    | FY23                    | \$-change             | %           |
|---------------------------------|-------------------------|-------------------------|-----------------------|-------------|
| Unrestricted E&G                | \$ 1,691,188,911        | \$ 1,821,280,849        | \$ 130,091,938        | 7.7%        |
| Unrestricted Auxiliaries        | 266,505,813             | 314,940,749             | 48,434,936            | 18.2%       |
| <b>Subtotal: Unrestricted</b>   | <b>\$ 1,957,694,724</b> | <b>\$ 2,136,221,598</b> | <b>\$ 178,526,874</b> | <b>9.1%</b> |
| Restricted Funds                | 840,819,534             | 853,175,060             | 12,355,526            | 6.7%        |
| <b>Total Operating Revenues</b> | <b>\$ 2,798,514,258</b> | <b>\$ 2,989,396,658</b> | <b>\$ 190,882,400</b> | <b>6.8%</b> |

**Unrestricted E&G funds** support the core operations of the university. They are funded primarily through tuition and student fees, state appropriations, and other unrestricted revenues including grants and contracts, federal and local appropriations, sales and services, and investment income.

**Auxiliaries** are self-supporting enterprises that provide services to students, faculty, and staff such as housing, bookstores, food services, and UT Knoxville (UTK) athletics. They complement the core operations of each campus and are vital components of student life and campus culture.

**Restricted funds** include primarily grants, contracts, gifts, and endowments. These are the major revenue sources for research, scholarships, and fellowships.



## University of Tennessee FY 2022-23 Proposed Budget

### FY23 Operating Revenues

| By Unit and Source    | Unrestricted<br>E&G    | Unrestricted<br>Auxiliaries | Restricted<br>Funds   | Total<br>Revenues      |
|-----------------------|------------------------|-----------------------------|-----------------------|------------------------|
| Knoxville             | \$ 920,575,341         | \$ 274,171,267              | \$ 335,361,527        | \$1,530,108,135        |
| Health Science Center | 327,705,229            | 4,003,283                   | 320,544,008           | 652,252,520            |
| Chattanooga           | 207,381,717            | 23,152,232                  | 86,801,263            | 317,335,212            |
| Agriculture           | 178,492,968            |                             | 53,294,708            | 231,787,676            |
| Martin                | 107,453,710            | 10,567,896                  | 34,234,735            | 152,256,341            |
| Public Service        | 29,229,471             |                             | 6,031,012             | 35,260,483             |
| Southern              | 14,665,496             | 3,046,071                   | 5,057,807             | 22,769,374             |
| System Administration | 35,776,917             |                             | 11,850,000            | 47,626,917             |
| <b>Total Revenues</b> | <b>\$1,821,280,849</b> | <b>\$ 314,940,749</b>       | <b>\$ 853,175,060</b> | <b>\$2,989,396,658</b> |
| Tuition & Fees        | \$ 866,823,031         |                             |                       | \$ 866,823,031         |
| State Appropriations  | 760,346,852            |                             | 16,910,362            | 777,257,214            |
| Grants & Contracts    | 59,131,617             |                             | 742,345,685           | 801,477,302            |
| Sales & Services      | 69,691,501             |                             |                       | 69,691,501             |
| Other                 | 65,287,848             | 314,940,749                 | 93,919,013            | 474,147,610            |
| <b>Total Revenues</b> | <b>\$1,821,280,849</b> | <b>\$ 314,940,749</b>       | <b>\$ 853,175,060</b> | <b>\$2,989,396,658</b> |

Most units increased revenue budgets due primarily to unprecedented increases in state funding. The drop for UT Martin reflects a reduction in non-recurring federal COVID relief funding and declining tuition revenues. UT Southern had significant amounts of non-recurring transition funding from the state and private giving in FY22; recurring revenues are expected to grow during FY23. The System Administration increase includes the first year of a \$20 million partnership with the Tennessee Department of Education to strengthen educator preparation in Tennessee.

### Operating Revenue Changes by Major Unit

| By Unit               | FY22                    | FY23                    | \$-change             | %           |
|-----------------------|-------------------------|-------------------------|-----------------------|-------------|
| Knoxville             | \$ 1,375,207,095        | \$ 1,530,108,135        | \$ 154,901,040        | 11.3%       |
| Health Science Center | 629,835,230             | 652,252,520             | 22,417,290            | 3.6%        |
| Chattanooga           | 308,787,207             | 317,335,212             | 8,548,005             | 2.8%        |
| Agriculture           | 222,406,825             | 231,787,676             | 9,380,851             | 4.2%        |
| Martin                | 162,749,448             | 152,256,341             | (10,493,107)          | (6.4%)      |
| Public Service        | 34,198,855              | 35,260,483              | 1,061,628             | 3.1%        |
| Southern              | 27,218,689              | 22,769,374              | (4,449,315)           | (16.3%)     |
| System Administration | 38,110,909              | 47,626,917              | 9,516,008             | 25.0%       |
| <b>Total Revenues</b> | <b>\$ 2,798,514,258</b> | <b>\$ 2,989,396,658</b> | <b>\$ 190,882,400</b> | <b>6.8%</b> |

## University of Tennessee FY 2022-23 Proposed Budget

### Current Operating Expenses

The budget proposal allocates projected FY23 revenues plus a small share of current fund reserves to the following activities. The relative share of total funding allocated to each function is characteristic of long-term allocations. UT's expenditure profile is very stable across time. Restricted funding from grants, contracts, gifts and endowments provide significant levels of support for some functions: 62% of scholarships and fellowships, 59% of research, 45% of public service, and 19% of academic support.

#### FY23 Operating Expenditures and Transfers

| By Functional Area                   | Unrestricted            | Restricted            | Total                   |
|--------------------------------------|-------------------------|-----------------------|-------------------------|
| Instruction                          | \$ 670,756,059          | \$ 243,870,802        | \$ 914,626,861          |
| Research                             | 146,576,654             | 207,715,496           | 354,292,150             |
| Public Service                       | 100,102,642             | 80,605,945            | 180,708,587             |
| Academic Support                     | 237,178,038             | 56,633,177            | 293,811,215             |
| Student Services                     | 120,910,263             | 3,851,839             | 124,762,102             |
| Institutional Support                | 215,998,942             | 9,405,622             | 225,404,564             |
| Operation & Maintenance of Plant     | 174,205,479             | 364,500               | 174,569,979             |
| Scholarships & Fellowships           | 150,953,067             | 250,467,679           | 401,420,746             |
| Auxiliary Operations                 | 248,260,507             | 260,000               | 248,520,507             |
| <b>Total Expenses</b>                | <b>\$ 2,064,941,651</b> | <b>\$ 853,175,060</b> | <b>\$ 2,918,116,711</b> |
| Mandatory Transfers for Debt Service | 67,817,562              |                       | 67,817,562              |
| Non-Mandatory Transfers              | 5,776,446               |                       | 5,776,446               |
| <b>Expenses &amp; Transfers</b>      | <b>\$ 2,138,535,659</b> | <b>\$ 853,175,060</b> | <b>\$ 2,991,710,719</b> |

Mandatory transfers from current funds to another fund group arising from a legal binding agreement, primarily the retirement of debt obligations for buildings. Nearly 75% is related to debt for construction of auxiliary facilities such as residence halls, parking structures, and UTK athletics facilities. Non-mandatory transfers to other fund groups the setting aside of funds for the renewal or replacement of equipment, funding institutional match requirements for construction projects, and building long term reserves for future contingencies.

## University of Tennessee FY 2022-23 Proposed Budget

### Current Operating Expenses – Five Year Changes

Over the past five years, the largest growth in funding allocations have been to Instruction (\$201 million), Scholarships and Fellowships (\$95 million), and Academic Support (\$69 million). The largest percentage increases have been for Institutional Support (32%), Scholarships and Fellowships (31%), and Academic Support (30%). The large drop in non-mandatory transfers is the result of significant amounts of unrestricted funds transferred to plant fund capital expenses and long-term reserves in FY 2018-19.

#### Five Year Change in Operating Expenditures and Transfers

| By Functional Area               | Unrestricted    |            | Restricted      |            | Total           |            |
|----------------------------------|-----------------|------------|-----------------|------------|-----------------|------------|
| Instruction                      | \$ 160.1        | 31%        | \$ 41.2         | 20%        | \$ 201.4        | 28%        |
| Research                         | 6.1             | 4%         | 8.7             | 4%         | 14.8            | 4%         |
| Public Service                   | 18.7            | 23%        | 6.8             | 9%         | 25.5            | 17%        |
| Academic Support                 | 62.1            | 36%        | 6.4             | 13%        | 68.6            | 30%        |
| Student Services                 | 22.4            | 23%        | 0.5             | 17%        | 22.9            | 23%        |
| Institutional Support            | 47.4            | 28%        | 7.5             | 406%       | 55.0            | 32%        |
| Operation & Maintenance of Plant | 24.1            | 16%        |                 | 4%         | 24.1            | 16%        |
| Scholarships & Fellowships       | 26.0            | 21%        | 69.0            | 38%        | 95.0            | 31%        |
| Auxiliary Operations             | 46.8            | 23%        | (0.5)           | (65%)      | 46.4            | 23%        |
| <b>Total Expenses</b>            | <b>\$ 413.7</b> | <b>25%</b> | <b>\$ 139.8</b> | <b>20%</b> | <b>\$ 553.5</b> | <b>23%</b> |
| Mandatory Transfers for Debt     | 7.0             | 12%        |                 |            | 7.0             | 12%        |
| Non-Mandatory Transfers          | (83.3)          | (94%)      |                 |            | (83.3)          | (94%)      |
| <b>Expenses &amp; Transfers</b>  | <b>\$ 337.4</b> | <b>19%</b> | <b>\$ 139.8</b> | <b>20%</b> | <b>\$ 477.1</b> | <b>19%</b> |

FY 2022-23 proposed expense budgets compared to actual FY 2018-19 expenses.

Mandatory transfers from current funds to another fund group arising from a legal binding agreement, primarily the retirement of debt obligations for buildings. Nearly 75% is related to debt for construction of auxiliary facilities such as residence halls, parking structures, and UTK athletics facilities. Non-mandatory transfers to other fund groups the setting aside of funds for the renewal or replacement of equipment, funding institutional match requirements for construction projects, and building long term reserves for future contingencies.

## University of Tennessee FY 2022-23 Proposed Budget

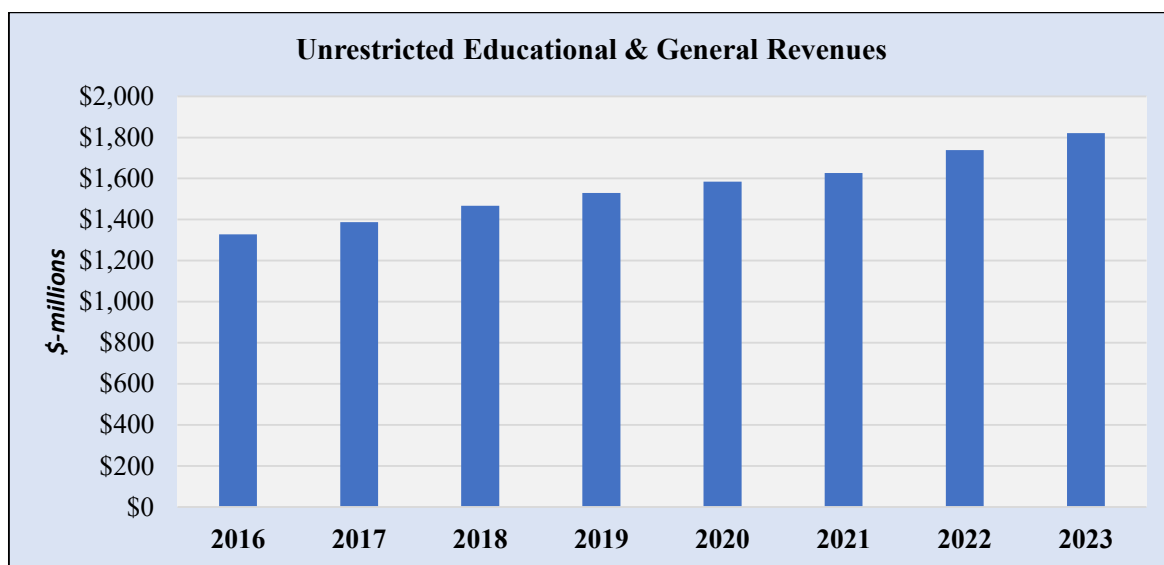
### Unrestricted Educational and General (E&G) Revenues

Revenue budgets for core E&G operations were adjusted up by 7.7%. Every unit received large state funding increases, UTK and UTS expect enrollment gains, and fee-generating operations have recovered from COVID-related slowdowns. The large drop for UT Southern is the result of non-recurring transition funding included in FY22 revenue budgets. Tuition and Fee revenues and State Appropriations are discussed in more detail in the following pages.

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#### Unrestricted E&G Revenues

| By Unit and Source    | FY22                    | FY23                    | \$-change            | %           |
|-----------------------|-------------------------|-------------------------|----------------------|-------------|
| Knoxville             | \$ 827,653,662          | \$ 920,575,341          | \$ 92,921,679        | 11.2%       |
| Health Science Center | 305,340,745             | 327,705,229             | 22,364,484           | 7.3%        |
| Chattanooga           | 200,052,195             | 207,381,717             | 7,329,522            | 3.7%        |
| Agriculture           | 169,324,311             | 178,492,968             | 9,168,657            | 5.4%        |
| Martin                | 106,486,728             | 107,453,710             | 966,982              | 0.9%        |
| Public Service        | 28,167,843              | 29,229,471              | 1,061,628            | 3.8%        |
| Southern              | 17,902,518              | 14,665,496              | (3,237,022)          | (18.1%)     |
| System Administration | 36,260,909              | 35,776,917              | (483,992)            | (1.3%)      |
| <b>Total</b>          | <b>\$ 1,691,188,911</b> | <b>\$ 1,821,280,849</b> | <b>\$130,091,938</b> | <b>7.7%</b> |
| Tuition & Fees        | \$ 819,965,507          | \$ 866,823,031          | \$ 46,857,524        | 5.7%        |
| State Appropriations  | 692,874,552             | 760,346,852             | 67,472,300           | 9.7%        |
| Other Revenues        | 178,348,852             | 194,110,966             | 15,762,114           | 8.8%        |
| <b>Total</b>          | <b>\$ 1,691,188,911</b> | <b>\$ 1,821,280,849</b> | <b>\$130,091,938</b> | <b>7.7%</b> |



## University of Tennessee FY 2022-23 Proposed Budget

### Unrestricted E&G Revenues – Tuition & Fees

Tuition and fee revenue budgets are up 5.7% (\$46.9 million). Less than 13% of this growth (around \$6 million) is due to proposed changes in student fees, which are explained in detail in Section C. The remainder is the result of adjusting budgets to account for strong enrollment growth expected for fall 2022 and effective enrollment management.

UT Knoxville and UT Southern are expecting significant enrollment gains. Much of UTK's growth is in graduate and professional programs and out-of-state students; groups that generate more per-student-revenue than the typical in-state undergraduate.

#### Tuition & Fee Revenues

| By Unit and Fee Type    | FY22                  | FY23                  | \$-change            | %           |
|-------------------------|-----------------------|-----------------------|----------------------|-------------|
| Knoxville               | \$ 516,016,454        | \$ 565,948,855        | \$ 49,932,401        | 9.7%        |
| Chattanooga             | 128,858,478           | 127,968,340           | (890,138)            | (0.7%)      |
| Health Science Center   | 90,051,721            | 90,879,935            | 828,214              | 0.9%        |
| Martin                  | 64,184,738            | 60,705,977            | (3,478,761)          | (5.4%)      |
| Veterinary Medicine     | 13,279,826            | 12,892,528            | (387,298)            | (2.9%)      |
| Southern                | 7,574,290             | 8,427,396             | 853,106              | 11.3%       |
| <b>Total</b>            | <b>\$ 819,965,507</b> | <b>\$ 866,823,031</b> | <b>\$ 46,857,624</b> | <b>5.7%</b> |
| Maintenance Fee         | \$ 573,658,731        | \$ 600,913,030        | \$ 27,254,299        | 4.8%        |
| Out-of-State Tuition    | 88,123,331            | 109,482,762           | 21,359,431           | 24.2%       |
| Programs & Services Fee | 79,847,476            | 81,216,666            | 1,369,190            | 1.7%        |
| Other Student Fees      | 73,094,966            | 70,371,522            | (2,723,444)          | (3.7%)      |
| Non-Credit Courses      | 5,241,003             | 4,839,051             | (401,952)            | (7.7%)      |
| <b>Total</b>            | <b>\$ 819,965,507</b> | <b>\$ 866,823,031</b> | <b>\$ 46,857,624</b> | <b>5.7%</b> |

UT Chattanooga will see tuition revenue gains of \$2.2 million as the fourth and final cohort of students enter the “Soar in Four” tuition model. (The reductions shown above for UTC and “Other Student Fees” are due to an anomaly in how UTC budgets online fees, resulting in a \$3 million understatement of FY23 revenue.)

UT Martin has reduced its tuition and fee revenue budget due to enrollment declines and non-recurring tuition and fee revenues posted in FY22 from federal COVID relief grants.

## University of Tennessee FY 2022-23 Proposed Budget

### Unrestricted E&G Revenues – State Appropriations

FY 2022-23 will be a record year for UT appropriations. State funding for recurring operations is up \$73.5 million or 10.8%. The state budget includes additional funds of \$142 million appropriated to fund grants to UT. Capital appropriations will be \$281.5 million. Total appropriations that will come directly to UT in one form or another top \$1.2 billion. State appropriations for FY23 also include a number of items that will provide significant levels of indirect support to UT.

#### FY 2022-23 State Appropriations

| Types of Changes                   | Recurring             | Non-Recurring       | Total                 |
|------------------------------------|-----------------------|---------------------|-----------------------|
| Salary Pool                        | \$ 28,396,600         |                     | \$ 28,396,600         |
| Funding Formula                    | 27,653,400            |                     | 27,653,400            |
| Health Science Center              | 3,978,900             |                     | 3,978,900             |
| Veterinary Medicine                | 3,214,300             |                     | 3,214,300             |
| American Civics                    | 4,000,000             | \$ 2,000,000        | 6,000,000             |
| Law Enforcement Innovation Center  |                       | 500,000             | 500,000               |
| Minority Engineering Scholarships  |                       | 1,000,000           | 1,000,000             |
| Health Insurance Premium Increase  | 6,229,100             |                     | 6,229,100             |
| Mandatory Discounts & Waivers      |                       | 860,800             | 860,800               |
| <b>Total Changes</b>               | <b>\$ 73,472,300</b>  | <b>\$ 4,360,800</b> | <b>\$ 77,833,100</b>  |
| FY 2021-22 Base                    | \$ 682,513,752        |                     | \$ 682,513,752        |
| <b>Unrestricted Appropriations</b> | <b>\$ 755,986,052</b> | <b>\$ 4,360,800</b> | <b>\$ 760,346,852</b> |
| Restricted Appropriations          | 16,910,362            |                     | 16,910,362            |
| <b>Total State Appropriations</b>  | <b>\$ 772,896,414</b> | <b>\$ 4,360,800</b> | <b>\$ 777,257,214</b> |

Additions to recurring operating appropriations include \$37.5 million for employee salary and benefits: \$28.4 million to partially fund a 4% salary pool, \$6.2 million to offset increasing health insurance premium costs, and \$2.9 million for UT's College of Veterinary Medicine to address faculty recruitment and retention. Nearly \$32 million was added for general operations through the funding formula and operating improvements for UT medical education units. Non-recurring appropriations were added for law enforcement training in distressed rural counties, minority engineering scholarships at UTK and UTC, and to partially offset the fiscal impact of state mandated tuition discounts and waivers.

FY23 appropriations include \$6 million to establish a new non-partisan Institute for American Civics at UT Knoxville's Howard H. Baker Jr. Center for Public Policy. The institute will support and enhance statewide efforts to highlight the role American institutions play in resolving conflict, advancing human dignity, and making public policy.

## **University of Tennessee FY 2022-23 Proposed Budget**

### **State Appropriations - continued**

The state appropriated \$170 million to fund development of next-generation Enterprise Resource Planning Systems (ERPs) at Tennessee public universities. UT will receive \$50 million to implement DASH – Dynamic Administrative Systems for Higher Education – a new cloud-based human resources and finance system that will provide a competitive edge by enhancing operational efficiency, reducing operating costs, ensuring accountability, increasing transparency, and improving customer service. Planning began in 2019. Over 300 UT employees completed a comprehensive business process review to reimagine 24 processes and 159 subprocesses in finance, human resources, and payroll. UT selected Oracle Fusion Cloud as the system platform and Accenture as its System Integration partner. DASH is expected to be operational in July 2024.

UT's Oak Ridge Innovation Institute (ORII) will receive \$72 million, bringing the state's total financial commitment to \$80 million. ORII will create a robust talent pipeline in areas of growing national need and demand, positioning Tennessee as the “go-to” destination for top-level talent development and discovery. The Institute will address emerging, top-tier industry and workforce needs; develop locally relevant and globally competitive scientists and engineers; and prepare students by teaching innovation and interdisciplinary problem-solving skills.

Tennessee's Department of Education received \$20 million to partner with the University of Tennessee to launch the Tennessee Grow Your Own Center, supporting Tennessee's Teacher Apprenticeship model in its efforts to attract more aspiring educators to the profession. UT Knoxville, UT Chattanooga, UT Martin, and UT Southern will each play a role in developing innovative educator credentialing, additional endorsements, and professional development that reimagines coursework and content across entry points. The center's work will support an increase in the statewide Educator Preparation Provider (EPP) enrollment, developing future educators and leaders to better support the students and state of Tennessee.

While not part of the proposed operating budget, capital appropriations are a significant component of overall state support for UT. The state will provide \$281.5 million for fifteen capital maintenance projects and six capital outlay projects:

- UTK Haslam College of Business: \$83.0 million
- UTC Health Sciences Building \$55.9 million
- UTC 540 McCallie Renovation: \$38.4 million
- HSC Nash Vivarium Basement Renovation: \$22.2 million
- HSC Cancer Research Building 4<sup>th</sup> Floor Renovation: \$19.0 million
- UTM Tennessee Entrepreneurial Science and Technology (TEST) Hub: \$18.0 million
- Capital Maintenance: \$44.9 million

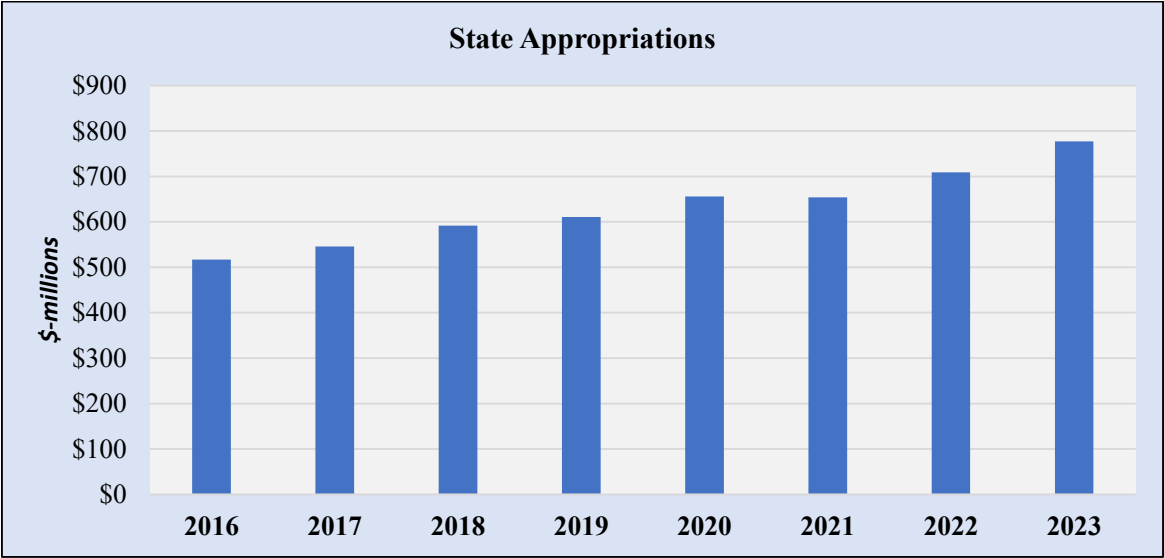


University of Tennessee FY 2022-23 Proposed Budget

State Appropriations - continued

Appropriations were made to a number of programs that benefit UT students or involve UT as a collaborative partner. Three of the more significant appropriations are:

- \$69.5 million to fund the largest increase in HOPE scholarships for students at public universities since the scholarship’s creation, raising award amounts to \$4,500 for full-time eligible freshman and sophomores and \$5,700 for juniors and seniors. This will have a significant impact on access and affordability for thousands of Tennessee students. Nearly 13,000 UT students received HOPE scholarship support during 2020-21.
- \$10 million recurring to address the state’s medical residency shortage and support graduate medical education (GME) through the state’s Division of TennCare. Much of this funding will support training and education of UT Health Science Center students.
- \$93 million to support the Healthy Smiles Initiative at the Tennessee Department of Health to improve rural access to dental care. It is anticipated the Department will partner with the UT Health Science Center College of Dentistry to support this initiative.



## University of Tennessee FY 2022-23 Proposed Budget

### Unrestricted E&G Expenses

FY23 unrestricted E&G expense budgets total \$1.82 billion. Over half is allocated to instruction, research, and public service; 28% is allocated to academic support, student services, scholarships, and fellowships; and 21% is directed to institutional support and operation and maintenance of grounds, facilities, and mechanical systems.

#### FY23 Unrestricted E&G Expenses

| \$-millions                | UTK            | HCS            | UTC            | UTIA           | UTM            | IPS            | UTS            | UTSA           | Total            |
|----------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|------------------|
| Instruction                | \$327.7        | \$148.1        | \$ 92.6        | \$ 52.2        | \$ 45.7        | -              | \$ 4.4         | -              | \$ 670.8         |
| Research                   | 82.5           | 12.6           | 5.8            | 45.6           | 0.1            | -              | -              | -              | 146.6            |
| Public Service             | 8.8            | 0.6            | 2.8            | 60.7           | 0.8            | \$ 26.3        | 0.1            | -              | 100.1            |
| Academic Support           | 132.0          | 60.8           | 21.4           | 10.6           | 10.1           | 0.3            | 2.1            | -              | 237.2            |
| Student Services           | 64.5           | 7.4            | 30.1           | -              | 14.6           | -              | 4.3            | -              | 120.9            |
| Institutional Support      | 73.7           | 42.2           | 15.7           | 2.9            | 9.1            | 0.8            | 2.3            | \$ 69.3        | 216.0            |
| Operations & Maintenance   | 98.7           | 37.5           | 20.5           | 3.9            | 11.3           | -              | 1.7            | 0.6            | 174.2            |
| Scholarships & Fellowships | 106.4          | 7.2            | 20.2           | 0.2            | 14.4           | -              | 2.5            | -              | 150.9            |
| <b>TOTAL</b>               | <b>\$894.3</b> | <b>\$316.4</b> | <b>\$209.1</b> | <b>\$176.0</b> | <b>\$106.1</b> | <b>\$ 27.3</b> | <b>\$ 17.5</b> | <b>\$ 69.9</b> | <b>\$1,816.7</b> |

FY23 unrestricted E&G expenditure budgets are \$20.9 million above the current FY22 budget. This can be misleading since the FY22 figures include \$132 million of non-recurring expenses added mid-year while the FY23 proposed budget is primarily for recurring operations. Recurring expenses budgets are increasing 8.4% from \$1.66 billion to \$1.80 billion.

## University of Tennessee FY 2022-23 Proposed Budget

### Unrestricted E&G Expenses - continued

Each unit increased recurring expense budgets. Salary and benefits are up due to the salary pool; faculty promotions; new positions to expand instruction, tutoring, academic and career support; and an extremely competitive labor market. Operating and equipment budgets are up significantly. This increase is due to resuming full campus operations, responding to strong enrollment growth at UTK and UTS, and recent spikes in inflation. Unrestricted funds for recurring scholarship and fellowship expenses are lower, but this will be offset by a significant increase of financial aid funded by gifts, grants, and endowments in FY23. (This excludes the effect of a drop in emergency aid funded by federal COVID-relief grants as explained in the section on Restricted Funds.)

#### Recurring Unrestricted E&G Expenses

| By Unit/Function/Type      | FY22                    | FY23                    | \$-change             | %           |
|----------------------------|-------------------------|-------------------------|-----------------------|-------------|
| Knoxville                  | \$ 806,122,327          | \$ 893,222,412          | \$ 87,100,085         | 10.8%       |
| Health Science Center      | 297,410,575             | 314,315,540             | 16,904,965            | 5.7%        |
| Chattanooga                | 190,070,419             | 200,084,479             | 10,014,060            | 5.3%        |
| Agriculture                | 163,734,602             | 175,365,078             | 11,630,476            | 7.1%        |
| Martin                     | 104,425,074             | 106,728,352             | 2,303,278             | 2.2%        |
| System Administration      | 60,751,869              | 69,174,732              | 8,422,863             | 13.9%       |
| Public Service             | 24,576,679              | 27,312,144              | 2,735,465             | 11.1%       |
| UT Southern                | 16,422,761              | 17,547,956              | 1,125,195             | 6.9%        |
| <b>Total</b>               | <b>\$ 1,663,514,306</b> | <b>\$ 1,803,750,693</b> | <b>\$ 140,236,387</b> | <b>8.4%</b> |
| Instruction                | \$ 604,027,378          | \$ 666,210,301          | \$ 62,182,923         | 10.3%       |
| Research                   | 150,392,137             | 145,268,016             | (5,124,121)           | (3.4%)      |
| Public Service             | 92,220,686              | 99,511,697              | 7,291,011             | 7.9%        |
| Academic Support           | 188,108,705             | 236,763,926             | 48,655,221            | 25.9%       |
| Student Services           | 113,139,962             | 120,359,399             | 7,219,437             | 6.4%        |
| Institutional Support      | 188,628,911             | 210,733,809             | 22,104,898            | 11.7%       |
| Operation & Maintenance    | 168,452,958             | 177,224,678             | 8,771,720             | 5.2%        |
| Scholarships & Fellowships | 158,543,569             | 147,678,867             | (10,864,702)          | (6.9%)      |
| <b>Total</b>               | <b>\$ 1,663,514,306</b> | <b>\$ 1,803,750,693</b> | <b>\$ 140,236,387</b> | <b>8.4%</b> |
| Salaries & Benefits        | \$ 1,124,779,849        | \$ 1,218,744,716        | \$ 93,964,867         | 8.4%        |
| Operations                 | 380,190,888             | 437,327,110             | 57,136,222            | 15.0%       |
| Scholarships & Fellowships | 158,543,569             | 147,678,867             | (10,864,702)          | (6.9%)      |
| <b>Total</b>               | <b>\$ 1,663,514,306</b> | <b>\$ 1,803,750,693</b> | <b>\$ 140,236,387</b> | <b>8.4%</b> |

## University of Tennessee FY 2022-23 Proposed Budget

### Restricted Funds

Restricted funds must be used for purposes established by an external party and in accordance with the contractual terms and conditions negotiated with the sponsor of each grant and contract or the administrative provisions set by donors for each gift fund and endowment. They are the largest funding sources for research (59%) and financial aid (62%) and play an important role in funding the university's public service initiatives (45%). Restricted funds are not part of the proposed budget resolution. They are presented to provide a complete picture of total operating funds.

#### Restricted Fund Changes

| By Source and Function   | FY22                  | FY23                  | \$-change            | %           |
|--------------------------|-----------------------|-----------------------|----------------------|-------------|
| Federal Grants/Contracts | \$ 290,837,335        | \$ 289,409,284        | (\$ 1,428,051)       | (0.5%)      |
| State Grants/Contracts   | 181,647,877           | 193,261,025           | 11,613,148           | 6.4%        |
| Other Grants/Contracts   | 259,296,879           | 259,675,376           | 378,497              | 0.1%        |
| Gifts & Endowments       | 92,191,081            | 93,659,013            | 1,467,932            | 1.6%        |
| Other Revenues           | 16,846,362            | 17,170,362            | 324,000              | 1.9%        |
| <b>Total Revenues</b>    | <b>\$ 840,819,534</b> | <b>\$ 853,175,060</b> | <b>\$ 12,355,526</b> | <b>1.5%</b> |
| Scholarships/Fellowships | \$ 244,423,296        | \$ 250,467,679        | \$ 6,044,383         | 2.5%        |
| Instruction              | 231,722,417           | 243,870,802           | 12,148,385           | 5.2%        |
| Research                 | 205,359,186           | 207,715,496           | 2,356,310            | 1.1%        |
| Public Service           | 80,905,758            | 80,605,945            | (299,813)            | (0.4%)      |
| Academic Support         | 56,288,351            | 56,633,177            | 344,826              | 0.6%        |
| Other Expenses           | 22,120,526            | 13,881,961            | (8,238,565)          | (37.2%)     |
| <b>Total Expenses</b>    | <b>\$ 840,819,534</b> | <b>\$ 853,175,060</b> | <b>\$ 12,355,526</b> | <b>1.5%</b> |

Modest gains are expected from most restricted fund revenue sources. The modest net increase in funding for scholarships and fellowships should be understood in context of the fact that nearly \$50 million of COVID-relief grants were issued to students during FY22. Over the last two years, these grants enabled UT campuses to issue nearly 90,000 emergency grants to students totaling more than \$85 million. An additional \$85 million has been used to cope with the fiscal impact of the pandemic on campus operations. If this factor is excluded, restricted fund support for student financial aid from traditional sources is increasing significantly.

## University of Tennessee FY 2022-23 Proposed Budget

### Auxiliary Enterprises

Auxiliaries are self-supporting enterprises providing services to students, faculty, and staff such as housing, bookstores, food services, and UTK athletics. (The athletic programs at UTC, UTM, and UTS are included in unrestricted E&G funds.) Most are funded through fee models such as housing rentals, meal plan prices, parking permits, and sales of books and supplies. UTK athletics has a variety of funding sources including ticket sales and television revenues. These revenues fund salaries and benefits, general operating, utilities, capital expenditures and debt service.

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#### FY23 Auxiliary Revenues by Campus and Enterprise

| \$-thousands  | UTK               | UTC              | UTM              | UTS             | HSC             | Total             |
|---------------|-------------------|------------------|------------------|-----------------|-----------------|-------------------|
| UTK Athletics | \$ 163,770        |                  |                  |                 |                 | \$ 163,770        |
| Housing       | 62,764            | \$ 17,691        | \$ 8,457         | \$ 2,846        |                 | 91,757            |
| Bookstores    | 22,500            | 500              | 640              | 200             | \$ 1,515        | 25,355            |
| Parking       | 11,933            | 3,418            | 578              |                 | 1,426           | 17,354            |
| Food Services | 10,650            | 1,191            | 467              |                 | 984             | 13,292            |
| Other         | 2,555             | 353              | 426              |                 | 79              | 3,413             |
| <b>Total</b>  | <b>\$ 274,171</b> | <b>\$ 23,152</b> | <b>\$ 10,568</b> | <b>\$ 3,046</b> | <b>\$ 4,003</b> | <b>\$ 314,941</b> |

Revenue gains are expected across all auxiliary enterprises as campuses return to normal operations. Enrollment gains at UTK and UTS will also contribute to revenue growth.

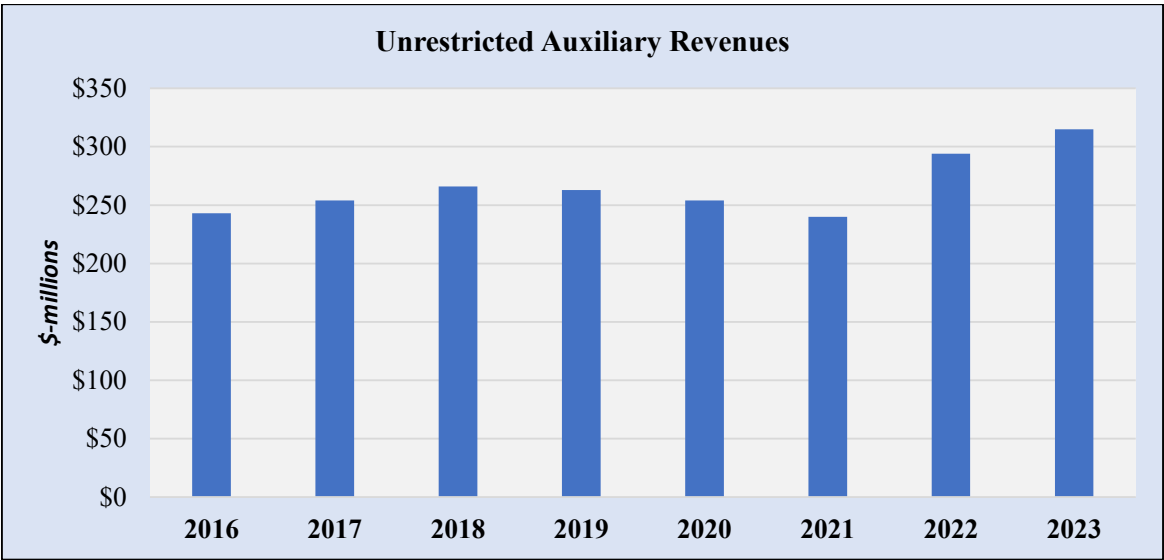
#### Changes to Auxiliary Enterprise Revenues

| Campus/Institute      | FY22                  | FY23                  | \$-change            | %            |
|-----------------------|-----------------------|-----------------------|----------------------|--------------|
| Knoxville             | \$ 227,346,186        | \$ 274,171,267        | \$ 46,825,081        | 20.6%        |
| Chattanooga           | 21,961,348            | 23,152,232            | 1,190,884            | 5.4%         |
| Martin                | 10,276,196            | 10,567,896            | 291,700              | 2.8%         |
| Health Science Center | 4,003,283             | 4,003,283             | -                    | -            |
| UT Southern           | 2,918,800             | 3,046,071             | 127,271              | 4.4%         |
| <b>Total</b>          | <b>\$ 266,505,813</b> | <b>\$ 314,940,749</b> | <b>\$ 48,434,936</b> | <b>18.2%</b> |
| UTK Athletics         | 128,517,000           | 163,769,892           | 35,252,892           | 27.4%        |
| Housing               | 83,142,376            | 91,757,326            | 8,614,950            | 10.4%        |
| Food Services         | 12,053,189            | 13,291,868            | 1,238,679            | 10.3%        |
| Bookstores            | 23,634,943            | 25,354,943            | 1,720,000            | 7.3%         |
| Parking               | 15,605,556            | 17,353,971            | 1,748,415            | 11.2%        |
| Other                 | 3,552,749             | 3,412,749             | (140,000)            | (3.9%)       |
| <b>Total</b>          | <b>\$ 266,505,813</b> | <b>\$ 314,940,749</b> | <b>\$ 48,434,936</b> | <b>18.2%</b> |

University of Tennessee FY 2022-23 Proposed Budget

Auxiliary Enterprises - continued

No other component of university finances is as sensitive to events like the recent pandemic than auxiliary enterprises. They depend on the presence of students, faculty, staff, and visitors on campus. Enrollment affects revenues for all auxiliary operations. Attendance at sporting events, concerts, and conferences influence auxiliary revenues, in particular parking and UTK athletics. The rapid rebound in revenue experienced in FY22 and expected for FY23 puts auxiliary finances back on a trend line after a temporary two-year dip.



2022-23 Salary Plan

The FY22 salary plan was the largest ever distributed by UT. The FY23 plan will exceed that by more the \$10 million. It marks the first time in 35 years that UT has had salary pools of 4% or more in consecutive years. Campuses and institutes have developed plans to adjust faculty and staff salaries to reflect current salary market conditions and recognize employee performance.

| Salary Plan Funding Sources              | \$-amount     |
|--|---------------|
| State salary pool funding                | \$ 28,396,000 |
| State formula funding                    | 11,730,000    |
| Grants, contracts, gifts, and endowments | 14,100,000    |
| Auxiliary enterprise fees                | 3,489,000     |
| TOTAL                                    | \$ 57,715,000 |

## University of Tennessee FY 2022-23 Proposed Budget

### Unrestricted Net Assets

The university maintains sufficient levels of unrestricted net assets to comply with state regulations on working capital, properly account for revolving funds, and meet contractual obligations and operational plans for the next year. The Proposed budget results in fund balances of \$129.9 million as of June 30, including \$109.5 million for E&G operations and \$20.4 million for auxiliaries.

#### Unrestricted Current Fund Net Assets Budgeted for June 30, 2023

| Fund Balances                       | E&G                     | Auxiliary             | Total                   |
|-------------------------------------|-------------------------|-----------------------|-------------------------|
| Beginning Balances                  | \$ 110,800,584          | \$ 21,424,592         | \$ 132,225,176          |
| Revenue                             | 1,821,280,849           | 314,940,749           | 2,136,221,598           |
| <b>Total Available Funding</b>      | <b>\$ 1,932,081,433</b> | <b>\$ 336,365,341</b> | <b>\$ 2,268,446,774</b> |
| Expenses & Transfers                | 1,822,577,521           | 315,958,138           | 2,138,535,659           |
| <b>Ending Balances</b>              | <b>\$ 109,503,912</b>   | <b>\$ 20,407,203</b>  | <b>\$ 129,911,115</b>   |
| <b>Net Asset Allocations:</b>       |                         |                       |                         |
| Working Capital                     | \$ 27,855,089           | \$ 7,907,996          | \$ 35,763,085           |
| Revolving Funds                     | 11,585,488              | 3,602,739             | 15,188,227              |
| Encumbrances                        | 3,710,329               |                       | 3,710,329               |
| Reappropriations                    | 6,450,000               |                       | 6,450,000               |
| Unallocated Reserve                 | 59,903,006              | 8,896,468             | 68,799,474              |
| <i>% of Expense &amp; Transfers</i> | <i>3.29%</i>            | <i>2.82%</i>          | <i>3.22%</i>            |

**Working capital** provides sufficient liquidity to fund accounts receivable, inventories, and petty cash. These are required by state regulations and are considered non-expendable during the fiscal year.

**Revolving funds** include fund balances tied to revenue-generating units (e.g., service centers, motor pools, conference centers, medical clinics) and university wide cost-distribution models (e.g., unemployment compensation, workers compensation liabilities, claims liabilities, etc.).

**Encumbrances** are carried over for commitments for purchases of goods and services that were not received before the close of the fiscal year. They are fully expended during the fiscal year.

**Reappropriations** are funds carried forward for specific programs and initiatives. The most common example is a project that was planned for the previous fiscal year but delayed until the next fiscal year. Most reappropriations are fully expended during the current year.

**Unallocated Reserves** are contingency funds used to respond to fluctuations in revenues and expenditures. The unallocated reserve for E&G operations is limited to 2% to 5% of total expenditures and transfers; the auxiliary target range is 3% to 5% of expenditures and transfers.

# The University of Tennessee

## FY 2022-23 Proposed Budget

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# The University of Tennessee

## FY 2022-23 Proposed Budget

### Unrestricted Current Funds

#### Current Fund Revenues (\$millions)

|                          |                  |
|--------------------------|------------------|
| Chattanooga              | \$230.5          |
| Knoxville                | 1,194.7          |
| Martin                   | 118.0            |
| Southern                 | 17.7             |
| Health Science Center    | 331.7            |
| Institute of Agriculture | 178.5            |
| Inst. for Public Service | 29.2             |
| System Administration    | <u>35.8</u>      |
| <b>TOTAL</b>             | <b>\$2,136.2</b> |

#### Fall 2021 FTE Enrollment

|                       |               |
|-----------------------|---------------|
| Knoxville             | 28,693        |
| Chattanooga           | 10,234        |
| Martin                | 5,183         |
| Southern              | 741           |
| Health Science Center | 3,141         |
| Vet Med               | 550           |
| Space Institute       | <u>41</u>     |
| <b>TOTAL</b>          | <b>48,583</b> |

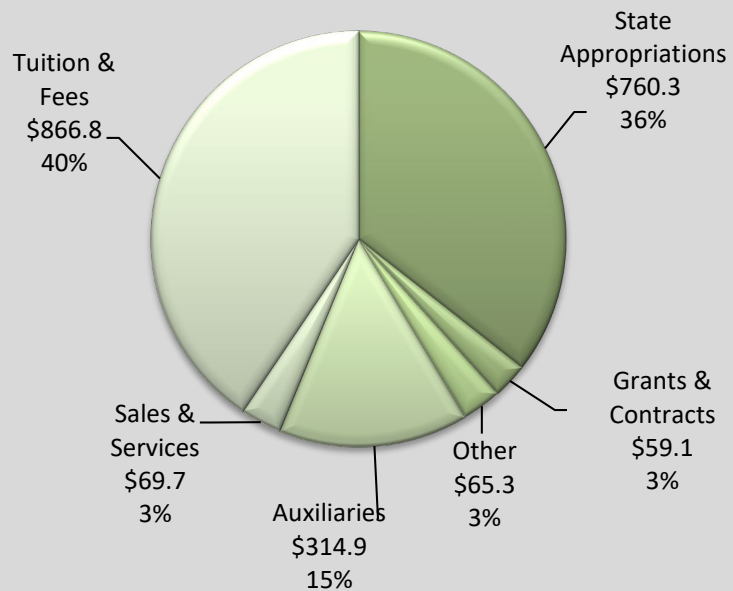
#### FTE Positions (Unrestricted E&G)

**August 1, 2022**

|                 |               |
|-----------------|---------------|
| Faculty         | 3,622         |
| Administrative  | 923           |
| Professional    | 2,555         |
| Cler/Tech/Maint | <u>4,063</u>  |
| <b>TOTAL</b>    | <b>11,164</b> |

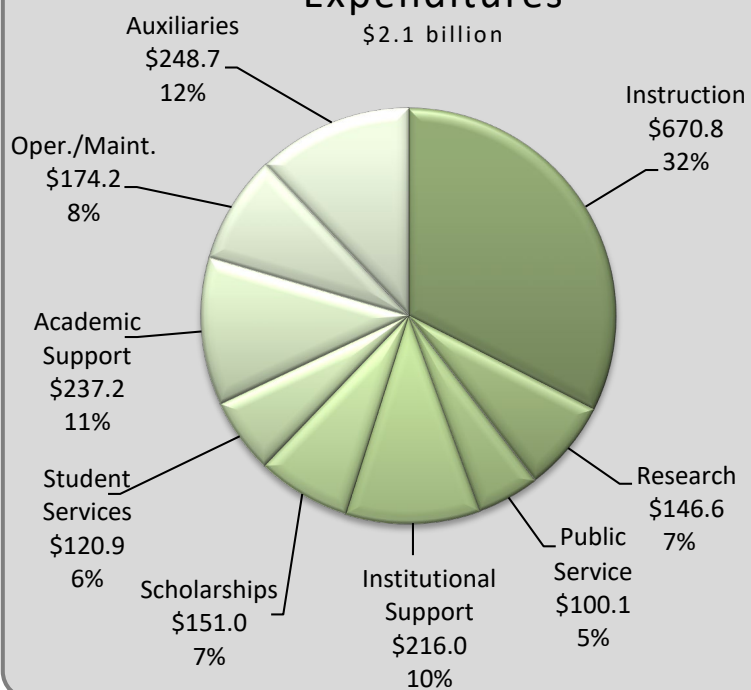
#### Revenues

\$2.1 billion



#### Expenditures

\$2.1 billion



# The University of Tennessee

## FY 2022-23 Proposed Budget

### Unrestricted & Restricted Current Funds

#### Unrestricted & Restricted Revenues (\$millions)

|                          |                  |
|--------------------------|------------------|
| Chattanooga              | \$317.3          |
| Knoxville                | 1,530.1          |
| Martin                   | 152.3            |
| Southern                 | 22.7             |
| Health Science Center    | 652.3            |
| Institute of Agriculture | 231.8            |
| Inst. for Public Service | 35.3             |
| System Administration    | <u>47.6</u>      |
| <b>TOTAL</b>             | <b>\$2,989.4</b> |

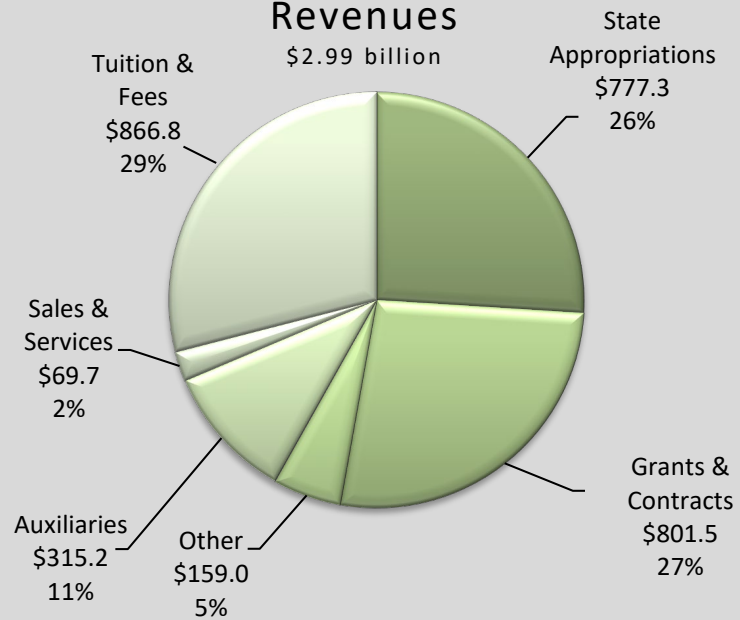
#### Fall 2021 Headcount Enrollment

|                       |               |
|-----------------------|---------------|
| Knoxville             | 31,236        |
| Chattanooga           | 11,457        |
| Martin                | 6,712         |
| Southern              | 876           |
| Health Science Center | 3,237         |
| Vet Med               | 380           |
| Space Institute       | <u>85</u>     |
| <b>TOTAL</b>          | <b>53,983</b> |

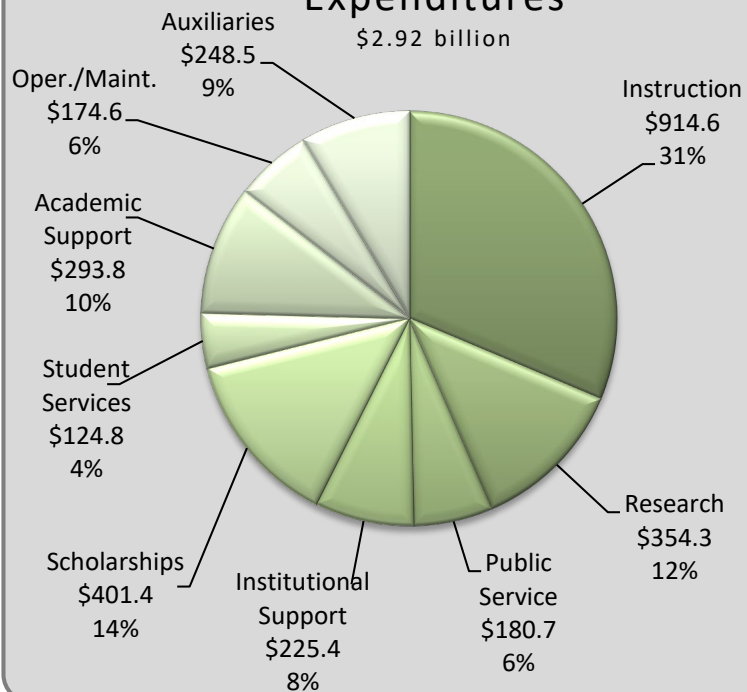
#### FTE Positions (Unrestricted & Restricted) August 1, 2022

|                 |               |
|-----------------|---------------|
| Faculty         | 4,473         |
| Administrative  | 1,072         |
| Professional    | 3,750         |
| Cler/Tech/Maint | <u>5,543</u>  |
| <b>TOTAL</b>    | <b>14,838</b> |

#### Revenues



#### Expenditures



**University of Tennessee System**  
**FY 2022-23 Proposed Budget Summary by Unit**  
**Unrestricted Current Funds, Revenues, Expenditures, and Transfers**

|  | Total System     | Chattanooga    | Knoxville        | Martin         | UT Southern    | Health Science Center | Institute of Agriculture | Institute for Public Service | System Administration |
|--|------------------|----------------|------------------|----------------|----------------|-----------------------|--------------------------|------------------------------|-----------------------|
| <b>EDUCATIONAL AND GENERAL</b>           |                  |                |                  |                |                |                       |                          |                              |                       |
| <b>Revenues</b>                          |                  |                |                  |                |                |                       |                          |                              |                       |
| Tuition & Fees                           | \$ 866,823,031   | \$ 127,968,340 | \$ 565,948,855   | \$ 60,705,977  | \$ 8,427,396   | \$ 90,879,935         | \$ 12,892,528            |                              |                       |
| State Appropriations                     | 760,346,852      | 73,252,805     | 312,272,558      | 42,031,797     | 5,469,100      | 191,625,124           | 107,969,964              | \$ 15,770,087                | \$ 11,955,417         |
| Grants & Contracts                       | 59,131,617       | 1,049,400      | 28,910,000       | 241,400        | -              | 23,054,407            | 5,321,795                | 554,615                      |                       |
| Sales & Service                          | 69,691,501       | 4,841,672      | 5,565,249        | 3,727,096      | 79,000         | 21,055,843            | 34,422,641               |                              |                       |
| Other Sources                            | 65,287,848       | 269,500        | 7,878,679        | 747,440        | 690,000        | 1,089,920             | 17,886,040               | 12,904,769                   | 23,821,500            |
| Total Revenues                           | \$ 1,821,280,849 | \$ 207,381,717 | \$ 920,575,341   | \$ 107,453,710 | \$ 14,665,496  | \$ 327,705,229        | \$ 178,492,968           | \$ 29,229,471                | \$ 35,776,917         |
| <b>Expenditures and Transfers</b>        |                  |                |                  |                |                |                       |                          |                              |                       |
| Instruction                              | \$ 670,756,059   | \$ 92,599,532  | \$ 327,704,692   | \$ 45,746,545  | \$ 4,428,567   | \$ 148,097,018        | \$ 52,179,705            |                              |                       |
| Research                                 | 146,576,654      | 5,817,526      | 82,475,159       | 86,457         |                | 12,619,279            | 45,578,233               |                              |                       |
| Public Service                           | 100,102,642      | 2,822,117      | 8,769,513        | 841,913        | 94,958         | 600,142               | 60,710,092               | \$ 26,263,907                |                       |
| Academic Support                         | 237,178,038      | 21,358,323     | 131,966,917      | 10,119,283     | 2,089,608      | 60,815,284            | 10,567,245               | 261,378                      |                       |
| Student Services                         | 120,910,263      | 30,082,955     | 64,463,463       | 14,581,927     | 4,344,912      | 7,437,006             |                          |                              |                       |
| Institutional Support                    | 215,998,942      | 15,684,463     | 73,741,315       | 9,050,010      | 2,345,136      | 42,212,294            | 2,854,133                | 786,859                      | \$ 69,324,732         |
| Op/Maint Physical Plant                  | 174,205,479      | 20,523,417     | 98,723,016       | 11,284,844     | 1,716,772      | 37,468,010            | 3,889,420                |                              | 600,000               |
| Scholarships & Fellowships               | 150,953,067      | 20,236,586     | 106,418,037      | 14,429,610     | 2,528,003      | 7,160,831             | 180,000                  |                              |                       |
| Subtotal Expenditures                    | \$ 1,816,681,144 | \$ 209,124,919 | \$ 894,262,112   | \$ 106,140,589 | \$ 17,547,956  | \$ 316,409,864        | \$ 175,958,828           | \$ 27,312,144                | \$ 69,924,732         |
| Mandatory Transfers                      | 17,488,890       | 4,663,880      | 5,910,624        | 547,909        |                | 6,249,876             |                          |                              | 116,601               |
| Non Mandatory Transfers                  | (11,592,513)     | (6,407,082)    | 20,402,605       | 765,212        | (2,903,100)    | 5,045,489             | 2,020,600                | 1,982,825                    | (32,499,062)          |
| Total Expenditures & Transfers           | \$ 1,822,577,521 | \$ 207,381,717 | \$ 920,575,341   | \$ 107,453,710 | \$ 14,644,856  | \$ 327,705,229        | \$ 177,979,428           | \$ 29,294,969                | \$ 37,542,271         |
| <b>Fund Balance Addition/(Reduction)</b> | \$ (1,296,672)   |                |                  |                | \$ 20,640      |                       | \$ 513,540               | \$ (65,498)                  | \$ (1,765,354)        |
| <b>AUXILIARIES</b>                       |                  |                |                  |                |                |                       |                          |                              |                       |
| <b>Revenues</b>                          | \$ 314,940,749   | \$ 23,152,232  | \$ 274,171,267   | \$ 10,567,896  | \$ 3,046,071   | \$ 4,003,283          |                          |                              |                       |
| <b>Expenditures and Transfers</b>        |                  |                |                  |                |                |                       |                          |                              |                       |
| Expenditures                             | \$ 248,260,507   | \$ 15,481,247  | \$ 220,329,466   | \$ 7,203,883   | \$ 1,413,060   | \$ 3,832,851          |                          |                              |                       |
| Mandatory Transfers                      | 50,328,672       | 5,753,253      | 41,690,943       | 2,363,644      | 350,400        | 170,432               |                          |                              |                       |
| Non-Mandatory Transfers                  | 17,368,959       | 1,917,732      | 12,150,858       | 1,000,369      | 2,300,000      |                       |                          |                              |                       |
| Total Expenditures & Transfers           | \$ 315,958,138   | \$ 23,152,232  | \$ 274,171,267   | \$ 10,567,896  | \$ 4,063,460   | \$ 4,003,283          |                          |                              |                       |
| <b>Fund Balance Addition/(Reduction)</b> | \$ (1,017,389)   |                |                  |                | \$ (1,017,389) |                       |                          |                              |                       |
| <b>TOTALS</b>                            |                  |                |                  |                |                |                       |                          |                              |                       |
| <b>Revenues</b>                          | \$ 2,136,221,598 | \$ 230,533,949 | \$ 1,194,746,608 | \$ 118,021,606 | \$ 17,711,567  | \$ 331,708,512        | \$ 178,492,968           | \$ 29,229,471                | \$ 35,776,917         |
| <b>Expenditures and Transfers</b>        |                  |                |                  |                |                |                       |                          |                              |                       |
| Expenditures                             | \$ 2,064,941,651 | \$ 224,606,166 | \$ 1,114,591,578 | \$ 113,344,472 | \$ 18,961,016  | \$ 320,242,715        | \$ 175,958,828           | \$ 27,312,144                | \$ 69,924,732         |
| Mandatory Transfers                      | 67,817,562       | 10,417,133     | 47,601,567       | 2,911,553      | 350,400        | 6,420,308             |                          |                              | 116,601               |
| Non-Mandatory Transfers                  | 5,776,446        | (4,489,350)    | 32,553,463       | 1,765,581      | (603,100)      | 5,045,489             | 2,020,600                | 1,982,825                    | (32,499,062)          |
| Total Expenditures & Transfers           | \$ 2,138,535,659 | \$ 230,533,949 | \$ 1,194,746,608 | \$ 118,021,606 | \$ 18,708,316  | \$ 331,708,512        | \$ 177,979,428           | \$ 29,294,969                | \$ 37,542,271         |
| <b>Fund Balance Addition/(Reduction)</b> | \$ (2,314,061)   |                |                  |                | \$ (996,749)   |                       | \$ 513,540               | \$ (65,498)                  | \$ (1,765,354)        |

**University of Tennessee System**  
**FY 2022-23 Proposed Budget Summary by Unit**  
**Unrestricted and Restricted Current Funds, Revenues, Expenditures, and Transfers**

|                                   | Total System     | Chattanooga    | Knoxville        | Martin         | UT Southern    | Health Science Center | Institute of Agriculture | Institute for Public Service | System Administration |
|-----------------------------------|------------------|----------------|------------------|----------------|----------------|-----------------------|--------------------------|------------------------------|-----------------------|
| <b>EDUCATIONAL AND GENERAL</b>    |                  |                |                  |                |                |                       |                          |                              |                       |
| <b>Revenues</b>                   |                  |                |                  |                |                |                       |                          |                              |                       |
| Tuition & Fees                    | \$ 866,823,031   | \$ 127,968,340 | \$ 565,948,855   | \$ 60,705,977  | \$ 8,427,396   | \$ 90,879,935         | \$ 12,892,528            |                              |                       |
| State Appropriations              | 777,257,214      | 74,101,921     | 324,252,348      | 42,358,232     | 5,469,100      | 194,819,132           | 108,530,977              | \$ 15,770,087                | \$ 11,955,417         |
| Grants & Contracts                | 801,477,302      | 67,750,283     | 309,518,340      | 29,901,700     | 4,130,185      | 320,054,407           | 52,994,090               | 5,878,297                    | 11,250,000            |
| Sales & Service                   | 69,691,501       | 4,841,672      | 5,565,249        | 3,727,096      | 79,000         | 21,055,843            | 34,422,641               |                              |                       |
| Other Sources                     | 158,946,861      | 19,520,764     | 50,392,076       | 4,995,440      | 1,617,622      | 21,439,920            | 22,947,440               | 13,612,099                   | 24,421,500            |
| Total Revenues                    | \$ 2,674,195,909 | \$ 294,182,980 | \$ 1,255,676,868 | \$ 141,688,445 | \$ 19,723,303  | \$ 648,249,237        | \$ 231,787,676           | \$ 35,260,483                | \$ 47,626,917         |
| <b>Expenditures and Transfers</b> |                  |                |                  |                |                |                       |                          |                              |                       |
| Instruction                       | \$ 914,626,861   | \$ 97,552,444  | \$ 368,780,239   | \$ 47,867,156  | \$ 4,528,567   | \$ 331,097,018        | \$ 54,790,705            | \$ 2,732                     | \$ 10,008,000         |
| Research                          | 354,292,150      | 12,039,559     | 194,129,119      | 231,457        |                | 78,151,287            | 69,058,728               |                              | 682,000               |
| Public Service                    | 180,708,587      | 4,969,531      | 32,969,513       | 2,490,413      | 282,520        | 20,600,142            | 86,526,642               | 32,269,826                   | 600,000               |
| Academic Support                  | 293,811,215      | 24,211,007     | 142,467,444      | 10,619,283     | 2,588,540      | 102,815,284           | 10,841,245               | 268,412                      |                       |
| Student Services                  | 124,762,102      | 31,856,674     | 65,363,528       | 15,156,927     | 4,935,967      | 7,449,006             |                          |                              |                       |
| Institutional Support             | 225,404,564      | 19,579,310     | 73,851,315       | 12,055,246     | 2,665,136      | 43,212,294            | 3,401,183                | 795,348                      | \$ 69,844,732         |
| Op/Maint Physical Plant           | 174,569,979      | 20,523,417     | 99,083,016       | 11,287,344     | 1,716,772      | 37,468,010            | 3,891,420                |                              | 600,000               |
| Scholarships & Fellowships        | 401,420,746      | 85,194,240     | 252,719,465      | 40,667,498     | 5,888,261      | 16,160,831            | 743,613                  | 6,838                        | 40,000                |
| Subtotal Expenditures             | \$ 2,669,596,204 | \$ 295,926,182 | \$ 1,229,363,639 | \$ 140,375,324 | \$ 22,605,763  | \$ 636,953,872        | \$ 229,253,536           | \$ 33,343,156                | \$ 81,774,732         |
| Mandatory Transfers               | 17,488,890       | 4,663,880      | 5,910,624        | 547,909        |                | 6,249,876             |                          |                              | 116,601               |
| Non Mandatory Transfers           | (11,592,513)     | (6,407,082)    | 20,402,605       | 765,212        | (2,903,100)    | 5,045,489             | 2,020,600                | 1,982,825                    | (32,499,062)          |
| Total Expenditures & Transfers    | \$ 2,675,492,581 | \$ 294,182,980 | \$ 1,255,676,868 | \$ 141,688,445 | \$ 19,702,663  | \$ 648,249,237        | \$ 231,274,136           | \$ 35,325,981                | \$ 49,392,271         |
| Fund Balance Addition/(Reduction) | \$ (1,296,672)   |                |                  |                | \$ 20,640      |                       | \$ 513,540               | \$ (65,498)                  | \$ (1,765,354)        |
| <b>AUXILIARIES</b>                |                  |                |                  |                |                |                       |                          |                              |                       |
| <b>Revenues</b>                   |                  |                |                  |                |                |                       |                          |                              |                       |
|                                   | \$ 315,200,749   | \$ 23,152,232  | \$ 274,431,267   | \$ 10,567,896  | \$ 3,046,071   | \$ 4,003,283          |                          |                              |                       |
| <b>Expenditures and Transfers</b> |                  |                |                  |                |                |                       |                          |                              |                       |
| Expenditures                      | \$ 248,520,507   | \$ 15,481,247  | \$ 220,589,466   | \$ 7,203,883   | \$ 1,413,060   | \$ 3,832,851          |                          |                              |                       |
| Mandatory Transfers               | 50,328,672       | 5,753,253      | 41,690,943       | 2,363,644      | 350,400        | 170,432               |                          |                              |                       |
| Non-Mandatory Transfers           | 17,368,959       | 1,917,732      | 12,150,858       | 1,000,369      | 2,300,000      |                       |                          |                              |                       |
| Total Expenditures & Transfers    | \$ 316,218,138   | \$ 23,152,232  | \$ 274,431,267   | \$ 10,567,896  | \$ 4,063,460   | \$ 4,003,283          |                          |                              |                       |
| Fund Balance Addition/(Reduction) | \$ (1,017,389)   |                |                  |                | \$ (1,017,389) |                       |                          |                              |                       |
| <b>TOTALS</b>                     |                  |                |                  |                |                |                       |                          |                              |                       |
| <b>Revenues</b>                   |                  |                |                  |                |                |                       |                          |                              |                       |
|                                   | \$ 2,989,396,658 | \$ 317,335,212 | \$ 1,530,108,135 | \$ 152,256,341 | \$ 22,769,374  | \$ 652,252,520        | \$ 231,787,676           | \$ 35,260,483                | \$ 47,626,917         |
| <b>Expenditures and Transfers</b> |                  |                |                  |                |                |                       |                          |                              |                       |
| Expenditures                      | \$ 2,918,116,711 | \$ 311,407,429 | \$ 1,449,953,105 | \$ 147,579,207 | \$ 24,018,823  | \$ 640,786,723        | \$ 229,253,536           | \$ 33,343,156                | \$ 81,774,732         |
| Mandatory Transfers               | 67,817,562       | 10,417,133     | 47,601,567       | 2,911,553      | 350,400        | 6,420,308             | -                        | 0                            | 116,601               |
| Non-Mandatory Transfers           | 5,776,446        | (4,489,350)    | 32,553,463       | 1,765,581      | (603,100)      | 5,045,489             | 2,020,600                | 1,982,825                    | (32,499,062)          |
| Total Expenditures & Transfers    | \$ 2,991,710,719 | \$ 317,335,212 | \$ 1,530,108,135 | \$ 152,256,341 | \$ 23,766,123  | \$ 652,252,520        | \$ 231,274,136           | \$ 35,325,981                | \$ 49,392,271         |
| Fund Balance Addition/(Reduction) | \$ (2,314,061)   |                |                  |                | \$ (996,749)   |                       | \$ 513,540               | \$ (65,498)                  | \$ (1,765,354)        |

**University of Tennessee System**  
**FY2022-23 Proposed Budget**  
**Five Year History**  
**Current Funds Revenues, Expenditures and Transfers - Unrestricted**

|  | FY 2018-19       | FY 2019-20       | FY 2020-21       | FY 2021-22       | FY 2022-23       | Change<br>FY 2019 to FY 2023 |           |
|--|------------------|------------------|------------------|------------------|------------------|------------------------------|-----------|
|  | Actual           | Actual           | Actual           | Probable         | Proposed         | Amount                       | %         |
| <b>EDUCATIONAL AND GENERAL</b>           |                  |                  |                  |                  |                  |                              |           |
| <b>Revenues</b>                          |                  |                  |                  |                  |                  |                              |           |
| Tuition & Fees                           | \$ 746,610,856   | \$ 764,506,490   | \$ 796,442,074   | \$ 819,965,507   | \$ 866,823,031   | \$ 120,212,175               | 16.1 %    |
| State Appropriations                     | 592,612,952      | 639,918,152      | 637,749,852      | 692,874,552      | 760,346,852      | 167,733,900                  | 28.3 %    |
| Grants & Contracts                       | 53,857,681       | 53,256,325       | 58,474,905       | 49,337,293       | 59,131,617       | 5,273,936                    | 9.8 %     |
| Sales & Service                          | 67,576,317       | 56,898,631       | 63,844,595       | 66,722,269       | 69,691,501       | 2,115,184                    | 3.1 %     |
| Other Sources                            | 68,902,249       | 69,049,649       | 70,724,613       | 62,289,290       | 65,287,848       | (3,614,401)                  | (5.2) %   |
| Total Revenues                           | \$ 1,529,560,055 | \$ 1,583,629,248 | \$ 1,627,236,038 | \$ 1,691,188,911 | \$ 1,821,280,849 | \$ 291,720,794               | 19.1 %    |
| <b>Expenditures and Transfers</b>        |                  |                  |                  |                  |                  |                              |           |
| Instruction                              | \$ 510,622,839   | \$ 517,826,331   | \$ 515,072,267   | \$ 632,956,213   | \$ 670,756,059   | \$ 160,133,220               | 31.4 %    |
| Research                                 | 140,499,005      | 147,846,046      | 152,948,873      | 223,181,383      | 146,576,654      | 6,077,649                    | 4.3 %     |
| Public Service                           | 81,353,080       | 77,459,911       | 78,506,063       | 96,281,499       | 100,102,642      | 18,749,562                   | 23.0 %    |
| Academic Support                         | 175,049,100      | 177,371,195      | 180,342,080      | 209,891,470      | 237,178,038      | 62,128,938                   | 35.5 %    |
| Student Services                         | 98,555,131       | 99,453,375       | 99,523,809       | 120,601,092      | 120,910,263      | 22,355,132                   | 22.7 %    |
| Institutional Support                    | 168,589,108      | 175,763,031      | 175,004,979      | 193,537,792      | 215,998,942      | 47,409,834                   | 28.1 %    |
| Operation & Maintenance of Plant         | 150,151,547      | 158,633,657      | 146,589,495      | 165,932,718      | 174,205,479      | 24,053,932                   | 16.0 %    |
| Scholarships & Fellowships               | 124,958,755      | 129,968,045      | 142,839,827      | 153,351,521      | 150,953,067      | 25,994,312                   | 20.8 %    |
| Subtotal Expenditures                    | \$ 1,449,778,566 | \$ 1,484,321,590 | \$ 1,490,827,395 | \$ 1,795,733,688 | \$ 1,816,681,144 | \$ 366,902,578               | 25.3 %    |
| Mandatory Transfers                      | 15,435,736       | 13,109,489       | 13,034,781       | 10,232,428       | 17,488,890       | 2,053,154                    | 13.3 %    |
| Non-Mandatory Transfers                  | 68,521,859       | 79,126,450       | 107,678,171      | (96,214,570)     | (11,592,513)     | (80,114,372)                 | (116.9) % |
| Total Expenditures & Transfers           | \$ 1,533,736,161 | \$ 1,576,557,529 | \$ 1,611,540,347 | \$ 1,709,751,546 | \$ 1,822,577,521 | \$ 288,841,360               | 18.8 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ (4,176,105)   | \$ 7,071,719     | \$ 15,695,691    | \$ (18,562,635)  | \$ (1,296,672)   |                              |           |
| <b>AUXILIARIES</b>                       |                  |                  |                  |                  |                  |                              |           |
| <b>Revenues</b>                          | \$ 262,956,722   | \$ 253,541,204   | \$ 240,192,478   | \$ 266,505,813   | \$ 314,940,749   | \$ 51,984,027                | 19.8 %    |
| <b>Expenditures and Transfers</b>        |                  |                  |                  |                  |                  |                              |           |
| Expenditures                             | \$ 201,428,896   | \$ 200,623,961   | \$ 189,764,399   | \$ 214,751,415   | \$ 248,260,507   | \$ 46,831,611                | 23.2 %    |
| Mandatory Transfers                      | 45,401,257       | 54,855,089       | 45,342,299       | 40,098,980       | 50,328,672       | 4,927,415                    | 10.9 %    |
| Non-Mandatory Transfers                  | 20,601,174       | 3,543            | 4,006,341        | 10,524,418       | 17,368,959       | (3,232,215)                  | (15.7) %  |
| Total Expenditures & Transfers           | \$ 267,431,327   | \$ 255,482,593   | \$ 239,113,039   | \$ 265,374,813   | \$ 315,958,138   | \$ 48,526,811                | 18.1 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ (4,474,605)   | \$ (1,941,389)   | \$ 1,079,439     | \$ 1,131,000     | \$ (1,017,389)   |                              |           |
| <b>TOTALS</b>                            |                  |                  |                  |                  |                  |                              |           |
| <b>Revenues</b>                          | \$ 1,792,516,777 | \$ 1,837,170,452 | \$ 1,867,428,516 | \$ 1,957,694,724 | \$ 2,136,221,598 | \$ 343,704,821               | 19.2 %    |
| <b>Expenditures and Transfers</b>        |                  |                  |                  |                  |                  |                              |           |
| Expenditures                             | \$ 1,651,207,462 | \$ 1,684,945,551 | \$ 1,680,591,794 | \$ 2,010,485,103 | \$ 2,064,941,651 | \$ 413,734,189               | 25.1 %    |
| Mandatory Transfers                      | 60,836,993       | 67,964,578       | 58,377,080       | 50,331,408       | 67,817,562       | 6,980,569                    | 11.5 %    |
| Non-Mandatory Transfers                  | 89,123,033       | 79,129,993       | 111,684,512      | (85,690,152)     | 5,776,446        | (83,346,587)                 | (93.5) %  |
| Total Expenditures & Transfers           | \$ 1,801,167,488 | \$ 1,832,040,122 | \$ 1,850,653,386 | \$ 1,975,126,359 | \$ 2,138,535,659 | \$ 337,368,171               | 18.7 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ (8,650,711)   | \$ 5,130,330     | \$ 16,775,131    | \$ (17,431,635)  | \$ (2,314,061)   |                              |           |

# University of Tennessee System

## FY 2022-23 Proposed Budget

### Five Year History

#### Current Funds Revenues, Expenditures and Transfers - Unrestricted and Restricted

|                                   | FY 2018-19       | FY 2019-20       | FY 2020-21       | FY 2021-22       | FY 2022-23       | Change<br>FY 2019 to FY 2023 |           |
|-----------------------------------|------------------|------------------|------------------|------------------|------------------|------------------------------|-----------|
|                                   | Actual           | Actual           | Actual           | Probable         | Proposed         | Amount                       | %         |
| <b>EDUCATIONAL AND GENERAL</b>    |                  |                  |                  |                  |                  |                              |           |
| <b>Revenues</b>                   |                  |                  |                  |                  |                  |                              |           |
| Tuition & Fees                    | \$ 746,610,856   | \$ 764,506,490   | \$ 796,442,074   | \$ 819,965,507   | \$ 866,823,031   | \$ 120,212,175               | 16.1 %    |
| State Appropriations              | 610,765,836      | 656,204,483      | 654,138,435      | 709,460,914      | 777,257,214      | 166,491,378                  | 27.3 %    |
| Grants & Contracts                | 693,424,426      | 702,555,500      | 773,721,174      | 781,119,384      | 801,477,302      | 108,052,876                  | 15.6 %    |
| Sales & Service                   | 67,576,317       | 56,898,631       | 63,844,595       | 66,722,269       | 69,691,501       | 2,115,184                    | 3.1 %     |
| Other Sources                     | 151,957,239      | 150,237,117      | 154,302,041      | 154,480,371      | 158,946,861      | 6,989,622                    | 4.6 %     |
| Total Revenues                    | \$ 2,270,334,675 | \$ 2,330,402,222 | \$ 2,442,448,319 | \$ 2,531,748,445 | \$ 2,674,195,909 | \$ 403,861,234               | 17.8 %    |
| <b>Expenditures and Transfers</b> |                  |                  |                  |                  |                  |                              |           |
| Instruction                       | \$ 713,275,720   | \$ 718,398,379   | \$ 734,728,227   | \$ 864,678,630   | \$ 914,626,861   | \$ 201,351,141               | 28.2 %    |
| Research                          | 339,531,119      | 340,459,794      | 344,488,230      | 428,540,569      | 354,292,150      | 14,761,031                   | 4.3 %     |
| Public Service                    | 155,162,904      | 147,913,206      | 153,667,491      | 177,187,257      | 180,708,587      | 25,545,683                   | 16.5 %    |
| Academic Support                  | 225,257,207      | 229,901,710      | 230,667,734      | 266,179,821      | 293,811,215      | 68,554,008                   | 30.4 %    |
| Student Services                  | 101,857,372      | 102,352,867      | 102,440,509      | 124,015,720      | 124,762,102      | 22,904,730                   | 22.5 %    |
| Institutional Support             | 170,448,648      | 182,412,654      | 201,528,713      | 211,579,190      | 225,404,564      | 54,955,916                   | 32.2 %    |
| Operation & Maintenance of Plant  | 150,502,571      | 159,048,262      | 147,041,164      | 166,337,218      | 174,569,979      | 24,067,408                   | 16.0 %    |
| Scholarships & Fellowships        | 306,406,301      | 331,245,119      | 358,886,060      | 397,774,817      | 401,420,746      | 95,014,445                   | 31.0 %    |
| Subtotal Expenditures             | \$ 2,162,441,842 | \$ 2,211,731,991 | \$ 2,273,448,127 | \$ 2,636,293,222 | \$ 2,669,596,204 | \$ 507,154,362               | 23.5 %    |
| Mandatory Transfers               | 15,435,736       | 13,109,489       | 13,034,781       | 10,232,428       | 17,488,890       | 2,053,154                    | 13.3 %    |
| Non-Mandatory Transfers           | 68,521,859       | 79,126,450       | 107,678,171      | (96,214,570)     | (11,592,513)     | (80,114,372)                 | (116.9) % |
| Total Expenditures & Transfers    | \$ 2,246,399,437 | \$ 2,303,967,931 | \$ 2,394,161,079 | \$ 2,550,311,080 | \$ 2,675,492,581 | \$ 429,093,144               | 19.1 %    |
| Fund Balance Addition/(Reduction) | \$ 23,935,238    | \$ 26,434,292    | \$ 48,287,240    | \$ (18,562,635)  | \$ (1,296,672)   |                              |           |
| <b>AUXILIARIES</b>                |                  |                  |                  |                  |                  |                              |           |
| <b>Revenues</b>                   |                  |                  |                  |                  |                  |                              |           |
|                                   | \$ 263,466,564   | \$ 253,981,095   | \$ 241,926,102   | \$ 266,765,813   | \$ 315,200,749   | \$ 51,734,185                | 19.6 %    |
| <b>Expenditures and Transfers</b> |                  |                  |                  |                  |                  |                              |           |
| Expenditures                      | \$ 202,169,439   | \$ 200,818,916   | \$ 191,245,294   | \$ 215,011,415   | \$ 248,520,507   | \$ 46,351,068                | 22.9 %    |
| Mandatory Transfers               | 45,401,257       | 54,855,089       | 45,342,299       | 40,098,980       | 50,328,672       | 4,927,415                    | 10.9 %    |
| Non-Mandatory Transfers           | 20,601,174       | 3,543            | 4,006,341        | 10,524,418       | 17,368,959       | (3,232,215)                  | (15.7) %  |
| Total Expenditures & Transfers    | \$ 268,171,870   | \$ 255,677,548   | \$ 240,593,934   | \$ 265,634,813   | \$ 316,218,138   | \$ 48,046,268                | 17.9 %    |
| Fund Balance Addition/(Reduction) | \$ (4,705,306)   | \$ (1,696,453)   | \$ 1,332,168     | \$ 1,131,000     | \$ (1,017,389)   |                              |           |
| <b>TOTALS</b>                     |                  |                  |                  |                  |                  |                              |           |
| <b>Revenues</b>                   |                  |                  |                  |                  |                  |                              |           |
|                                   | \$ 2,533,801,239 | \$ 2,584,383,317 | \$ 2,684,374,421 | \$ 2,798,514,258 | \$ 2,989,396,658 | \$ 455,595,419               | 18.0 %    |
| <b>Expenditures and Transfers</b> |                  |                  |                  |                  |                  |                              |           |
| Expenditures                      | \$ 2,364,611,281 | \$ 2,412,550,907 | \$ 2,464,693,421 | \$ 2,851,304,637 | \$ 2,918,116,711 | \$ 553,505,430               | 23.4 %    |
| Mandatory Transfers               | 60,836,993       | 67,964,578       | 58,377,080       | 50,331,408       | 67,817,562       | 6,980,569                    | 11.5 %    |
| Non-Mandatory Transfers           | 89,123,033       | 79,129,993       | 111,684,512      | (85,690,152)     | 5,776,446        | (83,346,587)                 | (93.5) %  |
| Total Expenditures & Transfers    | \$ 2,514,571,307 | \$ 2,559,645,478 | \$ 2,634,755,013 | \$ 2,815,945,893 | \$ 2,991,710,719 | \$ 477,139,412               | 19.0 %    |
| Fund Balance Addition/(Reduction) | \$ 19,229,932    | \$ 24,737,839    | \$ 49,619,408    | \$ (17,431,635)  | \$ (2,314,061)   |                              |           |

# University of Tennessee System

## FY 2022-23 Proposed Budget Summary Unrestricted and Restricted Current Funds Revenues, Expenditures and Transfers

|                                     | FY 2020-21<br>Actual |                |                  | FY 2021-22<br>Probable |                |                  | FY 2022-23<br>Proposed |                |                  | Change<br>Probable to Proposed |          |
|-------------------------------------|----------------------|----------------|------------------|------------------------|----------------|------------------|------------------------|----------------|------------------|--------------------------------|----------|
|                                     | Unrestricted         | Restricted     | Total            | Unrestricted           | Restricted     | Total            | Unrestricted           | Restricted     | Total            | Amount                         | %        |
| <b>EDUCATION AND GENERAL</b>        |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
| <b>Revenues</b>                     |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
| Tuition & Fees                      | \$ 796,442,074       |                | \$ 796,442,074   | \$ 819,965,507         |                | \$ 819,965,507   | \$ 866,823,031         |                | \$ 866,823,031   | \$ 46,857,524                  | 5.7 %    |
| State Appropriations                | 637,749,852          | \$ 16,388,583  | 654,138,435      | 692,874,552            | \$ 16,586,362  | 709,460,914      | 760,346,852            | \$ 16,910,362  | 777,257,214      | 67,796,300                     | 9.6 %    |
| Grants & Contracts                  | 58,474,905           | 715,246,270    | 773,721,174      | 49,337,293             | 731,782,091    | 781,119,384      | 59,131,617             | 742,345,685    | 801,477,302      | 20,357,918                     | 2.6 %    |
| Sales & Service                     | 63,844,595           |                | 63,844,595       | 66,722,269             |                | 66,722,269       | 69,691,501             |                | 69,691,501       | 2,969,232                      | 4.5 %    |
| Other Sources                       | 70,724,613           | 83,577,429     | 154,302,041      | 62,289,290             | 92,191,081     | 154,480,371      | 65,287,848             | 93,659,013     | 158,946,861      | 4,466,490                      | 2.9 %    |
| Total Revenues                      | \$ 1,627,236,038     | \$ 815,212,281 | \$ 2,442,448,319 | \$ 1,691,188,911       | \$ 840,559,534 | \$ 2,531,748,445 | \$ 1,821,280,849       | \$ 852,915,060 | \$ 2,674,195,909 | \$ 142,447,464                 | 5.6 %    |
| <b>Expenditures and Transfers</b>   |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
| Instruction                         | \$ 515,072,267       | \$ 219,655,959 | \$ 734,728,227   | 632,956,213            | \$ 231,722,417 | \$ 864,678,630   | \$ 670,756,059         | \$ 243,870,802 | \$ 914,626,861   | \$ 49,948,231                  | 5.8 %    |
| Research                            | 152,948,873          | 191,539,357    | 344,488,230      | 223,181,383            | 205,359,186    | 428,540,569      | 146,576,654            | 207,715,496    | 354,292,150      | (74,248,419)                   | (17.3) % |
| Public Service                      | 78,506,063           | 75,161,427     | 153,667,491      | 96,281,499             | 80,905,758     | 177,187,257      | 100,102,642            | 80,605,945     | 180,708,587      | 3,521,330                      | 2.0 %    |
| Academic Support                    | 180,342,080          | 50,325,654     | 230,667,734      | 209,891,470            | 56,288,351     | 266,179,821      | 237,178,038            | 56,633,177     | 293,811,215      | 27,631,394                     | 10.4 %   |
| Student Services                    | 99,523,809           | 2,916,699      | 102,440,509      | 120,601,092            | 3,414,628      | 124,015,720      | 120,910,263            | 3,851,839      | 124,762,102      | 746,382                        | 0.6 %    |
| Institutional Support               | 175,004,979          | 26,523,734     | 201,528,713      | 193,537,792            | 18,041,398     | 211,579,190      | 215,998,942            | 9,405,622      | 225,404,564      | 13,825,374                     | 6.5 %    |
| Operations & Maintenance of Plant   | 146,589,495          | 451,669        | 147,041,164      | 165,932,718            | 404,500        | 166,337,218      | 174,205,479            | 364,500        | 174,569,979      | 8,232,761                      | 4.9 %    |
| Scholarships & Fellowships          | 142,839,827          | 216,046,232    | 358,886,060      | 153,351,521            | 244,423,296    | 397,774,817      | 150,953,067            | 250,467,679    | 401,420,746      | 3,645,929                      | 0.9 %    |
| Subtotal Expenditures               | \$ 1,490,827,395     | \$ 782,620,732 | \$ 2,273,448,127 | \$ 1,795,733,688       | \$ 840,559,534 | \$ 2,636,293,222 | \$ 1,816,681,144       | \$ 852,915,060 | \$ 2,669,596,204 | \$ 33,302,982                  | 1.3 %    |
| Mandatory Transfers                 | 13,034,781           |                | 13,034,781       | 10,232,428             |                | 10,232,428       | 17,488,890             |                | 17,488,890       | 7,256,462                      | 70.9 %   |
| Non-Mandatory Transfers             | 107,678,171          |                | 107,678,171      | (96,214,570)           |                | (96,214,570)     | (11,592,513)           |                | (11,592,513)     | 84,622,057                     | 88.0 %   |
| Total Expenditures & Transfers      | \$ 1,611,540,347     | \$ 782,620,732 | \$ 2,394,161,079 | \$ 1,709,751,546       | \$ 840,559,534 | \$ 2,550,311,080 | \$ 1,822,577,521       | \$ 852,915,060 | \$ 2,675,492,581 | \$ 125,181,501                 | 4.9 %    |
| Fund Balance Addition / (Reduction) | \$ 15,695,691        | \$ 32,591,549  | \$ 48,287,240    | \$ (18,562,635)        |                | \$ (18,562,635)  | \$ (1,296,672)         |                | \$ (1,296,672)   |                                |          |
| <b>AUXILIARIES</b>                  |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
| <b>Revenues</b>                     |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
|                                     | \$ 240,192,478       | \$ 1,733,624   | \$ 241,926,102   | \$ 266,505,813         | \$ 260,000     | \$ 266,765,813   | \$ 314,940,749         | \$ 260,000     | \$ 315,200,749   | \$ 48,434,936                  | 18.2 %   |
| <b>Expenditures and Transfers</b>   |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
| Expenditures                        | \$ 189,764,399       | \$ 1,480,895   | \$ 191,245,294   | \$ 214,751,415         | \$ 260,000     | \$ 215,011,415   | \$ 248,260,507         | \$ 260,000     | \$ 248,520,507   | \$ 33,509,092                  | 15.6 %   |
| Mandatory Transfers                 | 45,342,299           |                | 45,342,299       | 40,098,980             |                | 40,098,980       | 50,328,672             |                | 50,328,672       | 10,229,692                     | 25.5 %   |
| Non-Mandatory Transfers             | 4,006,341            |                | 4,006,341        | 10,524,418             |                | 10,524,418       | 17,368,959             |                | 17,368,959       | 6,844,541                      | 65.0 %   |
| Total Expenditures & Transfers      | \$ 239,113,039       | \$ 1,480,895   | \$ 240,593,934   | \$ 265,374,813         | \$ 260,000     | \$ 265,634,813   | \$ 315,958,138         | \$ 260,000     | \$ 316,218,138   | \$ 50,583,325                  | 19.0 %   |
| Fund Balance Addition / (Reduction) | \$ 1,079,439         | \$ 252,728     | \$ 1,332,168     | \$ 1,131,000           |                | \$ 1,131,000     | \$ (1,017,389)         |                | \$ (1,017,389)   |                                |          |
| <b>TOTALS</b>                       |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
| <b>Revenues</b>                     |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
|                                     | \$ 1,867,428,516     | \$ 816,945,905 | \$ 2,684,374,421 | \$ 1,957,694,724       | \$ 840,819,534 | \$ 2,798,514,258 | \$ 2,136,221,598       | \$ 853,175,060 | \$ 2,989,396,658 | \$ 190,882,400                 | 6.8 %    |
| <b>Expenditures and Transfers</b>   |                      |                |                  |                        |                |                  |                        |                |                  |                                |          |
| Expenditures                        | \$ 1,680,591,794     | \$ 784,101,628 | \$ 2,464,693,421 | \$ 2,010,485,103       | \$ 840,819,534 | \$ 2,851,304,637 | \$ 2,064,941,651       | \$ 853,175,060 | \$ 2,918,116,711 | \$ 66,812,074                  | 2.3 %    |
| Mandatory Transfers                 | 58,377,080           |                | 58,377,080       | 50,331,408             |                | 50,331,408       | 67,817,562             |                | 67,817,562       | 17,486,154                     | 34.7 %   |
| Non-Mandatory Transfers             | 111,684,512          |                | 111,684,512      | (85,690,152)           |                | (85,690,152)     | 5,776,446              |                | 5,776,446        | 91,466,598                     | 106.7 %  |
| Total Expenditures & Transfers      | \$ 1,850,653,386     | \$ 784,101,628 | \$ 2,634,755,013 | \$ 1,975,126,359       | \$ 840,819,534 | \$ 2,815,945,893 | \$ 2,138,535,659       | \$ 853,175,060 | \$ 2,991,710,719 | \$ 175,764,826                 | 6.2 %    |
| Fund Balance Addition / (Reduction) | \$ 16,775,131        | \$ 32,844,277  | \$ 49,619,408    | \$ (17,431,635)        |                | \$ (17,431,635)  | \$ (2,314,061)         |                | \$ (2,314,061)   |                                |          |

## University of Tennessee System

## FY 2022-23 Proposed Budget

## Natural Classifications by Unit

## Unrestricted Current Funds Expenditures

|                                | Total System     | Chattanooga    | Knoxville        | Martin         | UT Southern   | Health Science Center | Institute of Agriculture | Institute for Public Service | System Administration |
|--------------------------------|------------------|----------------|------------------|----------------|---------------|-----------------------|--------------------------|------------------------------|-----------------------|
| <b>EDUCATIONAL AND GENERAL</b> |                  |                |                  |                |               |                       |                          |                              |                       |
| <b>Salaries and Benefits</b>   |                  |                |                  |                |               |                       |                          |                              |                       |
| Salaries                       |                  |                |                  |                |               |                       |                          |                              |                       |
| Academic                       | \$ 430,500,112   | \$ 54,050,417  | \$ 218,172,776   | \$ 25,282,787  | \$ 3,279,968  | \$ 91,918,958         | \$ 37,380,231            | \$ 279,795                   | \$ 135,180            |
| Non-Academic                   | 470,312,953      | 47,974,131     | 202,483,002      | 24,721,266     | 4,203,221     | 86,803,445            | 60,418,390               | 14,419,877                   | 29,289,621            |
| Students                       | 9,511,114        | 957,064        | 6,268,669        | 1,044,293      | 94,000        | 563,954               | 415,221                  | 12,000                       | 155,913               |
| Total Salaries                 | \$ 910,324,179   | \$ 102,981,612 | \$ 426,924,447   | \$ 51,048,346  | \$ 7,577,189  | \$ 179,286,357        | \$ 98,213,842            | \$ 14,711,672                | \$ 29,580,714         |
| Staff Benefits                 | 305,427,234      | 38,888,921     | 137,706,356      | 20,757,264     | 2,434,561     | 53,655,355            | 36,486,104               | 4,937,180                    | 10,561,493            |
| Total Salaries and Benefits    | \$ 1,215,751,413 | \$ 141,870,533 | \$ 564,630,803   | \$ 71,805,610  | \$ 10,011,750 | \$ 232,941,712        | \$ 134,699,946           | \$ 19,648,852                | \$ 40,142,207         |
| Operating                      | 573,424,281      | 66,160,147     | 314,346,990      | 32,844,281     | 7,388,314     | 74,413,779            | 40,914,003               | 7,574,242                    | 29,782,525            |
| Equipment and Capital Outlay   | 27,505,450       | 1,094,239      | 15,284,319       | 1,490,698      | 147,892       | 9,054,373             | 344,879                  | 89,050                       |                       |
| Total Expenditures             | \$ 1,816,681,144 | \$ 209,124,919 | \$ 894,262,112   | \$ 106,140,589 | \$ 17,547,956 | \$ 316,409,864        | \$ 175,958,828           | \$ 27,312,144                | \$ 69,924,732         |
| <b>AUXILIARIES</b>             |                  |                |                  |                |               |                       |                          |                              |                       |
| <b>Salaries and Benefits</b>   |                  |                |                  |                |               |                       |                          |                              |                       |
| Salaries                       |                  |                |                  |                |               |                       |                          |                              |                       |
| Academic                       | \$ 964,136       | \$ 36,000      | \$ 928,136       |                |               |                       |                          |                              |                       |
| Non-Academic                   | 76,866,181       | 4,137,352      | 69,889,646       | \$ 1,569,758   | \$ 54,708     | \$ 1,214,717          |                          |                              |                       |
| Students                       | 6,130,730        | 253,085        | 5,381,342        | 496,303        | 0             | 0                     |                          |                              |                       |
| Total Salaries                 | \$ 83,961,047    | \$ 4,426,437   | \$ 76,199,124    | \$ 2,066,061   | \$ 54,708     | \$ 1,214,717          |                          |                              |                       |
| Staff Benefits                 | 21,655,495       | 1,207,933      | 19,598,842       | 677,860        | 17,132        | 153,728               |                          |                              |                       |
| Total Salaries and Benefits    | \$ 105,616,542   | \$ 5,634,370   | \$ 95,797,966    | \$ 2,743,921   | \$ 71,840     | \$ 1,368,445          |                          |                              |                       |
| Operating                      | 141,967,365      | 9,844,377      | 123,862,400      | 4,454,962      | 1,341,220     | 2,464,406             |                          |                              |                       |
| Equipment and Capital Outlay   | 676,600          | 2,500          | 669,100          | 5,000          |               |                       |                          |                              |                       |
| Total Expenditures             | \$ 248,260,507   | \$ 15,481,247  | \$ 220,329,466   | \$ 7,203,883   | \$ 1,413,060  | \$ 3,832,851          |                          |                              |                       |
| <b>TOTALS</b>                  |                  |                |                  |                |               |                       |                          |                              |                       |
| <b>Salaries and Benefits</b>   |                  |                |                  |                |               |                       |                          |                              |                       |
| Salaries                       |                  |                |                  |                |               |                       |                          |                              |                       |
| Academic                       | \$ 431,464,248   | \$ 54,086,417  | \$ 219,100,912   | \$ 25,282,787  | \$ 3,279,968  | \$ 91,918,958         | \$ 37,380,231            | \$ 279,795                   | \$ 135,180            |
| Non-Academic                   | 547,179,134      | 52,111,483     | 272,372,648      | 26,291,024     | 4,257,929     | 88,018,162            | 60,418,390               | 14,419,877                   | 29,289,621            |
| Students                       | 15,641,844       | 1,210,149      | 11,650,011       | 1,540,596      | 94,000        | 563,954               | 415,221                  | 12,000                       | 155,913               |
| Total Salaries                 | \$ 994,285,226   | \$ 107,408,049 | \$ 503,123,571   | \$ 53,114,407  | \$ 7,631,897  | \$ 180,501,074        | \$ 98,213,842            | \$ 14,711,672                | \$ 29,580,714         |
| Staff Benefits                 | 327,082,729      | 40,096,854     | 157,305,198      | 21,435,124     | 2,451,693     | 53,809,083            | 36,486,104               | 4,937,180                    | 10,561,493            |
| Total Salaries and Benefits    | \$ 1,321,367,955 | \$ 147,504,903 | \$ 660,428,769   | \$ 74,549,531  | \$ 10,083,590 | \$ 234,310,157        | \$ 134,699,946           | \$ 19,648,852                | \$ 40,142,207         |
| Operating                      | 715,391,646      | 76,004,524     | 438,209,390      | 37,299,243     | 8,729,534     | 76,878,185            | 40,914,003               | 7,574,242                    | 29,782,525            |
| Equipment and Capital Outlay   | 28,182,050       | 1,096,739      | 15,953,419       | 1,495,698      | 147,892       | 9,054,373             | 344,879                  | 89,050                       |                       |
| Total Expenditures             | \$ 2,064,941,651 | \$ 224,606,166 | \$ 1,114,591,578 | \$ 113,344,472 | \$ 18,961,016 | \$ 320,242,715        | \$ 175,958,828           | \$ 27,312,144                | \$ 69,924,732         |

Knoxville includes UTK Knoxville and UT Space Institute.



**University of Tennessee System**  
**FY 2022-23 Proposed Budget**  
**Natural Classifications**  
**Unrestricted Current Funds Expenditures**

|                              | FY 2020-21 |               | FY 2021-22 |               | FY 2022-23 |                      | Change                |  |
|------------------------------|------------|---------------|------------|---------------|------------|----------------------|-----------------------|--|
|                              | Actual     |               | Probable   |               | Proposed   | Probable to Proposed |                       |  |
|                              |            |               |            |               |            | Amount               | %                     |  |
| EDUCATIONAL AND GENERAL      |            |               |            |               |            |                      |                       |  |
| Salaries and Benefits        |            |               |            |               |            |                      |                       |  |
| Salaries                     |            |               |            |               |            |                      |                       |  |
| Academic                     | \$         | 390,275,978   | \$         | 402,508,968   | \$         | 430,500,112          | \$ 27,991,144 7.0 %   |  |
| Non-Academic                 |            | 387,427,784   |            | 428,446,730   |            | 470,312,953          | 41,866,223 9.8 %      |  |
| Students                     |            | 8,145,247     |            | 8,653,565     |            | 9,511,114            | 857,549 9.9 %         |  |
| Total Salaries               | \$         | 785,849,008   | \$         | 839,609,263   | \$         | 910,324,179          | \$ 70,714,916 8.4 %   |  |
| Staff Benefits               |            | 274,484,986   |            | 284,273,619   |            | 305,427,234          | 21,153,615 7.4 %      |  |
| Total Salaries and Benefits  | \$         | 1,060,333,994 | \$         | 1,123,882,882 | \$         | 1,215,751,413        | \$ 91,868,531 8.2 %   |  |
| Operating                    |            | 395,530,362   |            | 643,223,963   |            | 573,424,281          | (69,799,682) (10.9) % |  |
| Equipment and Capital Outlay |            | 34,963,039    |            | 28,626,843    |            | 27,505,450           | (1,121,393) (3.9) %   |  |
| Total Expenditures           | \$         | 1,490,827,395 | \$         | 1,795,733,688 | \$         | 1,816,681,144        | \$ 20,947,456 1.2 %   |  |
|                              |            |               |            |               |            |                      |                       |  |
| AUXILIARIES                  |            |               |            |               |            |                      |                       |  |
| Salaries and Benefits        |            |               |            |               |            |                      |                       |  |
| Salaries                     |            |               |            |               |            |                      |                       |  |
| Academic                     | \$         | 518,111       | \$         | 735,575       | \$         | 964,136              | \$ 228,561 31.1 %     |  |
| Non-Academic                 |            | 63,619,905    |            | 65,302,818    |            | 76,866,181           | 11,563,363 17.7 %     |  |
| Students                     |            | 4,049,859     |            | 5,175,808     |            | 6,130,730            | 954,922 18.4 %        |  |
| Total Salaries               | \$         | 68,187,875    | \$         | 71,214,201    | \$         | 83,961,047           | \$ 12,746,846 17.9 %  |  |
| Staff Benefits               |            | 16,826,830    |            | 18,009,342    |            | 21,655,495           | 3,646,153 20.2 %      |  |
| Total Salaries and Benefits  | \$         | 85,014,705    | \$         | 89,223,543    | \$         | 105,616,542          | \$ 16,392,999 18.4 %  |  |
| Operating                    |            | 104,656,802   |            | 124,918,622   |            | 141,967,365          | 17,048,743 13.6 %     |  |
| Equipment and Capital Outlay |            | 92,892        |            | 609,250       |            | 676,600              | 67,350 11.1 %         |  |
| Total Expenditures           | \$         | 189,764,399   | \$         | 214,751,415   | \$         | 248,260,507          | \$ 33,509,092 15.6 %  |  |
|                              |            |               |            |               |            |                      |                       |  |
| TOTALS                       |            |               |            |               |            |                      |                       |  |
| Salaries and Benefits        |            |               |            |               |            |                      |                       |  |
| Salaries                     |            |               |            |               |            |                      |                       |  |
| Academic                     | \$         | 390,794,089   | \$         | 403,244,543   | \$         | 431,464,248          | \$ 28,219,705 7.0 %   |  |
| Non-Academic                 |            | 451,047,689   |            | 493,749,548   |            | 547,179,134          | 53,429,586 10.8 %     |  |
| Students                     |            | 12,195,105    |            | 13,829,373    |            | 15,641,844           | 1,812,471 13.1 %      |  |
| Total Salaries               | \$         | 854,036,883   | \$         | 910,823,464   | \$         | 994,285,226          | \$ 83,461,762 9.2 %   |  |
| Staff Benefits               |            | 291,311,816   |            | 302,282,961   |            | 327,082,729          | 24,799,768 8.2 %      |  |
| Total Salaries and Benefits  | \$         | 1,145,348,699 | \$         | 1,213,106,425 | \$         | 1,321,367,955        | \$ 108,261,530 8.9 %  |  |
| Operating                    |            | 500,187,163   |            | 768,142,585   |            | 715,391,646          | (52,750,939) (6.9) %  |  |
| Equipment and Capital Outlay |            | 35,055,932    |            | 29,236,093    |            | 28,182,050           | (1,054,043) (3.6) %   |  |
| Total Expenditures           | \$         | 1,680,591,794 | \$         | 2,010,485,103 | \$         | 2,064,941,651        | \$ 54,456,548 2.7 %   |  |

**University of Tennessee System**  
**FY 2022-23 Proposed Budget (RECURRING)**  
**Natural Classifications**  
**Unrestricted Current Funds Expenditures**

|                                    | FY 2020-21              |           | FY 2021-22           |           | FY 2022-23           |           | Change               |               |
|------------------------------------|-------------------------|-----------|----------------------|-----------|----------------------|-----------|----------------------|---------------|
|                                    | Actual                  |           | Probable             |           | Proposed             |           | Probable to Proposed |               |
|                                    |                         |           |                      |           |                      |           | Amount               | %             |
| <b>EDUCATIONAL AND GENERAL</b>     |                         |           |                      |           |                      |           |                      |               |
| <b>Salaries and Benefits</b>       |                         |           |                      |           |                      |           |                      |               |
| Salaries                           |                         |           |                      |           |                      |           |                      |               |
| Academic                           | \$ 390,275,978          | \$        | 402,017,924          | \$        | 431,556,009          | \$        | 29,538,085           | 7.3 %         |
| Non-Academic                       | 387,427,784             |           | 429,695,426          |           | 471,793,895          |           | 42,098,469           | 9.8 %         |
| Students                           | 8,145,247               |           | 8,438,591            |           | 9,644,825            |           | 1,206,234            | 14.3 %        |
| Total Salaries                     | \$ 785,849,008          | \$        | 840,151,941          | \$        | 912,994,729          | \$        | 72,842,788           | 8.7 %         |
| Staff Benefits                     | 274,484,986             |           | 284,627,908          |           | 305,749,987          |           | 21,122,079           | 7.4 %         |
| <b>Total Salaries and Benefits</b> | <b>\$ 1,060,333,994</b> | <b>\$</b> | <b>1,124,779,849</b> | <b>\$</b> | <b>1,218,744,716</b> | <b>\$</b> | <b>93,964,867</b>    | <b>8.4 %</b>  |
| Operating                          | 395,530,362             |           | 509,473,569          |           | 554,404,501          |           | 44,930,932           | 8.8 %         |
| Equipment and Capital Outlay       | 34,963,039              |           | 29,260,888           |           | 30,601,476           |           | 1,340,588            | 4.6 %         |
| Total Expenditures                 | \$ 1,490,827,395        | \$        | 1,663,514,306        | \$        | 1,803,750,693        | \$        | 140,236,387          | 8.4 %         |
| <b>AUXILIARIES</b>                 |                         |           |                      |           |                      |           |                      |               |
| <b>Salaries and Benefits</b>       |                         |           |                      |           |                      |           |                      |               |
| Salaries                           |                         |           |                      |           |                      |           |                      |               |
| Academic                           | \$ 518,111              | \$        | 735,575              | \$        | 964,136              | \$        | 228,561              | 31.1 %        |
| Non-Academic                       | 63,619,905              |           | 65,338,818           |           | 76,866,181           |           | 11,527,363           | 17.6 %        |
| Students                           | 4,049,859               |           | 5,175,808            |           | 6,130,730            |           | 954,922              | 18.4 %        |
| Total Salaries                     | \$ 68,187,875           | \$        | 71,250,201           | \$        | 83,961,047           | \$        | 12,710,846           | 17.8 %        |
| Staff Benefits                     | 16,826,830              |           | 18,034,342           |           | 21,655,495           |           | 3,621,153            | 20.1 %        |
| <b>Total Salaries and Benefits</b> | <b>\$ 85,014,705</b>    | <b>\$</b> | <b>89,284,543</b>    | <b>\$</b> | <b>105,616,542</b>   | <b>\$</b> | <b>16,331,999</b>    | <b>18.3 %</b> |
| Operating                          | 104,656,802             |           | 124,716,687          |           | 141,767,297          |           | 17,050,610           | 13.7 %        |
| Equipment and Capital Outlay       | 92,892                  |           | 609,250              |           | 676,600              |           | 67,350               | 11.1 %        |
| Total Expenditures                 | \$ 189,764,399          | \$        | 214,610,480          | \$        | 248,060,439          | \$        | 33,449,959           | 15.6 %        |
| <b>TOTALS</b>                      |                         |           |                      |           |                      |           |                      |               |
| <b>Salaries and Benefits</b>       |                         |           |                      |           |                      |           |                      |               |
| Salaries                           |                         |           |                      |           |                      |           |                      |               |
| Academic                           | \$ 390,794,089          | \$        | 402,753,499          | \$        | 432,520,145          | \$        | 29,766,646           | 7.4 %         |
| Non-Academic                       | 451,047,689             |           | 495,034,244          |           | 548,660,076          |           | 53,625,832           | 10.8 %        |
| Students                           | 12,195,105              |           | 13,614,399           |           | 15,775,555           |           | 2,161,156            | 15.9 %        |
| Total Salaries                     | \$ 854,036,883          | \$        | 911,402,142          | \$        | 996,955,776          | \$        | 85,553,634           | 9.4 %         |
| Staff Benefits                     | 291,311,816             |           | 302,662,250          |           | 327,405,482          |           | 24,743,232           | 8.2 %         |
| <b>Total Salaries and Benefits</b> | <b>\$ 1,145,348,699</b> | <b>\$</b> | <b>1,214,064,392</b> | <b>\$</b> | <b>1,324,361,258</b> | <b>\$</b> | <b>110,296,866</b>   | <b>9.1 %</b>  |
| Operating                          | 500,187,163             |           | 634,190,256          |           | 696,171,798          |           | 61,981,542           | 9.8 %         |
| Equipment and Capital Outlay       | 35,055,932              |           | 29,870,138           |           | 31,278,076           |           | 1,407,938            | 4.7 %         |
| Total Expenditures                 | \$ 1,680,591,794        | \$        | 1,878,124,786        | \$        | 2,051,811,132        | \$        | 173,686,346          | 9.2 %         |

**University of Tennessee System**  
**FY 2022-23 Proposed Budget**  
**Current Unrestricted Net Assets by Unit**  
**Unrestricted Educational & General (E&G) and Auxiliary Funds**

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|   | Total System          | Chattanooga          | Knoxville            | Martin               | UT Southern         | Health Science Center | Institute of Agriculture | Institute for Public Service | System Administration |
|---|-----------------------|----------------------|----------------------|----------------------|---------------------|-----------------------|--------------------------|------------------------------|-----------------------|
| <b>FY 2020-21 Actual</b>                          |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 132,115,167        | \$ 15,520,331        | \$ 55,265,756        | \$ 9,384,116         |                     | \$ 11,797,837         | \$ 9,671,067             | \$ 1,737,236                 | \$ 28,738,825         |
| Operating Funds                                   |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| Revenue   | \$ 1,867,428,517      | \$ 212,878,556       | \$ 1,013,302,628     | \$ 117,162,056       |                     | \$ 297,967,746        | \$ 167,075,868           | \$ 26,216,483                | \$ 32,825,180         |
| Less: Expenditures and Transfers                  | (1,850,653,389)       | (212,605,899)        | (999,364,553)        | (115,655,620)        |                     | (301,189,569)         | (166,260,025)            | (26,133,824)                 | (29,443,899)          |
| Carryover Funds To/(From) Net Assets              | \$ 16,775,128         | \$ 272,657           | \$ 13,938,075        | \$ 1,506,436         |                     | \$ (3,221,823)        | \$ 815,843               | \$ 82,659                    | \$ 3,381,281          |
| <b>Net Assets Detail:</b>                         |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| <b>ALLOCATED</b>                                  |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| Working Capital                                   | \$ 41,706,975         | \$ 4,419,261         | \$ 23,289,528        | \$ 929,739           |                     | \$ 7,642,133          | \$ 2,298,248             | \$ 80,671                    | \$ 3,047,395          |
| Revolving Funds                                   | 19,808,052            |                      | 4,781,399            |                      |                     |                       |                          |                              | 15,026,653            |
| Encumbrances                                      | 5,935,718             | \$ 1,373,727         | 2,057,318            | \$ 199,317           |                     | \$ 848,381            | 1,362,479                | \$ 94,496                    |                       |
| Reserve for Reappropriations                      | 19,176,126            |                      |                      | 5,750,000            |                     |                       |                          | \$ 700,000                   | 12,726,126            |
| Total Allocated Net Assets                        | \$ 86,626,871         | \$ 5,792,988         | \$ 30,128,245        | \$ 6,879,056         |                     | \$ 8,490,514          | \$ 3,660,727             | \$ 875,167                   | \$ 30,800,174         |
| <b>UNALLOCATED</b>                                | <b>62,263,425</b>     | <b>10,000,000</b>    | <b>39,075,586</b>    | <b>4,011,496</b>     |                     | <b>85,500</b>         | <b>6,826,183</b>         | <b>944,728</b>               | <b>1,319,932</b>      |
| <b>Total Net Assets - June 30, 2021</b>           | <b>\$ 148,890,295</b> | <b>\$ 15,792,988</b> | <b>\$ 69,203,831</b> | <b>\$ 10,890,552</b> |                     | <b>\$ 8,576,014</b>   | <b>\$ 10,486,910</b>     | <b>\$ 1,819,895</b>          | <b>\$ 32,120,106</b>  |
| Percent Unallocated of Expend. & Transfers        | 3.36%                 | 4.70%                | 3.91%                | 3.47%                |                     | 0.03%                 | 4.11%                    | 3.61%                        | 2.72%                 |
| <b>FY 2021-22 Probable</b>                        |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 149,656,807        | \$ 15,792,988        | \$ 69,203,831        | \$ 10,890,552        | \$ 766,512          | \$ 8,576,014          | \$ 10,486,910            | \$ 1,819,895                 | \$ 32,120,106         |
| Operating Funds                                   |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| Revenue   | \$ 1,957,694,724      | \$ 222,013,543       | \$ 1,054,999,848     | \$ 116,762,924       | 20,821,318          | \$ 309,344,028        | \$ 169,324,311           | \$ 28,167,843                | \$ 36,260,909         |
| Less: Expenditures and Transfers                  | (1,975,126,359)       | (222,013,543)        | (1,054,999,848)      | (116,762,924)        | (19,730,955)        | (309,344,028)         | (169,989,826)            | (28,117,923)                 | (54,167,312)          |
| Carryover Funds To/(From) Net Assets              | \$ (17,431,635)       | \$ -                 | \$ -                 | \$ -                 | \$ 1,090,363        | \$ -                  | \$ (665,515)             | \$ 49,920                    | \$ (17,906,403)       |
| <b>Net Assets Detail:</b>                         |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| <b>ALLOCATED</b>                                  |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| Working Capital                                   | \$ 41,658,583         | \$ 5,792,988         | \$ 21,401,512        | \$ 929,739           | \$ 465,875          | \$ 7,642,150          | \$ 2,298,251             | \$ 80,673                    | \$ 3,047,395          |
| Revolving Funds                                   | 15,438,326            |                      | 6,669,412            |                      |                     |                       | 498,973                  |                              | 8,269,941             |
| Encumbrances                                      | 4,012,971             |                      | 2,057,321            | 199,317              |                     | \$ 798,363            | 863,474                  | 94,496                       |                       |
| Reserve for Reappropriations                      | 7,391,000             |                      | -                    | 5,750,000            | 941,000             |                       |                          | 700,000                      |                       |
| Total Allocated Net Assets                        | \$ 68,500,880         | \$ 5,792,988         | \$ 30,128,245        | \$ 6,879,056         | \$ 1,406,875        | \$ 8,440,513          | \$ 3,660,698             | \$ 875,169                   | \$ 11,317,336         |
| <b>UNALLOCATED</b>                                | <b>63,724,294</b>     | <b>10,000,000</b>    | <b>39,075,586</b>    | <b>4,011,496</b>     | <b>450,000</b>      | <b>135,501</b>        | <b>6,160,697</b>         | <b>994,647</b>               | <b>2,896,367</b>      |
| <b>Estimated Total Net Assets - June 30, 2022</b> | <b>\$ 132,225,174</b> | <b>\$ 15,792,988</b> | <b>\$ 69,203,831</b> | <b>\$ 10,890,552</b> | <b>\$ 1,856,875</b> | <b>\$ 8,576,014</b>   | <b>\$ 9,821,395</b>      | <b>\$ 1,869,816</b>          | <b>\$ 14,213,703</b>  |
| Percent Unallocated of Expend. & Transfers        | 3.23%                 | 4.50%                | 3.70%                | 3.44%                | 2.28%               | 0.04%                 | 3.62%                    | 3.54%                        | 3.89%                 |
| <b>FY 2022-23 Proposed</b>                        |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 132,225,173        | \$ 15,792,988        | \$ 69,203,831        | \$ 10,890,552        | \$ 1,856,875        | \$ 8,576,014          | \$ 9,821,395             | \$ 1,869,816                 | \$ 14,213,703         |
| Operating Funds                                   |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| Revenue   | \$ 2,136,221,598      | \$ 230,533,949       | \$ 1,194,746,608     | \$ 118,021,606       | 17,711,567          | \$ 331,708,512        | \$ 178,492,968           | \$ 29,229,471                | \$ 35,776,917         |
| Less: Expenditures and Transfers                  | (2,138,535,659)       | (230,533,949)        | (1,194,746,608)      | (118,021,606)        | (18,708,316)        | (331,708,512)         | (177,979,428)            | (29,294,969)                 | (37,542,271)          |
| Carryover Funds To/(From) Net Assets              | \$ (2,314,061)        | \$ -                 | \$ -                 | \$ -                 | \$ (996,749)        | \$ -                  | \$ 513,540               | \$ (65,498)                  | \$ (1,765,354)        |
| <b>Net Assets Detail:</b>                         |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| <b>ALLOCATED</b>                                  |                       |                      |                      |                      |                     |                       |                          |                              |                       |
| Working Capital                                   | \$ 35,763,086         | \$ 5,792,988         | \$ 21,401,513        | \$ 929,739           | \$ 315,126          | \$ 1,944,793          | \$ 2,298,251             | \$ 80,676                    | \$ 3,000,000          |
| Revolving Funds                                   | 15,188,226            |                      | 6,669,412            |                      |                     |                       | 498,973                  |                              | 8,019,841             |
| Encumbrances                                      | 3,710,329             |                      | 2,057,320            | 199,317              |                     | 495,721               | 863,474                  | \$ 94,497                    |                       |
| Reserve for Reappropriations                      | 6,450,000             |                      | -                    | 5,750,000            |                     |                       |                          | \$ 700,000                   |                       |
| Total Allocated Net Assets                        | \$ 61,111,641         | \$ 5,792,988         | \$ 30,128,245        | \$ 6,879,056         | \$ 315,126          | \$ 2,440,514          | \$ 3,660,698             | \$ 875,173                   | \$ 11,019,841         |
| <b>UNALLOCATED</b>                                | <b>68,799,473</b>     | <b>10,000,000</b>    | <b>39,075,586</b>    | <b>4,011,496</b>     | <b>545,000</b>      | <b>6,135,501</b>      | <b>6,674,237</b>         | <b>929,145</b>               | <b>1,428,508</b>      |
| <b>Estimated Total Net Assets - June 30, 2023</b> | <b>\$ 129,911,114</b> | <b>\$ 15,792,988</b> | <b>\$ 69,203,831</b> | <b>\$ 10,890,552</b> | <b>\$ 860,126</b>   | <b>\$ 8,576,014</b>   | <b>\$ 10,334,935</b>     | <b>\$ 1,804,318</b>          | <b>\$ 12,448,349</b>  |
| Percent Unallocated of Expend. & Transfers        | 3.22%                 | 4.34%                | 3.27%                | 3.40%                | 2.91%               | 1.85%                 | 3.75%                    | 3.17%                        | 2.42%                 |

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**University of Tennessee System**  
**FY 2022-23 Proposed Budget**  
**Current Unrestricted Net Assets by Unit**  
**Educational & General (E&G) Funds**

|   | Total System          | Chattanooga          | Knoxville            | Martin               | UT Southern       | Health Science Center | Institute of Agriculture | Institute for Public Service | System Administration |
|---|-----------------------|----------------------|----------------------|----------------------|-------------------|-----------------------|--------------------------|------------------------------|-----------------------|
| <b>FY 2020-21 Actual</b>                          |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 113,201,015        | \$ 12,003,043        | \$ 40,643,463        | \$ 8,681,171         |                   | \$ 11,726,210         | \$ 9,671,067             | \$ 1,737,236                 | \$ 28,738,825         |
| Operating Funds                                   |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| Revenue   | \$ 1,627,236,038      | \$ 192,314,862       | \$ 807,012,395       | \$ 106,292,714       |                   | \$ 295,498,536        | \$ 167,075,868           | \$ 26,216,483                | \$ 32,825,180         |
| Less: Expenditures and Transfers                  | (1,611,540,346)       | (190,231,213)        | (795,666,587)        | (104,858,659)        |                   | (298,946,140)         | (166,260,025)            | (26,133,823)                 | (29,443,899)          |
| Carryover Funds To/(From) Net Assets              | \$ 15,695,692         | \$ 2,083,649         | \$ 11,345,808        | \$ 1,434,055         |                   | \$ (3,447,604)        | \$ 815,843               | \$ 82,660                    | \$ 3,381,281          |
| <b>Net Assets Detail:</b>                         |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| <b>ALLOCATED</b>                                  |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| Working Capital                                   | \$ 32,079,593         | \$ 3,712,965         | \$ 14,849,438        | \$ 660,630           |                   | \$ 7,430,243          | \$ 2,298,250             | \$ 80,672                    | \$ 3,047,395          |
| Revolving Funds                                   | 18,093,307            |                      | 3,066,673            |                      |                   | (18)                  |                          |                              | 15,026,652            |
| Encumbrances                                      | 5,935,690             | 1,373,727            | 2,057,322            | 199,317              |                   | 848,381               | 1,362,447                | 94,496                       |                       |
| Reserve for Reappropriations                      | 19,176,127            |                      |                      | 5,750,000            |                   |                       |                          | 700,000                      | 12,726,127            |
| Total Allocated Net Assets                        | \$ 75,284,718         | \$ 5,086,692         | \$ 19,973,433        | \$ 6,609,947         |                   | \$ 8,278,606          | \$ 3,660,697             | \$ 875,168                   | \$ 30,800,174         |
| <b>UNALLOCATED</b>                                | <b>53,611,990</b>     | <b>9,000,000</b>     | <b>32,015,838</b>    | <b>3,505,279</b>     |                   | <b>-</b>              | <b>6,826,213</b>         | <b>944,728</b>               | <b>1,319,932</b>      |
| <b>Total Net Assets - June 30, 2021</b>           | <b>\$ 128,896,707</b> | <b>\$ 14,086,692</b> | <b>\$ 51,989,271</b> | <b>\$ 10,115,226</b> |                   | <b>\$ 8,278,606</b>   | <b>\$ 10,486,910</b>     | <b>\$ 1,819,896</b>          | <b>\$ 32,120,106</b>  |
| Percent Unallocated of Expend. & Transfers        | 3.33%                 | 4.73%                | 4.02%                | 3.34%                |                   | 0.00%                 | 4.11%                    | 3.61%                        | 2.72%                 |
| <b>FY 2021-22 Probable Budget</b>                 |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 129,363,219        | \$ 14,086,692        | \$ 51,989,271        | \$ 10,115,226        | \$ 466,512        | \$ 8,278,606          | \$ 10,486,910            | \$ 1,819,896                 | \$ 32,120,106         |
| Operating Funds                                   |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| Revenue   | \$ 1,691,188,911      | \$ 200,052,195       | \$ 827,653,662       | \$ 106,486,728       | 17,902,518        | \$ 305,340,745        | \$ 169,324,311           | \$ 28,167,843                | \$ 36,260,909         |
| Less: Expenditures and Transfers                  | \$ (1,709,751,546)    | (200,052,195)        | (827,653,662)        | (106,486,728)        | (17,893,155)      | (305,390,745)         | (169,989,826)            | (28,117,923)                 | (54,167,312)          |
| Carryover Funds To/(From) Net Assets              | \$ (18,562,635)       | \$ -                 | \$ -                 | \$ -                 | \$ 9,363          | \$ (50,000)           | \$ (665,515)             | \$ 49,920                    | \$ (17,906,403)       |
| <b>Net Assets Detail:</b>                         |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| <b>ALLOCATED</b>                                  |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| Working Capital                                   | \$ 33,569,197         | \$ 5,086,692         | \$ 14,849,438        | \$ 660,630           | \$ 115,875        | \$ 7,430,242          | \$ 2,298,251             | \$ 80,673                    | \$ 3,047,395          |
| Revolving Funds                                   | 11,835,588            |                      | 3,066,674            |                      |                   |                       | 498,973                  |                              | 8,269,941             |
| Encumbrances                                      | 4,012,972             |                      | 2,057,321            | 199,317              |                   | 798,364               | 863,474                  | 94,496                       |                       |
| Reserve for Reappropriations                      | 6,450,000             |                      |                      | 5,750,000            |                   |                       |                          | 700,000                      |                       |
| Total Allocated Net Assets                        | \$ 55,867,756         | \$ 5,086,692         | \$ 19,973,433        | \$ 6,609,947         | \$ 115,875        | \$ 8,228,606          | \$ 3,660,698             | \$ 875,169                   | \$ 11,317,336         |
| <b>UNALLOCATED</b>                                | <b>54,932,828</b>     | <b>9,000,000</b>     | <b>32,015,838</b>    | <b>3,505,279</b>     | <b>360,000</b>    | <b>-</b>              | <b>6,160,697</b>         | <b>994,647</b>               | <b>2,896,367</b>      |
| <b>Estimated Total Net Assets - June 30, 2022</b> | <b>\$ 110,800,584</b> | <b>\$ 14,086,692</b> | <b>\$ 51,989,271</b> | <b>\$ 10,115,226</b> | <b>\$ 475,875</b> | <b>\$ 8,228,606</b>   | <b>\$ 9,821,395</b>      | <b>\$ 1,869,816</b>          | <b>\$ 14,213,703</b>  |
| Percent Unallocated of Expend. & Transfers        | 3.21%                 | 4.50%                | 3.87%                | 3.29%                | 2.01%             | 0.00%                 | 3.62%                    | 3.54%                        | 3.89%                 |
| <b>FY 2022-23 Proposed</b>                        |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 110,800,584        | \$ 14,086,692        | \$ 51,989,271        | \$ 10,115,226        | \$ 475,875        | \$ 8,228,606          | \$ 9,821,395             | \$ 1,869,816                 | \$ 14,213,703         |
| Operating Funds                                   |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| Revenue   | \$ 1,821,280,849      | \$ 207,381,717       | \$ 920,575,341       | \$ 107,453,710       | 14,665,496        | \$ 327,705,229        | \$ 178,492,968           | \$ 29,229,471                | \$ 35,776,917         |
| Less: Expenditures and Transfers                  | \$ (1,822,577,521)    | (207,381,717)        | (920,575,341)        | (107,453,710)        | (14,644,856)      | (327,705,229)         | (177,979,428)            | (29,294,969)                 | (37,542,271)          |
| Carryover Funds To/(From) Net Assets              | \$ (1,296,672)        | \$ -                 | \$ -                 | \$ -                 | \$ 20,640         | \$ -                  | \$ 513,540               | \$ (65,498)                  | \$ (1,765,354)        |
| <b>Net Assets Detail:</b>                         |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| <b>ALLOCATED</b>                                  |                       |                      |                      |                      |                   |                       |                          |                              |                       |
| Working Capital                                   | \$ 27,855,089         | \$ 5,086,692         | \$ 14,849,439        | \$ 660,630           | \$ 146,515        | \$ 1,732,886          | \$ 2,298,251             | \$ 80,676                    | \$ 3,000,000          |
| Revolving Funds                                   | 11,585,488            |                      | 3,066,674            |                      |                   |                       | 498,973                  |                              | 8,019,841             |
| Encumbrances                                      | 3,710,329             |                      | 2,057,321            | 199,317              |                   | 495,721               | 863,474                  | 94,497                       |                       |
| Reserve for Reappropriations                      | 6,450,000             |                      |                      | 5,750,000            |                   |                       |                          | 700,000                      |                       |
| Total Allocated Net Assets                        | \$ 49,600,907         | \$ 5,086,692         | \$ 19,973,434        | \$ 6,609,947         | \$ 146,515        | \$ 2,228,607          | \$ 3,660,698             | \$ 875,173                   | \$ 11,019,841         |
| <b>UNALLOCATED</b>                                | <b>59,903,006</b>     | <b>9,000,000</b>     | <b>32,015,837</b>    | <b>3,505,279</b>     | <b>350,000</b>    | <b>6,000,000</b>      | <b>6,674,237</b>         | <b>929,145</b>               | <b>1,428,508</b>      |
| <b>Estimated Total Net Assets - June 30, 2023</b> | <b>\$ 109,503,912</b> | <b>\$ 14,086,692</b> | <b>\$ 51,989,271</b> | <b>\$ 10,115,226</b> | <b>\$ 496,515</b> | <b>\$ 8,228,606</b>   | <b>\$ 10,334,935</b>     | <b>\$ 1,804,318</b>          | <b>\$ 12,448,349</b>  |
| Percent Unallocated of Expend. & Transfers        | 3.29%                 | 4.34%                | 3.48%                | 3.26%                | 2.39%             | 1.83%                 | 3.75%                    | 3.17%                        | 2.42%                 |

Recommended percent unallocated of expenditures and transfers is 2% to 5%. For System Administration, transfers-in for system charge is excluded from the calculation.  
Knoxville includes UT Knoxville and UT Space Institute.

**University of Tennessee System**  
**FY 2022-23 Proposed Budget**  
**Current Unrestricted Net Assets by Unit**  
**Auxiliary Funds**

|   | Total System         | Chattanooga         | Knoxville            | UT Southern         | Martin            | Health Science Center |
|---|----------------------|---------------------|----------------------|---------------------|-------------------|-----------------------|
| <b>FY 2020-21 Actual</b>                          |                      |                     |                      |                     |                   |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 18,914,154        | \$ 3,517,287        | \$ 14,622,295        |                     | \$ 702,945        | \$ 71,627             |
| Operating Funds                                   |                      |                     |                      |                     |                   |                       |
| Revenue   | \$ 240,192,479       | \$ 20,563,694       | \$ 206,290,233       |                     | \$ 10,869,342     | \$ 2,469,210          |
| Less: Expenditures and Transfers                  | (239,113,041)        | (22,374,686)        | (203,697,965)        |                     | (10,796,961)      | (2,243,429)           |
| Carryover Funds To/(From) Net Assets              | \$ 1,079,438         | \$ (1,810,992)      | \$ 2,592,268         |                     | \$ 72,381         | \$ 225,781            |
| <b>Net Assets at End of Year</b>                  | <b>\$ 19,993,592</b> | <b>\$ 1,706,295</b> | <b>\$ 17,214,563</b> |                     | <b>\$ 775,326</b> | <b>\$ 297,408</b>     |
| <b>Net Assets Detail:</b>                         |                      |                     |                      |                     |                   |                       |
| <b>ALLOCATED</b>                                  |                      |                     |                      |                     |                   |                       |
| Working Capital                                   | \$ 7,739,387         | \$ 706,295          | \$ 6,552,075         |                     | \$ 269,109        | \$ 211,908            |
| Revolving Funds                                   | 3,602,739            |                     | 3,602,739            |                     |                   |                       |
| Encumbrances                                      |                      |                     |                      |                     |                   |                       |
| Total Allocated Net Assets                        | \$ 11,342,126        | \$ 706,295          | \$ 10,154,814        |                     | \$ 269,109        | \$ 211,908            |
| <b>UNALLOCATED</b>                                | <b>8,651,466</b>     | <b>1,000,000</b>    | <b>7,059,749</b>     |                     | <b>506,217</b>    | <b>85,500</b>         |
| <b>Total Net Assets - June 30, 2021</b>           | <b>\$ 19,993,592</b> | <b>\$ 1,706,295</b> | <b>\$ 17,214,563</b> |                     | <b>\$ 775,326</b> | <b>\$ 297,408</b>     |
| Percent Unallocated of Expend. & Transfers        | 3.62%                | 4.47%               | 3.47%                |                     | 4.69%             | 3.81%                 |
| <b>FY 2021-22 Probable Budget</b>                 |                      |                     |                      |                     |                   |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 20,293,592        | \$ 1,706,295        | \$ 17,214,563        | \$ 300,000          | \$ 775,326        | \$ 297,408            |
| Operating Funds                                   |                      |                     |                      |                     |                   |                       |
| Revenue   | \$ 266,505,813       | \$ 21,961,348       | \$ 227,346,186       | \$ 2,918,800        | \$ 10,276,196     | \$ 4,003,283          |
| Less: Expenditures and Transfers                  | (265,374,812)        | (21,961,348)        | (227,346,186)        | (1,837,800)         | (10,276,195)      | (3,953,283)           |
| Carryover Funds To/(From) Net Assets              | \$ 1,131,001         | \$ -                | \$ -                 | \$ 1,081,000        | \$ -              | \$ 50,000             |
| <b>Net Assets at End of Year</b>                  | <b>\$ 21,424,593</b> | <b>\$ 1,706,295</b> | <b>\$ 17,214,563</b> | <b>\$ 1,381,000</b> | <b>\$ 775,326</b> | <b>\$ 347,408</b>     |
| <b>Net Assets Detail:</b>                         |                      |                     |                      |                     |                   |                       |
| <b>ALLOCATED</b>                                  |                      |                     |                      |                     |                   |                       |
| Working Capital                                   | \$ 8,089,385         | \$ 706,295          | \$ 6,552,075         | \$ 350,000          | \$ 269,108        | \$ 211,907            |
| Revolving Funds                                   | 3,602,739            |                     | 3,602,739            |                     |                   |                       |
| Encumbrances                                      |                      |                     |                      |                     |                   |                       |
| Reappropriations                                  | 941,000              |                     |                      | 941,000             |                   |                       |
| Total Allocated Net Assets                        | \$ 12,633,124        | \$ 706,295          | \$ 10,154,814        | \$ 1,291,000        | \$ 269,108        | \$ 211,907            |
| <b>UNALLOCATED</b>                                | <b>8,791,468</b>     | <b>1,000,000</b>    | <b>7,059,749</b>     | <b>90,000</b>       | <b>506,218</b>    | <b>135,501</b>        |
| <b>Estimated Total Net Assets - June 30, 2022</b> | <b>\$ 21,424,593</b> | <b>\$ 1,706,295</b> | <b>\$ 17,214,563</b> | <b>\$ 1,381,000</b> | <b>\$ 775,326</b> | <b>\$ 347,408</b>     |
| Percent Unallocated of Expend. & Transfers        | 3.31%                | 4.55%               | 3.11%                | 4.90%               | 4.93%             | 3.43%                 |
| <b>FY 2022-23 Proposed Budget</b>                 |                      |                     |                      |                     |                   |                       |
| <b>Net Assets at Beginning of Year</b>            | \$ 21,424,592        | \$ 1,706,295        | \$ 17,214,563        | \$ 1,381,000        | \$ 775,326        | \$ 347,408            |
| Operating Funds                                   |                      |                     |                      |                     |                   |                       |
| Revenue   | \$ 314,940,749       | \$ 23,152,232       | \$ 274,171,267       | \$ 3,046,071        | \$ 10,567,896     | \$ 4,003,283          |
| Less: Expenditures and Transfers                  | (315,958,138)        | (23,152,232)        | (274,171,267)        | (4,063,460)         | (10,567,896)      | (4,003,283)           |
| Carryover Funds To/(From) Net Assets              | \$ (1,017,389)       | \$ -                | \$ -                 | \$ (1,017,389)      | \$ -              | \$ -                  |
| <b>Net Assets at End of Year</b>                  | <b>\$ 20,407,203</b> | <b>\$ 1,706,295</b> | <b>\$ 17,214,563</b> | <b>\$ 363,611</b>   | <b>\$ 775,326</b> | <b>\$ 347,408</b>     |
| <b>Net Assets Detail:</b>                         |                      |                     |                      |                     |                   |                       |
| <b>ALLOCATED</b>                                  |                      |                     |                      |                     |                   |                       |
| Working Capital                                   | \$ 7,907,996         | \$ 706,295          | \$ 6,552,075         | \$ 168,611          | \$ 269,108        | \$ 211,907            |
| Revolving Funds                                   | 3,602,739            |                     | 3,602,739            |                     |                   |                       |
| Encumbrances                                      |                      |                     |                      |                     |                   |                       |
| Reappropriations                                  |                      |                     |                      |                     |                   |                       |
| Total Allocated Net Assets                        | \$ 11,510,735        | \$ 706,295          | \$ 10,154,814        | \$ 168,611          | \$ 269,108        | \$ 211,907            |
| <b>UNALLOCATED</b>                                | <b>8,896,468</b>     | <b>1,000,000</b>    | <b>7,059,749</b>     | <b>195,000</b>      | <b>506,218</b>    | <b>135,501</b>        |
| <b>Estimated Total Net Assets - June 30, 2023</b> | <b>\$ 20,407,203</b> | <b>\$ 1,706,295</b> | <b>\$ 17,214,563</b> | <b>\$ 363,611</b>   | <b>\$ 775,326</b> | <b>\$ 347,408</b>     |
| Percent Unallocated of Expend. & Transfers        | 2.82%                | 4.32%               | 2.57%                | 4.80%               | 4.79%             | 3.38%                 |

Recommended percent unallocated of expenditures and transfers is 3% to 5%.

# University of Tennessee System

## FY 2022-23 Proposed Budget

### State Appropriations Summary

#### Unrestricted Current Educational and General Funds

|   | FY 2020-21<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed<br>Amount | %        |
|---|----------------------|------------------------|------------------------|--|----------|
| <b>STATE APPROPRIATIONS</b>                 |                      |                        |                        |  |          |
| Chattanooga                                 | \$ 60,975,006        | \$ 64,729,305          | \$ 73,252,805          | \$ 8,523,500                             | 13.2 %   |
| Knoxville                                   |                      |                        |                        |  |          |
| <i>Knoxville</i>                            | \$ 252,727,556       | \$ 268,430,555         | \$ 302,120,055         | \$ 33,689,500                            | 12.6 %   |
| <i>Space Institute</i>                      | 9,471,203            | 9,758,403              | 10,152,503             | 394,100                                  | 4.0 %    |
| Subtotal Knoxville                          | \$ 262,198,759       | \$ 278,188,958         | \$ 312,272,558         | \$ 34,083,600                            | 16.6 %   |
| <br>Martin                                  | 35,718,897           | 37,372,897             | 42,031,797             | 4,658,900                                | 12.5 %   |
| UT Southern                                 | -                    | 6,230,000              | 5,469,100              | (760,900)                                | (12.2) % |
| Health Science Center                       | 165,262,724          | 177,543,924            | 191,625,124            | 14,081,200                               | 7.9 %    |
| Institute of Agriculture                    |                      |                        |                        |  |          |
| <i>AgResearch</i>                           | \$ 31,563,388        | \$ 32,602,388          | \$ 34,027,788          | \$ 1,425,400                             | 4.4 %    |
| <i>Extension</i>                            | 38,919,517           | 42,391,517             | 44,529,417             | 2,137,900                                | 5.0 %    |
| <i>College of Veterinary Medicine</i>       | 22,951,258           | 24,454,559             | 29,412,759             | 4,958,200                                | 20.3 %   |
| Subtotal Institute of Agriculture           | \$ 93,434,163        | \$ 99,448,464          | \$ 107,969,964         | \$ 8,521,500                             | 8.6 %    |
| <br>Institute for Public Service            |                      |                        |                        |  |          |
| <i>Institute for Public Service</i>         | \$ 6,178,685         | \$ 6,832,285           | \$ 7,063,585           | \$ 231,300                               | 3.4 %    |
| <i>Municipal Technical Advisory Service</i> | 3,789,751            | 3,972,451              | 4,222,251              | 249,800                                  | 6.3 %    |
| <i>County Technical Assistance Service</i>  | 3,263,250            | 3,397,851              | 3,598,751              | 200,900                                  | 5.9 %    |
| <i>Tennessee Language Center</i>            | 748,000              | 810,000                | 885,500                | 75,500                                   | 9.3 %    |
| Subtotal Institute for Public Service       | \$ 13,979,686        | \$ 15,012,587          | \$ 15,770,087          | \$ 757,500                               | 5.0 %    |
| <br>System Administration                   | 6,180,617            | 14,348,417             | 11,955,417             | (2,393,000)                              | (16.7) % |
| Total State Appropriations                  | \$ 637,749,852       | \$ 692,874,552         | \$ 760,346,852         | \$ 67,472,300                            | 9.7 %    |

**University of Tennessee System**  
**FY 2022-23 Proposed Budget**  
**State Appropriations Five Year History**  
**Unrestricted Current Educational and General Funds**

|   | FY 2018-19<br>Actual | FY 2019-20<br>Actual | FY 2020-21<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>FY 2018-19 TO FY 2022-23<br>Amount % |         |
|---|----------------------|----------------------|----------------------|------------------------|------------------------|--|---------|
| STATE APPROPRIATIONS                        |                      |                      |                      |                        |                        |  |         |
| Chattanooga                                 | \$ 55,430,905        | \$ 59,726,805        | \$ 60,975,006        | \$ 64,729,305          | \$ 73,252,805          | \$ 17,821,900                                  | 32.2 %  |
| Knoxville                                   |                      |                      |                      |                        |                        |  |         |
| <i>Knoxville</i>                            | \$ 232,311,655       | \$ 249,914,955       | \$ 252,727,556       | \$ 268,430,555         | \$ 302,120,055         | \$ 69,808,400                                  | 30.0 %  |
| <i>Space Institute</i>                      | 9,132,803            | 9,380,503            | 9,471,203            | 9,758,403              | 10,152,503             | 1,019,700                                      | 11.2 %  |
| Subtotal Knoxville                          | \$ 241,444,458       | \$ 259,295,458       | \$ 262,198,759       | \$ 278,188,958         | \$ 312,272,558         | \$ 70,828,100                                  | 29.3 %  |
| Martin                                      | \$ 34,410,197        | \$ 36,452,197        | \$ 35,718,897        | \$ 37,372,897          | \$ 42,031,797          | \$ 7,621,600                                   | 22.1 %  |
| UT Southern                                 |                      |                      |                      | 6,230,000              | 5,469,100              | 5,469,100                                      |         |
| Health Science Center                       | 154,589,424          | 162,456,024          | 165,262,724          | 177,543,924            | 191,625,124            | 37,035,700                                     | 24.0 %  |
| Institute of Agriculture                    |                      |                      |                      |                        |                        |  |         |
| <i>AgResearch</i>                           | \$ 30,008,688        | \$ 31,206,388        | \$ 31,563,388        | \$ 32,602,388          | \$ 34,027,788          | \$ 4,019,100                                   | 13.4 %  |
| <i>Extension</i>                            | 36,651,817           | 38,387,017           | 38,919,517           | 42,391,517             | 44,529,417             | 7,877,600                                      | 21.5 %  |
| <i>College of Veterinary Medicine</i>       | 21,236,259           | 22,518,259           | 22,951,258           | 24,454,559             | 29,412,759             | 8,176,500                                      | 38.5 %  |
| Subtotal Institute of Agriculture           | \$ 87,896,764        | \$ 92,111,664        | \$ 93,434,163        | \$ 99,448,464          | \$ 107,969,964         | \$ 20,073,200                                  | 22.8 %  |
| Institute for Public Service                |                      |                      |                      |                        |                        |  |         |
| <i>Institute for Public Service</i>         | \$ 5,929,385         | \$ 6,124,885         | \$ 6,178,685         | \$ 6,832,285           | \$ 7,063,585           | \$ 1,134,200                                   | 19.1 %  |
| <i>Municipal Technical Advisory Service</i> | 3,535,751            | 3,715,551            | 3,789,751            | 3,972,451              | 4,222,251              | 686,500  | 19.4 %  |
| <i>County Technical Assistance Service</i>  | 3,056,451            | 3,205,751            | 3,263,250            | 3,397,851              | 3,598,751              | 542,300  | 17.7 %  |
| <i>Tennessee Language Center</i>            | 665,600              | 719,900              | 748,000              | 810,000                | 885,500                | 219,900  | 33.0 %  |
| Subtotal Institute for Public Service       | \$ 12,521,587        | \$ 13,046,187        | \$ 13,231,686        | \$ 14,202,587          | \$ 14,884,587          | \$ 2,363,000                                   | 18.9 %  |
| System Administration                       | 5,654,017            | 16,109,917           | 6,180,617            | 14,348,417             | 11,955,417             | 6,301,400                                      | 111.4 % |
| Total State Appropriations                  | \$ 592,612,952       | \$ 639,918,152       | \$ 637,749,852       | \$ 692,874,552         | \$ 760,346,852         | \$ 167,733,900                                 | 28.3 %  |

# University of Tennessee System

## FY 2022-23 Proposed Budget

### Auxiliary Enterprises

#### Unrestricted Auxiliary Current Funds Revenues, Expenditures and Transfers

|  | FY 2020-21<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Probable to Proposed |         |
|--|----------------------|------------------------|------------------------|----------------------|---------|
|  |                      |                        |                        | Amount               | %       |
| <b>HOUSING</b>                           |                      |                        |                        |                      |         |
| <b>Revenues</b>                          | \$ 67,457,683        | \$ 83,142,376          | \$ 91,757,326          | \$ 8,614,950         | 10.4%   |
| <b>Expenditures and Transfers</b>        |                      |                        |                        |                      |         |
| Expenditures                             | \$ 40,150,414        | \$ 51,386,037          | \$ 57,849,058          | \$ 6,463,021         | 12.6%   |
| Mandatory Transfers                      | 21,600,949           | 21,934,791             | 24,185,811             | 2,251,020            | 10.3%   |
| Non-Mandatory Transfers                  | 5,677,147            | 7,594,283              | 7,403,321              | (190,962)            | -2.5%   |
| Total Expenditures and Transfers         | \$ 67,428,510        | \$ 80,915,111          | \$ 89,438,190          | \$ 8,523,079         | 10.5%   |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 29,172            | \$ 2,227,265           | \$ 2,319,136           |                      |         |
| <b>FOOD SERVICE</b>                      |                      |                        |                        |                      |         |
| <b>Revenues</b>                          | \$ 9,812,002         | \$ 12,053,189          | \$ 13,291,868          | \$ 1,238,679         | 10.3%   |
| <b>Expenditures and Transfers</b>        |                      |                        |                        |                      |         |
| Expenditures                             | \$ 3,960,220         | \$ 5,874,895           | \$ 5,911,431           | \$ 36,536            | 0.6%    |
| Mandatory Transfers                      | 5,859,489            |                        | 7,324,309              | 7,324,309            |         |
| Non-Mandatory Transfers                  | 357,546              | 7,041,759              | 1,020,813              | (6,020,946)          | -85.5%  |
| Total Expenditures and Transfers         | \$ 10,177,255        | \$ 12,916,654          | \$ 14,256,553          | \$ 1,339,899         | 10.4%   |
| <b>Fund Balance Addition/(Reduction)</b> | \$ (365,253)         | \$ (863,465)           | \$ (964,685)           |                      |         |
| <b>BOOKSTORES</b>                        |                      |                        |                        |                      |         |
| <b>Revenues</b>                          | \$ 21,224,399        | \$ 23,634,943          | \$ 25,354,943          | \$ 1,720,000         | 7.3%    |
| <b>Expenditures and Transfers</b>        |                      |                        |                        |                      |         |
| Expenditures                             | \$ 20,907,342        | \$ 22,313,911          | \$ 24,371,227          | \$ 2,057,316         | 9.2%    |
| Mandatory Transfers                      | -                    | 109,418                | 109,418                |                      |         |
| Non-Mandatory Transfers                  | 88,567               | 1,261,438              | 924,122                | (337,316)            | -26.7%  |
| Total Expenditures and Transfers         | \$ 20,995,909        | \$ 23,684,767          | \$ 25,404,767          | \$ 1,720,000         | 7.3%    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 228,490           | \$ (49,824)            | \$ (49,824)            |                      |         |
| <b>PARKING</b>                           |                      |                        |                        |                      |         |
| <b>Revenues</b>                          | \$ 11,442,664        | \$ 15,605,556          | \$ 17,353,971          | \$ 1,748,415         | 11.2%   |
| <b>Expenditures and Transfers</b>        |                      |                        |                        |                      |         |
| Expenditures                             | \$ 6,669,265         | \$ 8,655,364           | \$ 10,368,910          | \$ 1,713,546         | 19.8%   |
| Mandatory Transfers                      | 5,686,384            | 6,312,992              | 6,168,460              | (144,532)            | -2.3%   |
| Non-Mandatory Transfers                  | (1,133,770)          | 637,200                | 816,601                | 179,401              | 28.2%   |
| Total Expenditures and Transfers         | \$ 11,221,879        | \$ 15,605,556          | \$ 17,353,971          | \$ 1,748,415         | 11.2%   |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 220,785           |                        |                        |                      |         |
| <b>ATHLETICS</b>                         |                      |                        |                        |                      |         |
| <b>Revenues</b>                          | \$ 128,118,736       | \$ 128,779,500         | \$ 164,032,392         | \$ 35,252,892        | 27.4%   |
| <b>Expenditures and Transfers</b>        |                      |                        |                        |                      |         |
| Expenditures                             | \$ 114,609,726       | \$ 122,481,909         | \$ 146,201,274         | \$ 23,719,365        | 19.4%   |
| Mandatory Transfers                      | 11,627,455           | 11,103,513             | 11,972,652             | 869,139              | 7.8%    |
| Non-Mandatory Transfers                  | 1,201,959            | (4,805,922)            | 5,858,466              | 10,664,388           | 221.9%  |
| Total Expenditures and Transfers         | \$ 127,439,140       | \$ 128,779,500         | \$ 164,032,392         | \$ 35,252,892        | 27.4%   |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 679,597           |                        |                        |                      |         |
| <b>OTHER</b>                             |                      |                        |                        |                      |         |
| <b>Revenues</b>                          | \$ 2,136,995         | \$ 3,290,249           | \$ 3,150,249           | \$ (140,000)         | -4.3%   |
| <b>Expenditures and Transfers</b>        |                      |                        |                        |                      |         |
| Expenditures                             | \$ 3,467,432         | \$ 4,039,299           | \$ 3,558,607           | \$ (480,692)         | -11.9%  |
| Mandatory Transfers                      | 568,022              | 638,266                | 568,022                | (70,244)             | -11.0%  |
| Non-Mandatory Transfers                  | (2,185,108)          | (1,204,340)            | 1,345,636              | 2,549,976            | -211.7% |
| Total Expenditures and Transfers         | \$ 1,850,346         | \$ 3,473,225           | \$ 5,472,265           | \$ 1,999,040         | 57.6%   |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 286,649           | \$ (182,976)           | \$ (2,322,016)         |                      |         |
| <b>TOTAL</b>                             |                      |                        |                        |                      |         |
| <b>Revenues</b>                          | \$ 240,192,478       | \$ 266,505,813         | \$ 314,940,749         | \$ 48,434,936        | 18.2%   |
| <b>Expenditures and Transfers</b>        |                      |                        |                        |                      |         |
| Expenditures                             | \$ 189,764,399       | \$ 214,751,415         | \$ 248,260,507         | \$ 33,509,092        | 15.6%   |
| Mandatory Transfers                      | 45,342,299           | 40,098,980             | 50,328,672             | 10,229,692           | 25.5%   |
| Non-Mandatory Transfers                  | 4,006,341            | 10,524,418             | 17,368,959             | 6,844,541            | 65.0%   |
| Total Expenditures and Transfers         | \$ 239,113,039       | \$ 265,374,813         | \$ 315,958,138         | \$ 50,583,325        | 19.1%   |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 1,079,439         | \$ 1,131,000           | \$ (1,017,389)         |                      |         |



# University of Tennessee System

## FY 2022-23 Proposed Budget Summary

Athletics (Page 1 of 2)

### Unrestricted and Restricted Current Funds for Men's and Women's Athletics

|  | FY 2020-21            | FY 2022-23            | FY 2022-23            | Change               |              |
|--|-----------------------|-----------------------|-----------------------|----------------------|--------------|
|  | Actual                | Probable              | Proposed              | Probable to Proposed |              |
|  |                       |                       |                       | Amount               | %            |
| <b>TOTAL ATHLETICS</b>                     |                       |                       |                       |                      |              |
| <b>Revenues</b>                            |                       |                       |                       |                      |              |
| General Funds                              | \$ 12,863,766         | \$ 19,548,779         | \$ 20,397,357         | \$ 848,578           | 4.3%         |
| Student Fees for Athletics                 | 8,520,932             | 8,132,905             | 8,194,663             | 61,758               | 0.8%         |
| Ticket Sales                               | 9,847,299             | 31,485,621            | 38,278,383            | 6,792,762            | 21.6%        |
| Gifts                                      | 25,043,813            | 31,216,030            | 51,508,656            | 20,292,626           | 65.0%        |
| Other                                      | 100,590,253           | 73,772,827            | 82,130,762            | 8,357,935            | 11.3%        |
| Total Revenues                             | <u>\$ 156,866,063</u> | <u>\$ 164,156,162</u> | <u>\$ 200,509,821</u> | <u>\$ 36,353,659</u> | <u>22.1%</u> |
| <b>Expenditures and Transfers</b>          |                       |                       |                       |                      |              |
| Salaries and Benefits                      | \$ 66,007,065         | \$ 67,862,747         | \$ 77,216,691         | \$ 9,353,944         | 13.8%        |
| Travel                                     | 6,849,108             | 11,795,898            | 15,699,314            | 3,903,416            | 33.1%        |
| Student Aid                                | 24,830,197            | 29,469,174            | 33,846,380            | 4,377,206            | 14.9%        |
| Other Operating                            | 44,144,046            | 46,545,765            | 53,962,413            | 7,416,648            | 15.9%        |
| Subtotal Expenditures                      | <u>\$ 141,830,416</u> | <u>\$ 155,673,584</u> | <u>\$ 180,724,798</u> | <u>\$ 25,051,214</u> | <u>16.1%</u> |
| Debt Service Transfers                     | 11,898,336            | 11,379,243            | 12,244,043            | 864,800              | 7.6%         |
| Other Transfers                            | 2,201,959             | (3,805,922)           | 6,858,466             | 10,664,388           | -280.2%      |
| Total Expenditures and Transfers           | <u>\$ 155,930,711</u> | <u>\$ 163,246,905</u> | <u>\$ 199,827,307</u> | <u>\$ 36,580,402</u> | <u>22.4%</u> |
| <b>Fund Balance Addition / (Reduction)</b> | <u>\$ 935,352</u>     | <u>\$ 909,257</u>     | <u>\$ 682,514</u>     |                      |              |
| <b>KNOXVILLE</b>                           |                       |                       |                       |                      |              |
| <b>Revenues</b>                            |                       |                       |                       |                      |              |
| General Funds                              |                       |                       |                       |                      |              |
| Student Fees for Athletics                 | \$ 1,000,000          | \$ 1,000,000          | \$ 1,000,000          |                      |              |
| Ticket Sales                               | 9,652,085             | 30,377,000            | 37,173,360            | \$ 6,796,360         | 22.4%        |
| Gifts                                      | 24,306,078            | 28,270,000            | 48,858,656            | 20,588,656           | 72.8%        |
| Other                                      | 94,714,184            | 70,130,000            | 77,997,876            | 7,867,876            | 11.2%        |
| Total Revenues                             | <u>\$ 129,672,347</u> | <u>\$ 129,777,000</u> | <u>\$ 165,029,892</u> | <u>\$ 35,252,892</u> | <u>27.2%</u> |
| <b>Expenditures and Transfers</b>          |                       |                       |                       |                      |              |
| Salaries and Benefits                      | \$ 54,217,735         | \$ 54,027,276         | \$ 63,432,096         | \$ 9,404,820         | 17.4%        |
| Travel                                     | 5,758,416             | 9,683,974             | 13,646,841            | 3,962,867            | 40.9%        |
| Student Aid                                | 15,245,595            | 17,261,183            | 21,295,167            | 4,033,984            | 23.4%        |
| Other Operating                            | 39,685,837            | 41,506,976            | 47,824,670            | 6,317,694            | 15.2%        |
| Subtotal Expenditures                      | <u>\$ 114,907,583</u> | <u>\$ 122,479,409</u> | <u>\$ 146,198,774</u> | <u>\$ 23,719,365</u> | <u>19.4%</u> |
| Debt Service Transfers                     | 11,627,453            | 11,103,513            | 11,972,652            | 869,139              | 7.8%         |
| Other Transfers                            | 2,201,959             | (3,805,922)           | 6,858,466             | \$ 10,664,388        | -280.2%      |
| Total Expenditures and Transfers           | <u>\$ 128,736,995</u> | <u>\$ 129,777,000</u> | <u>\$ 165,029,892</u> | <u>\$ 35,252,892</u> | <u>27.2%</u> |
| <b>Fund Balance Addition / (Reduction)</b> | <u>\$ 935,352</u>     |                       |                       |                      |              |
| <b>CHATTANOOGA</b>                         |                       |                       |                       |                      |              |
| <b>Revenues</b>                            |                       |                       |                       |                      |              |
| General Funds                              | \$ 7,112,655          | \$ 8,027,609          | \$ 9,145,240          | \$ 1,117,631         | 13.9%        |
| Student Fees for Athletics                 | 5,605,320             | 5,334,663             | 5,334,663             |                      |              |
| Ticket Sales                               | 39,146                | 960,023               | 960,023               |                      |              |
| Gifts                                      | 737,735               | 1,262,030             | 2,000,000             | 737,970              | 58.5%        |
| Other                                      | 2,927,593             | 1,855,000             | 1,855,000             |                      |              |
| Total Revenues                             | <u>\$ 16,422,449</u>  | <u>\$ 17,439,325</u>  | <u>\$ 19,294,926</u>  | <u>\$ 1,855,601</u>  | <u>10.6%</u> |
| <b>Expenditures and Transfers</b>          |                       |                       |                       |                      |              |
| Salaries and Benefits                      | \$ 7,401,938          | \$ 7,756,489          | \$ 7,713,639          | \$ (42,850)          | -0.6%        |
| Travel                                     | 599,094               | 1,033,578             | 1,369,082             | 335,504              | 32.5%        |
| Student Aid                                | 5,198,801             | 5,877,472             | 6,081,894             | 204,422              | 3.5%         |
| Other Operating                            | 3,059,856             | 2,601,786             | 3,960,311             | 1,358,525            | 52.2%        |
| Subtotal Expenditures                      | <u>\$ 16,259,689</u>  | <u>\$ 17,269,325</u>  | <u>\$ 19,124,926</u>  | <u>\$ 1,855,601</u>  | <u>10.7%</u> |
| Debt Service Transfers                     | 162,760               | 170,000               | 170,000               |                      |              |
| Other Transfers                            |                       |                       |                       |                      |              |
| Total Expenditures and Transfers           | <u>\$ 16,422,449</u>  | <u>\$ 17,439,325</u>  | <u>\$ 19,294,926</u>  | <u>\$ 1,855,601</u>  | <u>10.6%</u> |
| <b>Fund Balance Addition / (Reduction)</b> |                       |                       |                       |                      |              |

Includes unrestricted and restricted funds. Other revenue sources include NCAA conference income, tournament income, program sales, concessions, parking, broadcasting, television, radio, internet, endowments, investments, royalties, advertisements, sponsorships, game guarantees, licensing fees, and sports camps.

## University of Tennessee System FY 2022-23 Proposed Budget Summary

### Athletics (Page 2 of 2)

#### Unrestricted and Restricted Current Funds for Men's and Women's Athletics

|  | FY 2020-21<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed<br>Amount | %            |
|--|----------------------|------------------------|------------------------|--|--------------|
| <b>MARTIN</b>                              |                      |                        |                        |  |              |
| <b>Revenues</b>                            |                      |                        |                        |  |              |
| General Funds                              | \$ 5,751,111         | \$ 6,976,596           | \$ 7,038,419           | \$ 61,823                                | 0.9%         |
| Student Fees for Athletics                 | 1,915,612            | 1,798,242              | 1,860,000              | 61,758                                   | 3.4%         |
| Ticket Sales                               | 156,068              | 143,598                | 140,000                | (3,598)                                  | -2.5%        |
| Gifts                                      |                      | 1,684,000              | 650,000                | (1,034,000)                              | -61.4%       |
| Other                                      | 2,948,476            | 1,588,808              | 2,070,686              | 481,878                                  | 30.3%        |
| Total Revenues                             | <u>\$ 10,771,267</u> | <u>\$ 12,191,244</u>   | <u>\$ 11,759,105</u>   | <u>\$ (432,139)</u>                      | <u>-3.5%</u> |
| <b>Expenditures and Transfers</b>          |                      |                        |                        |  |              |
| Salaries and Benefits                      | \$ 4,387,392         | \$ 4,653,120           | \$ 4,609,447           | \$ (43,673)                              | -0.9%        |
| Travel                                     | 491,598              | 857,489                | 462,534                | (394,955)                                | -46.1%       |
| Student Aid                                | 4,385,801            | 4,823,459              | 4,952,519              | 129,060                                  | 2.7%         |
| Other Operating                            | 1,398,353            | 1,751,446              | 1,633,214              | (118,232)                                | -6.8%        |
| Subtotal Expenditures                      | <u>\$ 10,663,144</u> | <u>\$ 12,085,514</u>   | <u>\$ 11,657,714</u>   | <u>\$ (427,800)</u>                      | <u>-3.5%</u> |
| Debt Service Transfers                     | 108,123              | 105,730                | 101,391                | (4,339)                                  | -4.1%        |
| Other Transfers                            |                      |                        |                        |  |              |
| Total Expenditures and Transfers           | <u>\$ 10,771,267</u> | <u>\$ 12,191,244</u>   | <u>\$ 11,759,105</u>   | <u>\$ (432,139)</u>                      | <u>-3.5%</u> |
| <b>Fund Balance Addition / (Reduction)</b> |                      |                        |                        |  |              |
| <b>SOUTHERN</b>                            |                      |                        |                        |  |              |
| <b>Revenues</b>                            |                      |                        |                        |  |              |
| General Funds                              |                      | \$ 4,544,574           | \$ 4,213,698           | \$ (330,876)                             | -7.3%        |
| Student Fees for Athletics                 |                      |                        |                        |  |              |
| Ticket Sales                               |                      | 5,000                  | 5,000                  |  |              |
| Gifts                                      |                      |                        |                        |  |              |
| Other                                      |                      | 199,019                | 207,200                | 8,181                                    | 4.1%         |
| Total Revenues                             |                      | <u>\$ 4,748,593</u>    | <u>\$ 4,425,898</u>    | <u>\$ (322,695)</u>                      | <u>-6.8%</u> |
| <b>Expenditures and Transfers</b>          |                      |                        |                        |  |              |
| Salaries and Benefits                      |                      | \$ 1,425,862           | \$ 1,461,509           | \$ 35,647                                | 2.5%         |
| Travel                                     |                      | 220,857                | 220,857                |  |              |
| Student Aid                                |                      | 1,507,060              | 1,516,800              | 9,740                                    | 0.6%         |
| Other Operating                            |                      | 685,557                | 544,218                | (141,339)                                | -20.6%       |
| Subtotal Expenditures                      |                      | <u>\$ 3,839,336</u>    | <u>\$ 3,743,384</u>    | <u>\$ (95,952)</u>                       | <u>-2.5%</u> |
| Debt Service Transfers                     |                      |                        |                        |  |              |
| Other Transfers                            |                      |                        |                        |  |              |
| Total Expenditures and Transfers           |                      | <u>\$ 3,839,336</u>    | <u>\$ 3,743,384</u>    | <u>\$ (95,952)</u>                       | <u>-2.5%</u> |
| <b>Fund Balance Addition / (Reduction)</b> |                      |                        |                        |  |              |
|  |                      | \$ 909,257             | \$ 682,514             |  |              |

Includes unrestricted and restricted funds. Other revenue sources include NCAA conference income, tournament income, program sales, concessions, parking, broadcasting, television, radio, internet, endowments, investments, royalties, advertisements, sponsorships, game guarantees, licensing fees, and sports camps.

# University of Tennessee System

## FY 2022-23 Proposed Budget Positions

All Full-time and Part-time Positions (No Students)

| Budget Unit                                       | Faculty        | Administrative        | Professional        | Cler/Tech/Maint        | Total         |
|---|----------------|-----------------------|---------------------|------------------------|---------------|
| Chattanooga                                       | 528            | 159                   | 339                 | 342                    | 1,368         |
| Knoxville   | 1,740          | 381                   | 1,013               | 1,679                  | 4,813         |
| Martin  | 329            | 71                    | 138                 | 282                    | 820           |
| Space Institute                                   | 19             | 9                     | 23                  | 41                     | 93            |
| Health Science Center                             | 689            | 151                   | 309                 | 966                    | 2,115         |
| UT Southern                                       | 57             | 19                    | 39                  | 40                     | 154           |
| <b><u>Institute of Agriculture</u></b>            |                |                       |                     |                        |               |
| Agricultural Experiment Station                   | 97             | 19                    | 80                  | 116                    | 313           |
| UT Extension                                      | 53             | 19                    | 302                 | 238                    | 612           |
| Veterinary Medicine                               | 110            | 16                    | 38                  | 256                    | 420           |
| <b>Sub-total Institute of Agriculture</b>         | <b>261</b>     | <b>54</b>             | <b>420</b>          | <b>610</b>             | <b>1,344</b>  |
| <b><u>Public Service Units</u></b>                |                |                       |                     |                        |               |
| Institute for Public Service                      |                | 5                     | 25                  | 14                     | 43            |
| MTAS  |                | 2                     | 37                  | 9                      | 48            |
| CTAS  |                |                       | 32                  | 4                      | 37            |
| TLC (Tennessee Language Center)                   |                | 1                     | 12                  | 6                      | 19            |
| <b>Sub-total Public Service Units</b>             |                | <b>8</b>              | <b>106</b>          | <b>32</b>              | <b>147</b>    |
| System Administration                             | 1              | 71                    | 167                 | 71                     | 310           |
| <b>Total Unrestricted E&amp;G</b>                 | <b>3,622</b>   | <b>923</b>            | <b>2,555</b>        | <b>4,063</b>           | <b>11,164</b> |
| <b>AUXILIARIES</b>                                |                |                       |                     |                        |               |
|   |                | <b>Administrative</b> | <b>Professional</b> | <b>Cler/Tech/Maint</b> | <b>Total</b>  |
| Chattanooga                                       |                | 19                    | 14                  | 56                     | 89            |
| Knoxville   |                | 65                    | 234                 | 488                    | 787           |
| Martin  |                | 2                     | 10                  | 32                     | 44            |
| Space Institute                                   |                |                       |                     | 3                      | 3             |
| Health Science Center                             |                |                       | 30                  |                        | 30            |
| UT Southern                                       |                |                       |                     | 2                      | 2             |
| <b>Total Auxiliaries</b>                          |                | <b>86</b>             | <b>288</b>          | <b>580</b>             | <b>954</b>    |
| <b>RESTRICTED EDUCATION AND GENERAL (E&amp;G)</b> |                |                       |                     |                        |               |
|   | <b>Faculty</b> | <b>Administrative</b> | <b>Professional</b> | <b>Cler/Tech/Maint</b> | <b>Total</b>  |
| Chattanooga                                       | 23             | 9                     | 32                  | 34                     | 98            |
| Knoxville   | 108            | 21                    | 336                 | 121                    | 586           |
| Martin  | 2              | 2                     | 23                  | 10                     | 37            |
| Space Institute                                   | 4              |                       | 5                   |                        | 8             |
| Health Science Center                             | 695            | 30                    | 286                 | 476                    | 1,487         |
| UT Southern                                       | 3              |                       | 2                   |                        | 5             |
| <b><u>Institute of Agriculture</u></b>            |                |                       |                     |                        |               |
| Agricultural Experiment Station                   | 4              | 1                     | 11                  | 17                     | 33            |
| UT Extension                                      | 11             | 0                     | 184                 | 238                    | 433           |
| Veterinary Medicine                               | 0              |                       | 1                   | 2                      | 4             |
| <b>Sub-total Institute of Agriculture</b>         | <b>15</b>      | <b>1</b>              | <b>196</b>          | <b>258</b>             | <b>470</b>    |
| <b><u>Public Service Units</u></b>                |                |                       |                     |                        |               |
| Institute for Public Service                      |                |                       | 23                  |                        | 23            |
| MTAS  |                |                       | 4                   |                        | 4             |
| CTAS  |                |                       |                     | 1                      | 1             |
| TLC   |                |                       | 1                   |                        | 1             |
| <b>Sub-total Public Service Units</b>             |                |                       | <b>27</b>           | <b>1</b>               | <b>28</b>     |
| UWA   |                |                       |                     |                        | -             |
| <b>Total Restricted E&amp;G</b>                   | <b>850</b>     | <b>63</b>             | <b>907</b>          | <b>899</b>             | <b>2,720</b>  |
| <b>TOTAL UNIVERSITY POSITIONS</b>                 | <b>4,473</b>   | <b>1,072</b>          | <b>3,750</b>        | <b>5,543</b>           | <b>14,838</b> |
|   | <b>30%</b>     | <b>7%</b>             | <b>25%</b>          | <b>37%</b>             | <b>100%</b>   |

# University of Tennessee System

## FY 2022-23 Proposed Budget (RECURRING)

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|  | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed |           |
|--|-------------------|------------------------|------------------------|--------------------------------|-----------|
|  |                   |                        |                        | Amount                         | %         |
| <b>EDUCATIONAL AND GENERAL</b>           |                   |                        |                        |                                |           |
| <b>Revenues</b>                          |                   |                        |                        |                                |           |
| Tuition & Fees                           | \$ 796,442,074    | \$ 810,344,584         | \$ 867,102,447         | \$ 56,757,863                  | 7.0 %     |
| State Appropriations                     | 637,749,852       | 682,513,752            | 755,986,052            | 73,472,300                     | 10.8 %    |
| Grants & Contracts                       | 58,474,905        | 47,913,887             | 53,506,617             | 5,592,730                      | 11.7 %    |
| Sales & Service                          | 63,844,595        | 64,961,594             | 69,691,501             | 4,729,907                      | 7.3 %     |
| Other Sources                            | 70,724,613        | 59,563,382             | 65,287,848             | 5,724,466                      | 9.6 %     |
| Total Revenues                           | \$ 1,627,236,038  | \$ 1,665,297,199       | \$ 1,811,574,465       | \$ 146,277,266                 | 8.8 %     |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |           |
| Instruction                              | \$ 515,072,267    | \$ 604,027,378         | \$ 666,210,301         | \$ 62,182,923                  | 10.3 %    |
| Research                                 | 152,948,873       | 150,392,137            | 145,268,016            | (5,124,121)                    | (3.4) %   |
| Public Service                           | 78,506,063        | 92,220,686             | 99,511,697             | 7,291,011                      | 7.9 %     |
| Academic Support                         | 180,342,080       | 188,108,705            | 236,763,926            | 48,655,221                     | 25.9 %    |
| Student Services                         | 99,523,809        | 113,139,962            | 120,359,399            | 7,219,437                      | 6.4 %     |
| Institutional Support                    | 175,004,979       | 188,628,911            | 210,733,809            | 22,104,898                     | 11.7 %    |
| Operation & Maintenance of Plant         | 146,589,495       | 168,452,958            | 177,224,678            | 8,771,720                      | 5.2 %     |
| Scholarships & Fellowships               | 142,839,827       | 158,543,569            | 147,678,867            | (10,864,702)                   | (6.9) %   |
| Subtotal Expenditures                    | \$ 1,490,827,395  | \$ 1,663,514,306       | \$ 1,803,750,693       | \$ 140,236,387                 | 8.4 %     |
| Mandatory Transfers                      | 13,034,781        | 8,920,364              | 14,524,514             | 5,604,150                      | 62.8 %    |
| Non-Mandatory Transfers                  | 107,678,171       | (1,648,565)            | (4,191,736)            | (2,543,171)                    | (154.3) % |
| Total Expenditures & Transfers           | \$ 1,611,540,347  | \$ 1,670,786,105       | \$ 1,814,083,471       | \$ 143,297,366                 | 8.6 %     |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 15,695,691     | \$ (5,488,906)         | \$ (2,509,006)         |                                |           |
| <b>AUXILIARIES</b>                       |                   |                        |                        |                                |           |
| <b>Revenues</b>                          | \$ 240,192,478    | \$ 266,566,813         | \$ 314,940,749         | \$ 48,373,936                  | 18.1 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |           |
| Expenditures                             | 189,764,399       | 214,610,480            | 248,060,439            | 33,449,959                     | 15.6 %    |
| Mandatory Transfers                      | 45,342,299        | 40,300,915             | 50,528,740             | 10,227,825                     | 25.4 %    |
| Non-Mandatory Transfers                  | 4,006,341         | 10,574,418             | 17,368,959             | 6,794,541                      | 64.3 %    |
| Total Expenditures & Transfers           | \$ 239,113,039    | \$ 265,485,813         | \$ 315,958,138         | \$ 50,472,325                  | 19.0 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 1,079,439      | \$ 1,081,000           | \$ (1,017,389)         |                                |           |
| <b>TOTALS</b>                            |                   |                        |                        |                                |           |
| <b>Revenues</b>                          | \$ 1,867,428,516  | \$ 1,931,864,012       | \$ 2,126,515,214       | \$ 194,651,202                 | 10.1 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |           |
| Expenditures                             | \$ 1,680,591,794  | \$ 1,878,124,786       | \$ 2,051,811,132       | \$ 173,686,346                 | 9.2 %     |
| Mandatory Transfers                      | 58,377,080        | 49,221,279             | 65,053,254             | 15,831,975                     | 32.2 %    |
| Non-Mandatory Transfers                  | 111,684,512       | 8,925,853              | 13,177,223             | 4,251,370                      | 47.6 %    |
| Total Expenditures & Transfers           | \$ 1,850,653,386  | \$ 1,936,271,918       | \$ 2,130,041,609       | \$ 193,769,691                 | 10.0 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 16,775,131     | \$ (4,407,906)         | \$ (3,526,395)         |                                |           |

# University of Tennessee System

## FY 2022-23 Proposed Budget

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|  | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed |          |
|--|-------------------|------------------------|------------------------|--------------------------------|----------|
|  |                   |                        |                        | Amount                         | %        |
| <b>EDUCATIONAL AND GENERAL</b>           |                   |                        |                        |                                |          |
| <b>Revenues</b>                          |                   |                        |                        |                                |          |
| Tuition & Fees                           | \$ 796,442,074    | \$ 819,965,507         | \$ 866,823,031         | \$ 46,857,524                  | 5.7 %    |
| State Appropriations                     | 637,749,852       | 692,874,552            | 760,346,852            | 67,472,300                     | 9.7 %    |
| Grants & Contracts                       | 58,474,905        | 49,337,293             | 59,131,617             | 9,794,324                      | 19.9 %   |
| Sales & Service                          | 63,844,595        | 66,722,269             | 69,691,501             | 2,969,232                      | 4.5 %    |
| Other Sources                            | 70,724,613        | 62,289,290             | 65,287,848             | 2,998,558                      | 4.8 %    |
| Total Revenues                           | \$ 1,627,236,038  | \$ 1,691,188,911       | \$ 1,821,280,849       | \$ 130,091,938                 | 7.7 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |          |
| Instruction                              | \$ 515,072,267    | \$ 632,956,213         | \$ 670,756,059         | \$ 37,799,846                  | 6.0 %    |
| Research                                 | 152,948,873       | 223,181,383            | 146,576,654            | (76,604,729)                   | (34.3) % |
| Public Service                           | 78,506,063        | 96,281,499             | 100,102,642            | 3,821,143                      | 4.0 %    |
| Academic Support                         | 180,342,080       | 209,891,470            | 237,178,038            | 27,286,568                     | 13.0 %   |
| Student Services                         | 99,523,809        | 120,601,092            | 120,910,263            | 309,171                        | 0.3 %    |
| Institutional Support                    | 175,004,979       | 193,537,792            | 215,998,942            | 22,461,150                     | 11.6 %   |
| Operation & Maintenance of Plant         | 146,589,495       | 165,932,718            | 174,205,479            | 8,272,761                      | 5.0 %    |
| Scholarships & Fellowships               | 142,839,827       | 153,351,521            | 150,953,067            | (2,398,454)                    | (1.6) %  |
| Subtotal Expenditures                    | \$ 1,490,827,395  | \$ 1,795,733,688       | \$ 1,816,681,144       | \$ 20,947,456                  | 1.2 %    |
| Mandatory Transfers                      | 13,034,781        | 10,232,428             | 17,488,890             | 7,256,462                      | 70.9 %   |
| Non-Mandatory Transfers                  | 107,678,171       | (96,214,570)           | (11,592,513)           | 84,622,057                     | 88.0 %   |
| Total Expenditures & Transfers           | \$ 1,611,540,347  | \$ 1,709,751,546       | \$ 1,822,577,521       | \$ 112,825,975                 | 6.6 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 15,695,691     | \$ (18,562,635)        | \$ (1,296,672)         |                                |          |
| <b>AUXILIARIES</b>                       |                   |                        |                        |                                |          |
| <b>Revenues</b>                          |                   |                        |                        |                                |          |
|  | \$ 240,192,478    | \$ 266,505,813         | \$ 314,940,749         | \$ 48,434,936                  | 18.2 %   |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |          |
| Expenditures                             | 189,764,399       | 214,751,415            | 248,260,507            | 33,509,092                     | 15.6 %   |
| Mandatory Transfers                      | 45,342,299        | 40,098,980             | 50,328,672             | 10,229,692                     | 25.5 %   |
| Non-Mandatory Transfers                  | 4,006,341         | 10,524,418             | 17,368,959             | 6,844,541                      | 65.0 %   |
| Total Expenditures & Transfers           | \$ 239,113,039    | \$ 265,374,813         | \$ 315,958,138         | \$ 50,583,325                  | 19.1 %   |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 1,079,439      | \$ 1,131,000           | \$ (1,017,389)         |                                |          |
| <b>TOTALS</b>                            |                   |                        |                        |                                |          |
| <b>Revenues</b>                          |                   |                        |                        |                                |          |
|  | \$ 1,867,428,516  | \$ 1,957,694,724       | \$ 2,136,221,598       | \$ 178,526,874                 | 9.1 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |          |
| Expenditures                             | \$ 1,680,591,794  | \$ 2,010,485,103       | \$ 2,064,941,651       | \$ 54,456,548                  | 2.7 %    |
| Mandatory Transfers                      | 58,377,080        | 50,331,408             | 67,817,562             | 17,486,154                     | 34.7 %   |
| Non-Mandatory Transfers                  | 111,684,512       | (85,690,152)           | 5,776,446              | 91,466,598                     | 106.7 %  |
| Total Expenditures & Transfers           | \$ 1,850,653,386  | \$ 1,975,126,359       | \$ 2,138,535,659       | \$ 163,409,300                 | 8.3 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 16,775,131     | \$ (17,431,635)        | \$ (2,314,061)         |                                |          |

## Chattanooga

### FY 2022-23 Proposed Budget

#### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|  | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed<br>Amount | %        |
|--|-------------------|------------------------|------------------------|--|----------|
| <b>EDUCATIONAL AND GENERAL</b>           |                   |                        |                        |  |          |
| <b>Revenues</b>                          |                   |                        |                        |  |          |
| Tuition & Fees                           | \$ 124,662,858    | \$ 128,858,478         | \$ 127,968,340         | \$ (890,138)                             | (0.7) %  |
| State Appropriations                     | 60,975,006        | 64,729,305             | 73,252,805             | 8,523,500                                | 13.2 %   |
| Grants & Contracts                       | 1,183,965         | 1,349,400              | 1,049,400              | (300,000)                                | (22.2) % |
| Sales & Service                          | 5,367,874         | 4,845,512              | 4,841,672              | (3,840)                                  | (0.1) %  |
| Other Sources                            | 125,159           | 269,500                | 269,500                |  |          |
| Total Revenues                           | \$ 192,314,862    | \$ 200,052,195         | \$ 207,381,717         | \$ 7,329,522                             | 3.7 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |  |          |
| Instruction                              | \$ 73,518,932     | \$ 89,148,662          | \$ 92,599,532          | \$ 3,450,870                             | 3.9 %    |
| Research                                 | 5,042,690         | 5,175,925              | 5,817,526              | 641,601                                  | 12.4 %   |
| Public Service                           | 2,047,768         | 2,804,914              | 2,822,117              | 17,203                                   | 0.6 %    |
| Academic Support                         | 18,064,234        | 21,207,448             | 21,358,323             | 150,875                                  | 0.7 %    |
| Student Services                         | 26,943,821        | 30,360,846             | 30,082,955             | (277,891)                                | (0.9) %  |
| Institutional Support                    | 13,961,148        | 14,577,022             | 15,684,463             | 1,107,441                                | 7.6 %    |
| Operation & Maintenance of Plant         | 17,517,087        | 19,878,106             | 20,523,417             | 645,311                                  | 3.2 %    |
| Scholarships & Fellowships               | 18,515,260        | 18,960,101             | 20,236,586             | 1,276,485                                | 6.7 %    |
| Subtotal Expenditures                    | \$ 175,610,941    | \$ 202,113,024         | \$ 209,124,919         | \$ 7,011,895                             | 3.5 %    |
| Mandatory Transfers                      | 3,165,278         | 3,742,165              | 4,663,880              | 921,715                                  | 24.6 %   |
| Non-Mandatory Transfers                  | 11,454,995        | (5,802,994)            | (6,407,082)            | (604,088)                                | (10.4) % |
| Total Expenditures & Transfers           | \$ 190,231,214    | \$ 200,052,195         | \$ 207,381,717         | \$ 7,329,522                             | 3.7 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 2,083,648      |                        |                        |  |          |
| <b>AUXILIARIES</b>                       |                   |                        |                        |  |          |
| <b>Revenues</b>                          | \$ 20,563,694     | \$ 21,961,348          | \$ 23,152,232          | \$ 1,190,884                             | 5.40 %   |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |  |          |
| Expenditures                             | 11,697,825        | 14,290,363             | 15,481,247             | 1,190,884                                | 8.3 %    |
| Mandatory Transfers                      | 5,552,014         | 5,753,253              | 5,753,253              |  |          |
| Non-Mandatory Transfers                  | 5,124,846         | 1,917,732              | 1,917,732              |  |          |
| Total Expenditures & Transfers           | \$ 22,374,685     | \$ 21,961,348          | \$ 23,152,232          | \$ 1,190,884                             | 5.4 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ (1,810,991)    |                        |                        |  |          |
| <b>TOTALS</b>                            |                   |                        |                        |  |          |
| <b>Revenues</b>                          | \$ 212,878,556    | \$ 222,013,543         | \$ 230,533,949         | \$ 8,520,406                             | 3.8 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |  |          |
| Expenditures                             | \$ 187,308,766    | \$ 216,403,387         | \$ 224,606,166         | \$ 8,202,779                             | 3.8 %    |
| Mandatory Transfers                      | 8,717,292         | 9,495,418              | 10,417,133             | 921,715                                  | 9.7 %    |
| Non-Mandatory Transfers                  | 16,579,841        | (3,885,262)            | (4,489,350)            | (604,088)                                | (15.5) % |
| Total Expenditures & Transfers           | \$ 212,605,899    | \$ 222,013,543         | \$ 230,533,949         | \$ 8,520,406                             | 3.8 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 272,657        |                        |                        |  |          |

# Knoxville

## FY 2022-23 Proposed Budget

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|                                   | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed |           |
|-----------------------------------|-------------------|------------------------|------------------------|--------------------------------|-----------|
|                                   |                   |                        |                        | Amount                         | %         |
| <b>EDUCATIONAL AND GENERAL</b>    |                   |                        |                        |                                |           |
| <b>Revenues</b>                   |                   |                        |                        |                                |           |
| Tuition & Fees                    | \$ 504,139,517    | \$ 516,016,454         | \$ 565,948,855         | \$ 49,932,401                  | 9.7 %     |
| State Appropriations              | 262,198,759       | 278,188,958            | 312,272,558            | 34,083,600                     | 12.3 %    |
| Grants & Contracts                | 29,074,611        | 23,910,000             | 28,910,000             | 5,000,000                      | 20.9 %    |
| Sales & Service                   | 6,917,780         | 5,131,239              | 5,565,249              | 434,010                        | 8.5 %     |
| Other Sources                     | 4,681,728         | 4,407,011              | 7,878,679              | 3,471,668                      | 78.8 %    |
| Total Revenues                    | \$ 807,012,395    | \$ 827,653,662         | \$ 920,575,341         | \$ 92,921,679                  | 11.2 %    |
| <b>Expenditures and Transfers</b> |                   |                        |                        |                                |           |
| Instruction                       | \$ 243,315,181    | \$ 311,713,836         | \$ 327,704,692         | \$ 15,990,856                  | 5.1 %     |
| Research                          | 80,686,010        | 122,794,645            | 82,475,159             | (40,319,486)                   | (32.8) %  |
| Public Service                    | 7,503,515         | 9,123,834              | 8,769,513              | (354,321)                      | (3.9) %   |
| Academic Support                  | 84,321,900        | 99,779,705             | 131,966,917            | 32,187,212                     | 32.3 %    |
| Student Services                  | 51,629,352        | 62,350,604             | 64,463,463             | 2,112,859                      | 3.4 %     |
| Institutional Support             | 58,422,118        | 67,269,051             | 73,741,315             | 6,472,264                      | 9.6 %     |
| Operation & Maintenance of Plant  | 75,131,733        | 92,558,732             | 98,723,016             | 6,164,284                      | 6.7 %     |
| Scholarships & Fellowships        | 104,931,101       | 110,449,259            | 106,418,037            | (4,031,222)                    | (3.6) %   |
| Subtotal Expenditures             | \$ 705,940,908    | \$ 876,039,666         | \$ 894,262,112         | \$ 18,222,446                  | 2.1 %     |
| Mandatory Transfers               | 4,198,414         | 310,624                | 5,910,624              | 5,600,000                      | 1,802.8 % |
| Non-Mandatory Transfers           | 85,527,265        | (48,696,628)           | 20,402,605             | 69,099,233                     | 141.9 %   |
| Total Expenditures & Transfers    | \$ 795,666,588    | \$ 827,653,662         | \$ 920,575,341         | \$ 92,921,679                  | 11.2 %    |
| Fund Balance Addition/(Reduction) | \$ 11,345,808     |                        |                        |                                |           |
| <b>AUXILIARIES</b>                |                   |                        |                        |                                |           |
| <b>Revenues</b>                   |                   |                        |                        |                                |           |
|                                   | \$ 206,290,233    | \$ 227,346,186         | \$ 274,171,267         | \$ 46,825,081                  | 20.60 %   |
| <b>Expenditures and Transfers</b> |                   |                        |                        |                                |           |
| Expenditures                      | 168,800,215       | 188,344,328            | 220,329,466            | 31,985,138                     | 17.0 %    |
| Mandatory Transfers               | 37,522,296        | 31,801,939             | 41,690,943             | 9,889,004                      | 31.1 %    |
| Non-Mandatory Transfers           | (2,624,546)       | 7,199,919              | 12,150,858             | 4,950,939                      | 68.8 %    |
| Total Expenditures & Transfers    | \$ 203,697,965    | \$ 227,346,186         | \$ 274,171,267         | \$ 46,825,081                  | 20.6 %    |
| Fund Balance Addition/(Reduction) | \$ 2,592,268      |                        |                        |                                |           |
| <b>TOTALS</b>                     |                   |                        |                        |                                |           |
| <b>Revenues</b>                   |                   |                        |                        |                                |           |
|                                   | \$ 1,013,302,628  | \$ 1,054,999,848       | \$ 1,194,746,608       | \$ 139,746,760                 | 13.2 %    |
| <b>Expenditures and Transfers</b> |                   |                        |                        |                                |           |
| Expenditures                      | \$ 874,741,123    | \$ 1,064,383,994       | \$ 1,114,591,578       | \$ 50,207,584                  | 4.7 %     |
| Mandatory Transfers               | 41,720,710        | 32,112,563             | 47,601,567             | 15,489,004                     | 48.2 %    |
| Non-Mandatory Transfers           | 82,902,719        | (41,496,709)           | 32,553,463             | 74,050,172                     | 178.4 %   |
| Total Expenditures & Transfers    | \$ 999,364,552    | \$ 1,054,999,848       | \$ 1,194,746,608       | \$ 139,746,760                 | 13.2 %    |
| Fund Balance Addition/(Reduction) | \$ 13,938,076     |                        |                        |                                |           |

# Martin

## FY 2022-23 Proposed Budget

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|  | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed |          |
|--|-------------------|------------------------|------------------------|--------------------------------|----------|
|  |                   |                        |                        | Amount                         | %        |
| <b>EDUCATIONAL AND GENERAL</b>           |                   |                        |                        |                                |          |
| <b>Revenues</b>                          |                   |                        |                        |                                |          |
| Tuition & Fees                           | \$ 65,508,839     | \$ 64,184,738          | \$ 60,705,977          | \$ (3,478,761)                 | (5.4) %  |
| State Appropriations                     | 35,718,897        | 37,372,897             | 42,031,797             | 4,658,900                      | 12.5 %   |
| Grants & Contracts                       | 170,039           | 241,400                | 241,400                |                                |          |
| Sales & Service                          | 4,241,208         | 3,942,253              | 3,727,096              | (215,157)                      | (5.5) %  |
| Other Sources                            | 653,731           | 745,440                | 747,440                | 2,000                          | 0.3 %    |
| Total Revenues                           | \$ 106,292,714    | \$ 106,486,728         | \$ 107,453,710         | \$ 966,982                     | 0.9 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |          |
| Instruction                              | \$ 43,538,921     | \$ 47,188,086          | \$ 45,746,545          | \$ (1,441,541)                 | (3.1) %  |
| Research                                 | 113,311           | 107,394                | 86,457                 | (20,937)                       | (19.5) % |
| Public Service                           | 529,359           | 867,259                | 841,913                | (25,346)                       | (2.9) %  |
| Academic Support                         | 9,242,253         | 11,655,929             | 10,119,283             | (1,536,646)                    | (13.2) % |
| Student Services                         | 14,298,635        | 15,726,354             | 14,581,927             | (1,144,427)                    | (7.3) %  |
| Institutional Support                    | 7,922,066         | 8,688,853              | 9,050,010              | 361,157                        | 4.2 %    |
| Operation & Maintenance of Plant         | 10,399,261        | 11,387,028             | 11,284,844             | (102,184)                      | (0.9) %  |
| Scholarships & Fellowships               | 13,798,135        | 13,617,559             | 14,429,610             | 812,051                        | 6.0 %    |
| Subtotal Expenditures                    | \$ 99,841,941     | \$ 109,238,462         | \$ 106,140,589         | \$ (3,097,873)                 | (2.8) %  |
| Mandatory Transfers                      | 544,946           | 547,667                | 547,909                | 242                            | - %      |
| Non-Mandatory Transfers                  | 4,471,772         | (3,299,401)            | 765,212                | 4,064,613                      | 123.2 %  |
| Total Expenditures & Transfers           | \$ 104,858,659    | \$ 106,486,728         | \$ 107,453,710         | \$ 966,982                     | 0.9 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 1,434,055      |                        |                        |                                |          |
| <b>AUXILIARIES</b>                       |                   |                        |                        |                                |          |
| <b>Revenues</b>                          | \$ 10,869,342     | \$ 10,276,196          | \$ 10,567,896          | 291,700                        | 2.80 %   |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |          |
| Expenditures                             | \$ 6,224,349      | \$ 6,912,183           | \$ 7,203,883           | 291,700                        | 4.2 %    |
| Mandatory Transfers                      | 2,089,270         | 1,983,779              | 2,363,644              | 379,865                        | 19.1 %   |
| Non-Mandatory Transfers                  | 2,483,341         | 1,380,234              | 1,000,369              | (379,865)                      | (27.5) % |
| Total Expenditures & Transfers           | \$ 10,796,960     | \$ 10,276,196          | \$ 10,567,896          | 291,700                        | 2.8 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 72,382         |                        |                        |                                |          |
| <b>TOTALS</b>                            |                   |                        |                        |                                |          |
| <b>Revenues</b>                          | \$ 117,162,056    | \$ 116,762,924         | \$ 118,021,606         | \$ 1,258,682                   | 1.1 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |          |
| Expenditures                             | 106,066,290       | 116,150,645            | 113,344,472            | (2,806,173)                    | (2.4) %  |
| Mandatory Transfers                      | 2,634,216         | 2,531,446              | 2,911,553              | 380,107                        | 15.0 %   |
| Non-Mandatory Transfers                  | 6,955,113         | (1,919,167)            | 1,765,581              | 3,684,748                      | 192.0 %  |
| Total Expenditures & Transfers           | \$ 115,655,619    | \$ 116,762,924         | \$ 118,021,606         | \$ 1,258,682                   | 1.1 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 1,506,437      |                        |                        |                                |          |



## UT Southern

### FY 2022-23 Proposed Budget

#### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|                                   | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed<br>Amount | %         |
|-----------------------------------|-------------------|------------------------|------------------------|--|-----------|
| <b>EDUCATIONAL AND GENERAL</b>    |                   |                        |                        |  |           |
| <b>Revenues</b>                   |                   |                        |                        |  |           |
| Tuition & Fees                    |                   | \$ 7,574,290           | \$ 8,427,396           | \$ 853,106                               | 11.3 %    |
| State Appropriations              |                   | 6,230,000              | 5,469,100              | (760,900)                                | (12.2) %  |
| Grants & Contracts                |                   |                        |                        |  |           |
| Sales & Service                   |                   | 292,171                | 79,000                 | (213,171)                                | (73.0) %  |
| Other Sources                     |                   | 3,806,057              | 690,000                | (3,116,057)                              | (81.9) %  |
| Total Revenues                    |                   | \$ 17,902,518          | \$ 14,665,496          | \$ (3,237,022)                           | (18.1) %  |
| <b>Expenditures and Transfers</b> |                   |                        |                        |  |           |
| Instruction                       |                   | \$ 4,005,587           | \$ 4,428,567           | \$ 422,980                               | 10.6 %    |
| Research                          |                   |                        |                        |  |           |
| Public Service                    |                   | 87,417                 | 94,958                 | 7,541                                    | 8.6 %     |
| Academic Support                  |                   | 2,006,286              | 2,089,608              | 83,322                                   | 4.2 %     |
| Student Services                  |                   | 4,268,865              | 4,344,912              | 76,047                                   | 1.8 %     |
| Institutional Support             |                   | 2,416,674              | 2,345,136              | (71,538)                                 | (3.0) %   |
| Operation & Maintenance of Plant  |                   | 1,903,251              | 1,716,772              | (186,479)                                | (9.8) %   |
| Scholarships & Fellowships        |                   | 2,276,500              | 2,528,003              | 251,503                                  | 11.0 %    |
| Subtotal Expenditures             |                   | \$ 16,964,580          | \$ 17,547,956          | \$ 583,376                               | 3.4 %     |
| Mandatory Transfers               |                   |                        |                        |  |           |
| Non-Mandatory Transfers           |                   | 928,575                | (2,903,100)            | (3,831,675)                              | (412.6) % |
| Total Expenditures & Transfers    |                   | \$ 17,893,155          | \$ 14,644,856          | \$ (3,248,299)                           | (18.2) %  |
| Fund Balance Addition/(Reduction) |                   | \$ 9,363               | \$ 20,640              |  |           |
| <b>AUXILIARIES</b>                |                   |                        |                        |  |           |
| <b>Revenues</b>                   |                   |                        |                        |  |           |
|                                   |                   | \$ 2,918,800           | \$ 3,046,071           | \$ 127,271                               | 4.40 %    |
| <b>Expenditures and Transfers</b> |                   |                        |                        |  |           |
| Expenditures                      |                   | 1,369,823              | 1,413,060              | 43,237                                   | 3.2 %     |
| Mandatory Transfers               |                   | 391,444                | 350,400                | (41,044)                                 | (10.5) %  |
| Non-Mandatory Transfers           |                   | 76,533                 | 2,300,000              | 2,223,467                                | 2,905.2 % |
| Total Expenditures & Transfers    |                   | \$ 1,837,800           | \$ 4,063,460           | \$ 2,225,660                             | 121.1 %   |
| Fund Balance Addition/(Reduction) |                   | \$ 1,081,000           | \$ (1,017,389)         |  |           |
| <b>TOTALS</b>                     |                   |                        |                        |  |           |
| <b>Revenues</b>                   |                   |                        |                        |  |           |
|                                   |                   | \$ 20,821,318          | \$ 17,711,567          | \$ (3,109,751)                           | (14.9) %  |
| <b>Expenditures and Transfers</b> |                   |                        |                        |  |           |
| Expenditures                      |                   | \$ 18,334,403          | \$ 18,961,016          | \$ 626,613                               | 3.4 %     |
| Mandatory Transfers               |                   | 391,444                | 350,400                | (41,044)                                 | (10.5) %  |
| Non-Mandatory Transfers           |                   | 1,005,108              | (603,100)              | (1,608,208)                              | (160.0) % |
| Total Expenditures & Transfers    |                   | \$ 19,730,955          | \$ 18,708,316          | \$ (1,022,639)                           | (5.2) %   |
| Fund Balance Addition/(Reduction) |                   | \$ 1,090,363           | \$ (996,749)           |  |           |

# Health Science Center

## FY 2022-23 Proposed Budget

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|                                   | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed |          |
|-----------------------------------|-------------------|------------------------|------------------------|--------------------------------|----------|
|                                   |                   |                        |                        | Amount                         | %        |
| <b>EDUCATIONAL AND GENERAL</b>    |                   |                        |                        |                                |          |
| <b>Revenues</b>                   |                   |                        |                        |                                |          |
| Tuition & Fees                    | \$ 89,234,496     | \$ 90,051,721          | \$ 90,879,935          | \$ 828,214                     | 0.9 %    |
| State Appropriations              | 165,262,724       | 177,543,924            | 191,625,124            | 14,081,200                     | 7.9 %    |
| Grants & Contracts                | 21,872,064        | 17,100,319             | 23,054,407             | 5,954,088                      | 34.8 %   |
| Sales & Service                   | 17,803,432        | 19,541,661             | 21,055,843             | 1,514,182                      | 7.7 %    |
| Other Sources                     | 1,325,820         | 1,103,120              | 1,089,920              | (13,200)                       | (1.2) %  |
| Total Revenues                    | \$ 295,498,536    | \$ 305,340,745         | \$ 327,705,229         | \$ 22,364,484                  | 7.3 %    |
| <b>Expenditures and Transfers</b> |                   |                        |                        |                                |          |
| Instruction                       | \$ 117,472,670    | \$ 137,848,153         | \$ 148,097,018         | \$ 10,248,865                  | 7.4 %    |
| Research                          | 24,773,265        | 49,068,623             | 12,619,279             | (36,449,344)                   | (74.3) % |
| Public Service                    | 404,695           | 1,039,812              | 600,142                | (439,670)                      | (42.3) % |
| Academic Support                  | 58,548,766        | 64,801,700             | 60,815,284             | (3,986,416)                    | (6.2) %  |
| Student Services                  | 6,652,001         | 7,894,423              | 7,437,006              | (457,417)                      | (5.8) %  |
| Institutional Support             | 34,383,488        | 34,792,307             | 42,212,294             | 7,419,987                      | 21.3 %   |
| Operation & Maintenance of Plant  | 39,789,242        | 34,891,480             | 37,468,010             | 2,576,530                      | 7.4 %    |
| Scholarships & Fellowships        | 5,479,906         | 7,871,574              | 7,160,831              | (710,743)                      | (9.0) %  |
| Subtotal Expenditures             | \$ 287,504,032    | \$ 338,208,072         | \$ 316,409,864         | \$ (21,798,208)                | (6.4) %  |
| Mandatory Transfers               | 5,015,404         | 5,519,279              | 6,249,876              | 730,597                        | 13.2 %   |
| Non-Mandatory Transfers           | 6,426,703         | (38,336,606)           | 5,045,489              | 43,382,095                     | 113.2 %  |
| Total Expenditures & Transfers    | \$ 298,946,139    | \$ 305,390,745         | \$ 327,705,229         | \$ 22,314,484                  | 7.3 %    |
| Fund Balance Addition/(Reduction) | \$ (3,447,603)    | \$ (50,000)            |                        |                                |          |
| <b>AUXILIARIES</b>                |                   |                        |                        |                                |          |
| <b>Revenues</b>                   |                   |                        |                        |                                |          |
|                                   | \$ 2,469,210      | \$ 4,003,283           | \$ 4,003,283           |                                |          |
| <b>Expenditures and Transfers</b> |                   |                        |                        |                                |          |
| Expenditures                      | 3,042,010         | 3,834,718              | 3,832,851              | \$ (1,867)                     | - %      |
| Mandatory Transfers               | 178,719           | 168,565                | 170,432                | 1,867                          | 1.1 %    |
| Non-Mandatory Transfers           | (977,300)         | (50,000)               | -                      | 50,000                         | 100.0 %  |
| Total Expenditures & Transfers    | \$ 2,243,429      | \$ 3,953,283           | \$ 4,003,283           | \$ 50,000                      | 1.3 %    |
| Fund Balance Addition/(Reduction) | \$ 225,781        | \$ 50,000              |                        |                                |          |
| <b>TOTALS</b>                     |                   |                        |                        |                                |          |
| <b>Revenues</b>                   |                   |                        |                        |                                |          |
|                                   | \$ 297,967,746    | \$ 309,344,028         | \$ 331,708,512         | \$ 22,364,484                  | 7.2 %    |
| <b>Expenditures and Transfers</b> |                   |                        |                        |                                |          |
| Expenditures                      | \$ 290,546,042    | \$ 342,042,790         | \$ 320,242,715         | \$ (21,800,075)                | (6.4) %  |
| Mandatory Transfers               | 5,194,123         | 5,687,844              | 6,420,308              | 732,464                        | 12.9 %   |
| Non-Mandatory Transfers           | 5,449,403         | (38,386,606)           | 5,045,489              | 43,432,095                     | 113.1 %  |
| Total Expenditures & Transfers    | \$ 301,189,568    | \$ 309,344,028         | \$ 331,708,512         | \$ 22,364,484                  | 7.2 %    |
| Fund Balance Addition/(Reduction) | \$ (3,221,823)    |                        |                        |                                |          |

# Institute of Agriculture

## FY 2022-23 Proposed Budget

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|  | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed |          |
|--|-------------------|------------------------|------------------------|--------------------------------|----------|
|  |                   |                        |                        | Amount                         | %        |
| <b>EDUCATIONAL AND GENERAL</b>           |                   |                        |                        |                                |          |
| <b>Revenues</b>                          |                   |                        |                        |                                |          |
| Tuition & Fees                           | \$ 12,896,363     | \$ 13,279,826          | \$ 12,892,528          | \$ (387,298)                   | (2.9) %  |
| State Appropriations                     | 93,434,163        | 99,448,464             | 107,969,964            | 8,521,500                      | 8.6 %    |
| Grants & Contracts                       | 5,368,736         | 5,740,795              | 5,321,795              | (419,000)                      | (7.3) %  |
| Sales & Service                          | 29,514,301        | 32,969,433             | 34,422,641             | 1,453,208                      | 4.4 %    |
| Other Sources                            | 25,862,304        | 17,885,793             | 17,886,040             | 247                            | - %      |
| Total Revenues                           | \$ 167,075,868    | \$ 169,324,311         | \$ 178,492,968         | \$ 9,168,657                   | 5.4 %    |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |          |
| Instruction                              | \$ 37,226,564     | \$ 43,051,889          | \$ 52,179,705          | \$ 9,127,816                   | 21.2 %   |
| Research                                 | 42,333,598        | 46,034,796             | 45,578,233             | (456,563)                      | (1.0) %  |
| Public Service                           | 46,336,951        | 58,549,766             | 60,710,092             | 2,160,326                      | 3.7 %    |
| Academic Support                         | 9,907,877         | 10,175,007             | 10,567,245             | 392,238                        | 3.9 %    |
| Student Services                         |                   |                        |                        |                                |          |
| Institutional Support                    | 2,782,648         | 2,850,798              | 2,854,133              | 3,335                          | 0.1 %    |
| Operation & Maintenance of Plant         | 3,482,435         | 3,555,186              | 3,889,420              | 334,234                        | 9.4 %    |
| Scholarships & Fellowships               | 115,425           | 176,528                | 180,000                | 3,472                          | 2.0 %    |
| Subtotal Expenditures                    | \$ 142,185,497    | \$ 164,393,970         | \$ 175,958,828         | \$ 11,564,858                  | 7.0 %    |
| Mandatory Transfers                      |                   |                        |                        |                                |          |
| Non-Mandatory Transfers                  | 24,074,528        | 5,595,856              | 2,020,600              | (3,575,256)                    | (63.9) % |
| Total Expenditures & Transfers           | \$ 166,260,025    | \$ 169,989,826         | \$ 177,979,428         | \$ 7,989,602                   | 4.7 %    |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 815,843        | \$ (665,515)           | \$ 513,540             |                                |          |

# Institute for Public Service Total

## FY 2022-23 Proposed Budget

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|  | FY 2021<br>Actual    | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed |               |
|--|----------------------|------------------------|------------------------|--------------------------------|---------------|
|  |                      |                        |                        | Amount                         | %             |
| <b>EDUCATIONAL AND GENERAL</b>           |                      |                        |                        |                                |               |
| <b>Revenues</b>                          |                      |                        |                        |                                |               |
| Tuition & Fees                           |                      |                        |                        |                                |               |
| State Appropriations                     | \$ 13,979,686        | \$ 15,012,587          | \$ 15,770,087          | \$ 757,500                     | 5.0 %         |
| Grants & Contracts                       | 805,490              | 995,379                | 554,615                | (440,764)                      | (44.3) %      |
| Sales & Service                          |                      |                        |                        |                                |               |
| Other Sources                            | 11,431,308           | 12,159,877             | 12,904,769             | 744,892                        | 6.1 %         |
| Total Revenues                           | <u>\$ 26,216,483</u> | <u>\$ 28,167,843</u>   | <u>\$ 29,229,471</u>   | <u>\$ 1,061,628</u>            | <u>3.8 %</u>  |
| <b>Expenditures and Transfers</b>        |                      |                        |                        |                                |               |
| Instruction                              |                      |                        |                        |                                |               |
| Research                                 |                      |                        |                        |                                |               |
| Public Service                           | \$ 21,683,776        | \$ 23,808,497          | \$ 26,263,907          | \$ 2,455,410                   | 10.3 %        |
| Academic Support                         | 257,050              | 265,395                | 261,378                | (4,017)                        | (1.5) %       |
| Student Services                         |                      |                        |                        |                                |               |
| Institutional Support                    | 651,898              | 546,987                | 786,859                | 239,872                        | 43.9 %        |
| Operation & Maintenance of Plant         |                      |                        |                        |                                |               |
| Scholarships & Fellowships               |                      |                        |                        |                                |               |
| Subtotal Expenditures                    | <u>\$ 22,592,724</u> | <u>\$ 24,620,879</u>   | <u>\$ 27,312,144</u>   | <u>\$ 2,691,265</u>            | <u>10.9 %</u> |
| Mandatory Transfers                      |                      |                        |                        |                                |               |
| Non-Mandatory Transfers                  | 3,541,099            | 3,497,044              | 1,982,825              | (1,514,219)                    | (43.3) %      |
| Total Expenditures & Transfers           | <u>\$ 26,133,823</u> | <u>\$ 28,117,923</u>   | <u>\$ 29,294,969</u>   | <u>\$ 1,177,046</u>            | <u>4.2 %</u>  |
| <b>Fund Balance Addition/(Reduction)</b> | <u>\$ 82,660</u>     | <u>\$ 49,920</u>       | <u>\$ (65,498)</u>     |                                |               |

# System Administration

## FY 2022-23 Proposed Budget

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

|  | FY 2021<br>Actual | FY 2021-22<br>Probable | FY 2022-23<br>Proposed | Change<br>Probable to Proposed |           |
|--|-------------------|------------------------|------------------------|--------------------------------|-----------|
|  |                   |                        |                        | Amount                         | %         |
| <b>EDUCATIONAL AND GENERAL</b>           |                   |                        |                        |                                |           |
| <b>Revenues</b>                          |                   |                        |                        |                                |           |
| Tuition & Fees                           |                   |                        |                        |                                |           |
| State Appropriations                     | \$ 6,180,617      | \$ 14,348,417          | \$ 11,955,417          | \$ (2,393,000)                 | (16.7) %  |
| Grants & Contracts                       |                   |                        |                        |                                |           |
| Sales & Service                          |                   |                        |                        |                                |           |
| Other Sources                            | 26,644,563        | 21,912,492             | 23,821,500             | 1,909,008                      | 8.7 %     |
| Total Revenues                           | \$ 32,825,180     | \$ 36,260,909          | \$ 35,776,917          | \$ (483,992)                   | (1.3) %   |
| <b>Expenditures and Transfers</b>        |                   |                        |                        |                                |           |
| Instruction                              |                   |                        |                        |                                |           |
| Research                                 |                   |                        |                        |                                |           |
| Public Service                           |                   |                        |                        |                                |           |
| Academic Support                         |                   |                        |                        |                                |           |
| Student Services                         |                   |                        |                        |                                |           |
| Institutional Support                    | \$ 56,881,613     | \$ 62,396,100          | \$ 69,324,732          | \$ 6,928,632                   | 11.1 %    |
| Operation & Maintenance of Plant         | 269,738           | 1,758,935              | 600,000                | (1,158,935)                    | (65.9) %  |
| Scholarships & Fellowships               |                   |                        |                        |                                |           |
| Subtotal Expenditures                    | \$ 57,151,351     | \$ 64,155,035          | \$ 69,924,732          | \$ 5,769,697                   | 9.0 %     |
| Mandatory Transfers                      | 110,739           | 112,693                | 116,601                | 3,908                          | 3.5 %     |
| Non-Mandatory Transfers                  | (27,818,191)      | (10,100,416)           | (32,499,062)           | (22,398,646)                   | (221.8) % |
| Total Expenditures & Transfers           | \$ 29,443,899     | \$ 54,167,312          | \$ 37,542,271          | \$ (16,625,041)                | (30.7) %  |
| <b>Fund Balance Addition/(Reduction)</b> | \$ 3,381,281      | \$ (17,906,403)        | \$ (1,765,354)         |                                |           |

## The University of Tennessee Proposed 2022-23 Tuition and Fees

The proposed budget includes revenues and expenses related to tuition and fee adjustments recommended by UT campuses for the 2022-23 academic year. These recommendations were developed in accordance with Tennessee Higher Education Commission (THEC) guidelines and the university's Policy on Approval of Student Fees (BT0016). They are an integral component of the 2022-23 proposed operating budget. Major recommendations include:

- No increases to tuition and mandatory fees.
- Increased differential tuition for UTK's Tickle College of Engineering supporting state-of-the-art facilities, equipment experiential education, software development into the curriculum, and enhanced student advising.
- UTK professional and executive program fee adjustments to support the creation of new programs and enhance existing programs.
- Adjustments to auxiliary rates to cover cost increases in housing, food services, and parking. UTC is restructuring its meal plan options.
- No fee changes of any kind at UT Southern.

The following includes revenue projections, descriptions of how additional revenue is planned to be used, and detailed schedules of tuition and fee levels proposed for each campus.

| Summary of Net Revenue Changes and Allocations                              | Net Change*         |
|---|---------------------|
| Chattanooga   | \$ 1,348,900        |
| Knoxville   | 5,786,700           |
| Martin  | 259,900             |
| Health Science Center   | 178,500             |
| <b>Allocations</b>  |                     |
| Academic programs and instruction   | \$ 5,714,600        |
| Auxiliary operating inflation and salary adjustments                        | 1,646,600           |
| Student health insurance, orientation programs, graduate student recruiting | 212,800             |
| <b>Total</b>  | <b>\$ 7,574,000</b> |

\*Does not include revenue changes that may result from other factors, such as changes in enrollment.

## UT Chattanooga

### Proposed 2022-23 Tuition and Fees

UT Chattanooga proposes no change to total tuition and mandatory fees but requests a zero-sum adjustment to two mandatory fees. The campus recommends increasing housing rates by an average of 3% and restructuring its offering of meal plans. There will be minor adjustments to lab fees for a few courses.

| Summary   | New Revenue         |
|---|---------------------|
| Proposed for Approval by the Board of Trustees                      | \$ 1,145,900        |
| Approved by the President   | 158,000             |
| Approved by the Chancellor  | 45,000              |
| <b>Proposed Allocations</b>   |                     |
| Residence hall, food service, and parking operations                | 1,190,900           |
| Increased support and equipment for instruction and course delivery | 158,000             |
| <b>TOTAL</b>  | <b>\$ 1,348,900</b> |

| Proposed Changes                          | In-State |      | Out-of-State |      | Revenue   |
|---|----------|------|--------------|------|-----------|
| Housing (average percentage increase)     | Varies   | 3.0% | Varies       | 3.0% | 1,145,900 |
| Lab Fee Increases                         | \$ 25    | 100% | 25           | 100% | 153,300   |
| English as Second Language (ESL)          | Varies   | 3%   | Varies       | 3%   | 2,200     |
| Extend existing lab fees to other courses | -        | -    | -            | -    | 2,500     |
| Parking (average percentage increase)     | Varies   | 3.0% | Varies       | 3.0% | 45,000    |

#### Proposed for Approval by the Board of Trustees

- Student Program Service Fee (SPSF) – This fee is comprised of the student activity fee, debt service fee and health services fee. UTC recommends increasing the debt service fee from \$336 to \$408 to support its multiyear funding plan for capital projects. The student activity fee would drop from \$240 to \$168; the total SPSF would remain \$696.

## UT Chattanooga Proposed 2022-23 Tuition and Fees

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- Auxiliary Enterprises – Prices vary for on-campus housing options, meal plans and parking decals. Lists of all prices are included in the detailed schedules at the end of this document.
  - Housing – The increase in rental rates is 3.0%. It will affect both north and south campus residence hall rates. This is necessary to cover increased operating expenses such as utilities, repairs, and general maintenance.
  - Food Service – UTC is contractually bound with Aramark to adjust meal plans to assist in offsetting operational increases over the life of the contract. The proposed price changes support a restructure of UTC meal plans (retiring five plans, adjusting four, and creating three). This will streamline student access and use of facilities. The fiscal impact is difficult to determine; the university does not anticipate an increase in revenue based on guaranteed commissions per the contract. Operating cost increases include the rising costs for food and compensation adjustments for employees. The cost for the meal plan anticipated to be most used will decrease 3.9%; prices for the new meal plans are within 3% of the retired plans.

### Approved by the President

- Extend Existing Course/Lab Fees to Additional Courses – Each of the following programs has course/lab fees in place for those courses that require supplemental funding for program specific specialized instruction, field-based instruction and costs for any equipment or materials needed. UT Chattanooga is extending these fees to the following courses: Biology (BIOL 4480); Chemistry (CHEM 4410); Geology (GEOL 4450, 5080, 5450); Early Childhood Education (ECHD 4445 3600).
- Lab Fee Increases – The departments of Biology & Geology, Chemistry & Physics, and Anthropology will increase lab fees from a flat \$25 fee per each lab course to \$50 per lab course. This increase will fund academic support in curricular expansions, updating equipment, maintenance of equipment and purchasing of reagents. Comparable lab fees at other universities ranged from \$30 to \$200. Estimated revenue generated: Biology - \$79,000; Geology - \$17,000; Chemistry & Physics - \$56,000; Anthropology - \$1,300.

### Approved by the Chancellor

- Parking Decals – The Chancellor has approved increasing parking decal rates by an average of 3.0%. This will generate \$45,000 to cover increased costs for lot maintenance and general operating needs and to build reserves for long-term maintenance.



## UT Knoxville

### Proposed 2022-23 Tuition and Fees

UT Knoxville recommends no change for tuition in 2022-23, eliminating one course fee, increasing differential tuition for the Tickle College of Engineering, increasing two and adding three new professional and executive program fees, and increasing auxiliary dining fees. The net gain in revenue is projected to be \$5,786,700.

| <b>SUMMARY</b>   | <b>New Revenue</b>  |
|--|---------------------|
| Proposed for Approval by the Board of Trustees                         | \$ 5,787,700        |
| Approved by the President  | -1,000              |
| <b>Proposed Allocations</b>  |                     |
| Enhancements to engineering program                                    | \$ 3,721,700        |
| Professional/executive program cost increases and program enhancements | 1,841,000           |
| Food service operating inflation and salary adjustments                | 225,000             |
| Decreased program costs (Agric. Leadership, Educ. & Communications)    | -1,000              |
| <b>TOTAL</b>   | <b>\$ 5,786,700</b> |

| <b>Proposed Changes</b>  | <b>In-State</b> |       | <b>Out-of-State</b> |       | <b>Revenue</b> |
|--|-----------------|-------|---------------------|-------|----------------|
| Tickle College of Engineering Differential Tuition             | \$50            | 77%   | \$50                | 77%   | 3,721,700      |
| Master of Science in Marketing Face-to-Face Program Fee        | \$7,500         | New   | \$7,500             | New   | 60,000         |
| Master of Science in Marketing Online Program Fee              | \$32,000        | New   | \$32,000            | New   | 192,000        |
| Master of Business Administration Online Program Fee           | \$54,000        | New   | \$54,000            | New   | 1,350,000      |
| Healthcare Leadership Executive MBA                            | \$5,000         | 7.7%  | \$5,000             | 7.7%  | 95,000         |
| Physician Executive MBA  | \$3,000         | 3.9%  | \$3,000             | 3.9%  | 144,000        |
| Dining Services<br>(average percentage increase)               | varies          | 6.0%  | varies              | 6.0%  | 225,000        |
| ALEC 345: Program Planning in Agriscience Education course fee | (\$40)          | -100% | (\$40)              | -100% | -1,000         |

## UT Knoxville

### Proposed 2022-23 Tuition and Fees

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#### Proposed for Approval by the Board of Trustees

- Tickle College of Engineering Differential Tuition – Differential tuition for students in the Tickle College of Engineering (TCE) has not been increased (except for automatic increases tied to the general increase in tuition) since 2011-12. During this decade, the teaching of engineering has undergone transformational change. That change has resulted in increased costs and increased competition from engineering schools as those competitors build facilities and practices that incorporate state-of-the art labs and software development into the curriculum. TCE proposes an increase from \$65/sch to \$115/sch. The increase is necessary to (1) provide state-of-the-art facilities to prepare students for cutting-edge fields such as advanced manufacturing and artificial intelligence; (2) ensure hands-on, experiential education throughout the curriculum; (3) ensure that all engineering majors get the advising and coaching they need to succeed in their degree programs; and (4) recruit Tennessee students to UT rather than lose them to other top engineering programs.
- Master of Science in Marketing Face-to-Face Program Fee – The revenue from this new fee (\$60,000) will be used to fund a variety of efforts intended to enhance the student experience, further their development as marketing professionals, and maximize their employment outcomes. The program fee will help fund, but not be limited to, a marketing distinguished speaker series, career support services, faculty technology and development, ongoing curriculum innovation, experiential learning opportunities, a student-industry mentor program, professional certification assistance, welcome and graduation ceremonies, recruiting activities, and support programs that benefit students outside the classroom. The MS Marketing Face-to-Face program was approved in February 2022 with a start date of Fall Semester 2022.
- Master of Science in Marketing Online Program Fee – The revenue from this new fee (\$192,000) will primarily be used to pay for, but not be limited to, faculty costs, online program management services (e.g., technology integration), support services for student success (e.g., enhanced career planning and development, advising and career management), and investments in the student experience (e.g., experiential learning opportunities). The fee also covers the cost of the university In-State Maintenance Fee, Library Fee and E Learning Fee. The MS Marketing - Online program was approved in February 2022 with a start date of Spring Semester 2023.

## UT Knoxville

### Proposed 2022-23 Tuition and Fees

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- Master of Business Administration - Online Program Fee – The revenue from this new fee (\$1,350,000) will primarily be used to pay for, but not limited to, faculty costs, online program management services (e.g. marketing, recruiting, instructional design, student services, technology integration) and support services for student success (e.g., enhanced career planning and development, advising and career management). The fee covers the cost of the university In-State Maintenance Fee, Library Fee and E Learning Fee. The MBA-Online program is currently awaiting approval from the Board of Trustees & THEC with a start date of Spring Semester 2023.
- Healthcare Leadership Executive MBA Program Fee – The revenue from this increase (\$95,000) will primarily be used, but not limited to, for funding a variety of efforts intended to enhance the student experience. The program fee will help fund a speaker series, career support services, faculty technology and development, ongoing curriculum innovation, graduation ceremonies, and recruiting activities. The requested increase would be for the 2023 class, which begins January 2023.
- Physician Executive MBA Program Fee – The revenue from this increase (\$144,000) will primarily be used, but not limited to, for funding a variety of efforts intended to enhance the student experience. The program fee will help fund a speaker series, career support services, faculty technology and development, ongoing curriculum innovation, graduation ceremonies, and recruiting activities. The requested increase would be for the 2023 class, which begins January 2023.
- Dining Services – The proposed average rate increase for all meal plans, except for the flex plan and Dining Dollar plus \$1,000, is 6.0%. The increase in rates will provide \$225,000 to help cover inflationary costs and salary adjustments for employees.

#### Approved by the President

- ALEC 345: Program Planning in Agriscience Education – Knoxville is eliminating this \$40/sch course fee that was originally introduced when the Department of Agricultural Leadership, Education & Communications (ALEC) needed to incorporate edTPA (a teacher preparation program assessment and support system) into the course content. The course has evolved to no longer include the activities that created the fee.

## UT Martin

### Proposed 2022-23 Tuition and Fees

UT Martin is proposing changes to auxiliary enterprise fees. The table below summarizes the projected revenue changes expected to result from the proposed tuition and fee changes. It is followed by an explanation of each proposed change and detailed schedules of all tuition and fee levels proposed for 2022-23.

| SUMMARY  | New Revenue       |
|--|-------------------|
| Proposed for Approval by the Board of Trustees                           | \$ 230,700        |
| Approved by the Chancellor   | 29,200            |
| <b>Proposed Allocations</b>  |                   |
| Increased auxiliary operating costs & additional food offerings          | \$ 230,700        |
| Increased costs of hosting orientation events, including materials costs | 25,000            |
| Add a Graduate Assistant to support graduate student recruiting          | 4,200             |
| <b>TOTAL</b>   | <b>\$ 259,900</b> |

| Proposed Changes   | In-State |      | Out-of-State |      | Revenue |
|--|----------|------|--------------|------|---------|
| Housing (average percentage increase)                      | varies   | 4.0% | varies       | 4.0% | 174,000 |
| Food Services (average percentage increase)                | varies   | 5.7% | varies       | 5.7% | 56,700  |
| Summer Orientation and Registration (SOAR) participant fee | \$10     | 18%  | \$10         | 18%  | 25,000  |
| SOAR guest fee   | \$10     | 40%  | \$10         | 40%  |         |
| Graduate student application fee                           | \$10     | 33%  | \$10         | 33%  | 4,200   |

#### Proposed for Approval by the Board of Trustees

- Auxiliary Enterprises – There are different prices for a variety of on-campus housing and meal plan options. Martin is proposing average increases of 4.0% for residence hall rentals and 5.7% for dining plans. The projected revenue gains of \$230,700 will be used to cover increased costs in housing and food service operations and improve the diversity of food offerings. Detailed schedules of all proposed fees can be found in the schedules that follow this section.

## UT Martin

### Proposed 2022-23 Tuition and Fees

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#### Approved by the President

- The Education Preparedness Program (EPP) student fee was originally established to cover a cost increase of specific assessment methods required for state licensure. The President has approved expanding the use of these fee revenues to offset any costs related to licensure (the amount of the fee will remain unchanged). This will allow UTM to use EPP fee revenues to establish of “clinical classrooms” within the EPP programs. Education licensure programs within the EPP will be invited to collaborate with our primary and state partnership school districts to design and implement a clinical classroom on-campus giving all EPP students an opportunity to experience “real world” aspects of teaching prior to clinical experiences in the public-school setting. Each EPP program interested in creating this type of classroom will be required to submit a descriptive proposal for the classroom content and resources needed which will be reviewed by the EPP Committee. Through this innovative use of the fee funds, all EPP students will be afforded the rich experience by having this type of classroom facility on-campus and utilized within the EPP on a daily basis. This collaboration also provides the ability for faculty and students to remain engaged with state mandated LEA partners, all of which will strengthen each candidate’s knowledge and skills within their content area as the EPP seeks CAEP/State reaccreditation within the next three years.

#### Approved by the Chancellor

- SOAR Fee – Increasing fees for Summer Orientation and Registration (SOAR) will offset increased costs for cost of hosting the events and increase in material costs to put on the events. The increases are from \$55 for students to \$65 and from \$25 for guests to \$35.
- Graduate Application Fee – The graduate program application fee will change from \$30 to \$40 due to the increased cost of the GRAD CAS and the Business CAS and to allow for funding for a Graduate Assistant position in the Office of Graduate Studies. The Graduate Assistant will assist with graduate recruiting and office support for the new Slate Customer Relationship Management (CRM) system.

## UT Health Science Center Proposed 2022-23 Tuition and Fees

Most Health Science Center tuition and fee levels for 2022-23 were approved by the Board on February 25, 2022. Some additional changes are being proposed for the June meeting for items related to costs that were not known at that time.

| <b>SUMMARY</b>  | <b>New Revenue</b> |
|---|--------------------|
| Approved by the President                                 | \$ 15,000          |
| Approved by the Chancellor                                | 163,500            |
| <b>Proposed Allocations</b>                               |                    |
| Increase DNP Nursing program material costs               | \$ 15,000          |
| Budget reallocations to offset eliminating microscope fee | (20,100)           |
| Increase in student health insurance costs                | 183,600            |
| <b>TOTAL</b>  | <b>\$ 178,500</b>  |

| <b>Proposed Changes</b>       | <b>In-State</b> |       | <b>Out-of-State</b> |       | <b>Revenue</b> |
|-------------------------------|-----------------|-------|---------------------|-------|----------------|
| Nursing Digital Materials Fee | \$75            | 48%   | \$75                | 48%   | \$ 15,000      |
| Microscope Fee                | \$52.50         | -100% | \$52.50             | -100% | (20,100)       |
| Student Health Insurance      | \$153           | 4.1%  | \$153               | 4.1%  | 183,600        |

### Approved by the President

- DNP – Digital Materials Fee – The College of Nursing provides materials needed by DNP students to complete their course work. The materials are being updated for next year and the cost has increased. The fee would increase from \$155 to \$230. The amount of revenue that will be generated to cover the increased costs of the kits will be \$15,000. Assessing it as a student fee enables students to use financial aid to cover this cost.

### Approved by the Chancellor

- Eliminate Microscope Fee – Currently, students in the Colleges of Medicine and Health Professions pay a microscope fee. Due to a limited number of students needing to pay the fee, UTHSC will manage the expenses of microscopes as a central budget reallocation and no longer charge the fee.

## **UT Health Science Center**

### **Proposed 2022-23 Tuition and Fees**

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- Student Health Insurance – UTHSC requires all students to carry health insurance. If a student does not have access to health insurance, this policy is available to them. The university health insurance policy includes coverage for injury and sickness, including inpatient, outpatient, prescription, and wellness provisions. The plan also includes accidental death and dismemberment coverage. This fee increase, the lowest percentage increase in many years, is due to a modest rise in the premiums under a new contract. The fee will increase from \$3,734 to \$3,887, generating \$183,600 to cover the higher costs.

# Chattanooga

## FY 2022-23 Annual Tuition and Fees Fall and Spring Semesters Summary

|  | FY 2021-22 | FY 2022-23 | CHANGE |         |
|--|------------|------------|--------|---------|
|  |            |            | Amount | Percent |
| TOTAL TUITION AND MANDATORY FEES                               |            |            |        |         |
| <u>Undergraduate Students</u>                                  |            |            |        |         |
| New Students (Soar in Four)                                    |            |            |        |         |
| In-State   | \$ 9,848   | \$ 9,848   |        |         |
| In-State: Online Learning and Distance                         | 9,646      | 9,646      |        |         |
| Non-Residents: Online Learning and Distance                    | 10,270     | 10,270     |        |         |
| Non-Residents: TN Bordering States                             | 17,912     | 17,912     |        |         |
| Non-Residents: TN Bordering States (Regional Tuition Discount) | 13,880     | 13,880     |        |         |
| Non-Residents: All Other States                                | 25,966     | 25,966     |        |         |
| Students Admitted Prior to Fall 2019                           |            |            |        |         |
| In-State   | \$ 9,056   | \$ 9,056   |        |         |
| In-State: Online Learning and Distance                         | 8,854      | 8,854      |        |         |
| Non-Residents: Online Learning and Distance                    | 9,478      | 9,478      |        |         |
| Non-Residents: TN Bordering States                             | 17,120     | 17,120     |        |         |
| Non-Residents: TN Bordering States (Regional Tuition Discount) | 13,088     | 13,088     |        |         |
| Non-Residents: All Other States                                | 25,174     | 25,174     |        |         |
| <u>Graduate Students</u>                                       |            |            |        |         |
| In-State   | \$ 10,474  | \$ 10,474  |        |         |
| In-State: Online Learning and Distance                         | 9,936      | 9,936      |        |         |
| Non-Residents: Online Learning and Distance                    | 10,782     | 10,782     |        |         |
| Non-Residents: TN Bordering States                             | 18,538     | 18,538     |        |         |
| Non-Residents: TN Bordering States (Regional Tuition Discount) | 14,506     | 14,506     |        |         |
| Non-Residents: All Other States                                | 18,538     | 18,538     |        |         |
| International Students   | 26,538     | 26,538     |        |         |

The 'Soar in Four' rate is assessed to students admitted as of the Fall 2019 semester and any semester after. All students who were previously admitted during prior semesters will be assessed the 'Students Admitted Prior' rate. The 'Soar in Four' initiative is a new tuition model intended to encourage students to enroll in 15 or more credit hours per semester in order to graduate in four years. Although it encourages students to enroll for more hours each term, the 'Soar in Four' rate will be assessed to students registered for 12 or more credit hours in a term. By FY 2023-24, the 'Soar in Four' rate will be applicable to all students.

Out of state students from Catoosa, Dade, Fannin, Murray, Walker and Whitfield counties in North Georgia and Jackson county in Alabama may qualify to receive a Regional Tuition Discount to their "Non-Resident Tuition". Students receive a 50% discount credit of the "Non-Resident Tuition" to their account. The amounts above are shown net of this discount.

The 'TN Bordering State' rate is a newly approved 'Non-Resident Tuition' rate that began Fall 2020. All out-of-state students from a Tennessee bordering state (Alabama, Arkansas, Georgia, Kentucky, Mississippi, Missouri, North Carolina, South Carolina or Virginia) will be assessed a new 'Non-Resident Tuition' rate of \$8,064.

The Online Learning and Distance fee schedule will apply to students enrolled in a THEC approved online program or degree.

The schedule above does not include differential fees assessed at \$59 per credit hour for College of Business, College of Engineering and Computer Science, and Doctorate programs for Physical Therapy and Occupational Therapy courses; as well as, differential fees assessed at \$102 per credit hour for School of Nursing courses. The total amount paid depends on the number of credit hours taken.

The schedule above does not include online access fee assessed at \$56 per credit hour. All students enrolled in an on-campus program or a degree designated as on-campus and choose to register for an online course offering will be assessed from the regular fee schedule, in addition to being assessed the online support fee based on the appropriate per hour basis.

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**



## Chattanooga

### FY 2022-23 Annual Tuition and Fees Fall and Spring Semesters

|                                      | FY 2021-22       | FY 2022-23       | CHANGE |         |
|--------------------------------------|------------------|------------------|--------|---------|
|                                      |                  |                  | Amount | Percent |
| IN-STATE                             |                  |                  |        |         |
| <u>Undergraduate</u>                 |                  |                  |        |         |
| New Students (Soar in Four)          |                  |                  |        |         |
| Maintenance Fee                      | \$ 7,992         | \$ 7,992         |        |         |
| Mandatory Fees                       | 1,856            | 1,856            |        |         |
| Total Tuition and Fees               | <u>\$ 9,848</u>  | <u>\$ 9,848</u>  |        |         |
| Students Admitted Prior to Fall 2019 |                  |                  |        |         |
| Maintenance Fee                      | \$ 7,200         | \$ 7,200         |        |         |
| Mandatory Fees                       | 1,856            | 1,856            |        |         |
| Total Tuition and Fees               | <u>\$ 9,056</u>  | <u>\$ 9,056</u>  |        |         |
| <u>Graduate</u>                      |                  |                  |        |         |
| Maintenance Fee                      | \$ 8,618         | \$ 8,618         |        |         |
| Mandatory Fees                       | 1,856            | 1,856            |        |         |
| Total Tuition and Fees               | <u>\$ 10,474</u> | <u>\$ 10,474</u> |        |         |
| OUT-OF-STATE                         |                  |                  |        |         |
| <u>Undergraduate</u>                 |                  |                  |        |         |
| New Students (Soar in Four)          |                  |                  |        |         |
| Maintenance Fee                      | \$ 7,992         | \$ 7,992         |        |         |
| Non-Resident Tuition                 | 16,118           | 16,118           |        |         |
| Total Out-of-State Tuition           | <u>24,110</u>    | <u>24,110</u>    |        |         |
| Mandatory Fees                       | 1,856            | 1,856            |        |         |
| Total Out-of-State Tuition and Fees  | <u>\$ 25,966</u> | <u>\$ 25,966</u> |        |         |
| Students Admitted Prior to Fall 2019 |                  |                  |        |         |
| Maintenance Fee                      | \$ 7,200         | \$ 7,200         |        |         |
| Non-Resident Tuition                 | 16,118           | 16,118           |        |         |
| Total Out-of-State Tuition           | <u>23,318</u>    | <u>23,318</u>    |        |         |
| Mandatory Fees                       | 1,856            | 1,856            |        |         |
| Total Out-of-State Tuition and Fees  | <u>\$ 25,174</u> | <u>\$ 25,174</u> |        |         |
| <u>Graduate</u>                      |                  |                  |        |         |
| Maintenance Fee                      | \$ 8,618         | \$ 8,618         |        |         |
| Non-Resident Tuition                 | 8,064            | 8,064            |        |         |
| Total Out-of-State Tuition           | <u>16,682</u>    | <u>16,682</u>    |        |         |
| Mandatory Fees                       | 1,856            | 1,856            |        |         |
| Total Out-of-State Tuition and Fees  | <u>\$ 18,538</u> | <u>\$ 18,538</u> |        |         |
| <u>Graduate (International)</u>      |                  |                  |        |         |
| Maintenance Fee                      | \$ 8,618         | \$ 8,618         |        |         |
| Non-Resident Tuition                 | 16,064           | 16,064           |        |         |
| Total Out-of-State Tuition           | <u>24,682</u>    | <u>24,682</u>    |        |         |
| Mandatory Fees                       | 1,856            | 1,856            |        |         |
| Total Out-of-State Tuition and Fees  | <u>\$ 26,538</u> | <u>\$ 26,538</u> |        |         |

*In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus non-resident tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.*

*The 'Soar in Four' rate is assessed to students admitted as of the Fall 2019 semester and any semester after. All students who were previously admitted during prior semesters will be assessed the 'Students Admitted Prior' rate. The 'Soar in Four' initiative is a new tuition model intended to encourage students to enroll in 15 or more credit hours per semester in order to graduate in four years. Although it encourages students to enroll for more hours each term, the 'Soar in Four' rate will be assessed to students registered for 12 or more credit hours in a term. By FY 2023-24, the 'Soar in Four' rate will be applicable to all students.*

*The 'TN Bordering State' rate is a newly approved 'Non-Resident Tuition' rate that began Fall 2020. All out-of-state students from a Tennessee bordering state (Alabama, Arkansas, Georgia, Kentucky, Mississippi, Missouri, North Carolina, South Carolina or Virginia) will be assessed a new 'Non-Resident Tuition' rate of \$8,064.*

*Out of state students from Catoosa, Dade, Fannin, Murray, Walker and Whitfield counties in North Georgia and Jackson county in Alabama may qualify to receive a Regional Tuition Discount to their "Non-Resident Tuition". Students receive a 50% discount credit of the "Non-Resident Tuition" to their account.*

*The schedule above does not include differential fees assessed at \$59 per credit hour for College of Business, College of Engineering and Computer Science, and Doctorate programs for Physical Therapy and Occupational Therapy courses; as well as, differential fees assessed at \$102 per credit hour for School of Nursing courses. The total amount paid depends on the number of credit hours taken.*

*The schedule above does not include online access fee assessed at \$56 per credit hour. All students enrolled in an on-campus program or a degree designated as on-campus and choose to register for an online course offering will be assessed from the regular fee schedule, in addition to being assessed the online support fee based on the appropriate per hour basis.*

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

# Chattanooga

## FY 2022-23 Annual Tuition and Fees Fall and Spring Semesters Tennessee Bordering States and South Carolina

|   | FY 2021-22 | FY 2022-23 | CHANGE |         |
|---|------------|------------|--------|---------|
|   |            |            | Amount | Percent |
| TN BORDERING STATE (Plus S.C.)                                      |            |            |        |         |
| Undergraduate   |            |            |        |         |
| New Students (Soar in Four)   |            |            |        |         |
| Maintenance Fee   | \$ 7,992   | \$ 7,992   |        |         |
| Non-Resident Tuition  | 8,064      | 8,064      |        |         |
| Total Out-of-State Tuition  | 16,056     | 16,056     |        |         |
| Mandatory Fees  | 1,856      | 1,856      |        |         |
| Total Out-of-State Tuition and Fees                                 | \$ 17,912  | \$ 17,912  |        |         |
| Students Admitted Prior to Fall 2019                                |            |            |        |         |
| Maintenance Fee   | \$ 7,200   | \$ 7,200   |        |         |
| Non-Resident Tuition  | 8,064      | 8,064      |        |         |
| Total Out-of-State Tuition  | 15,264     | 15,264     |        |         |
| Mandatory Fees  | 1,856      | 1,856      |        |         |
| Total Out-of-State Tuition and Fees                                 | \$ 17,120  | \$ 17,120  |        |         |
| Graduate  |            |            |        |         |
| Maintenance Fee   | \$ 8,618   | \$ 8,618   |        |         |
| Non-Resident Tuition  | 8,064      | 8,064      |        |         |
| Total Out-of-State Tuition  | 16,682     | 16,682     |        |         |
| Mandatory Fees  | 1,856      | 1,856      |        |         |
| Total Out-of-State Tuition and Fees                                 | \$ 18,538  | \$ 18,538  |        |         |
| GEORGIA AND ALABAMA COUNTIES ELIGIBLE FOR REGIONAL TUITION DISCOUNT |            |            |        |         |
| Undergraduate   |            |            |        |         |
| New Students (Soar in Four)   |            |            |        |         |
| Maintenance Fee   | \$ 7,992   | \$ 7,992   |        |         |
| Non-Resident Tuition  | 8,064      | 8,064      |        |         |
| Regional Tuition Discount   | (4,032)    | (4,032)    |        |         |
| Total Out-of-State Tuition  | 12,024     | 12,024     |        |         |
| Mandatory Fees  | 1,856      | 1,856      |        |         |
| Total Out-of-State Tuition and Fees                                 | \$ 13,880  | \$ 13,880  |        |         |
| Students Admitted Prior to Fall 2019                                |            |            |        |         |
| Maintenance Fee   | \$ 7,200   | \$ 7,200   |        |         |
| Non-Resident Tuition  | 8,064      | 8,064      |        |         |
| Regional Tuition Discount   | (4,032)    | (4,032)    |        |         |
| Total Out-of-State Tuition  | 11,232     | 11,232     |        |         |
| Mandatory Fees  | 1,856      | 1,856      |        |         |
| Total Out-of-State Tuition and Fees                                 | \$ 13,088  | \$ 13,088  |        |         |
| Graduate  |            |            |        |         |
| Maintenance Fee   | \$ 8,618   | \$ 8,618   |        |         |
| Non-Resident Tuition  | 8,064      | 8,064      |        |         |
| Regional Tuition Discount   | (4,032)    | (4,032)    |        |         |
| Total Out-of-State Tuition  | 12,650     | 12,650     |        |         |
| Mandatory Fees  | 1,856      | 1,856      |        |         |
| Total Out-of-State Tuition and Fees                                 | \$ 14,506  | \$ 14,506  |        |         |

In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus non-resident tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

The 'Soar in Four' rate is assessed to students admitted as of the Fall 2019 semester and any semester after. All students who were previously admitted during prior semesters will be assessed the 'Students Admitted Prior' rate. The 'Soar in Four' initiative is a new tuition model intended to encourage students to enroll in 15 or more credit hours per semester in order to graduate in four years. Although it encourages students to enroll for more hours each term, the 'Soar in Four' rate will be assessed to students registered for 12 or more credit hours in a term. By FY 2023-24, the 'Soar in Four' rate will be applicable to all students.

The 'TN Bordering State' rate is a new approved 'Non-Resident Tuition' rate beginning Fall 2020. All out-of-state students from a Tennessee bordering state (Alabama, Arkansas, Georgia, Kentucky, Mississippi, Missouri, North Carolina, South Carolina or Virginia) will be assessed a new 'Non-Resident Tuition' rate of \$8,064.

Out of state students from Catoosa, Dade, Fannin, Murray, Walker and Whitfield counties in North Georgia and Jackson county in Alabama may qualify to receive a Regional Tuition Discount to their "Non-Resident Tuition". Students receive a 50% discount credit to their account amounting to \$4,032 for full-time students.

The schedule above does not include differential fees assessed at \$59 per credit hour for College of Business, College of Engineering and Computer Science, and Doctorate programs for Physical Therapy and Occupational Therapy courses; as well as, differential fees assessed at \$102 per credit hour for School of Nursing courses. The total amount paid depends on the number of credit hours taken.

The schedule above does not include online access fee assessed at \$56 per credit hour. All students enrolled in an on-campus program or a degree designated as on-campus and choose to register for an online course offering will be assessed from the regular fee schedule, in addition to being assessed the online support fee based on the appropriate per hour basis.

University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.

# Chattanooga

## FY 2022-23 Annual Tuition and Fees Online Learning and Distance Programs

|                                       | FY 2021-22       | FY 2022-23       | CHANGE |         |
|---------------------------------------|------------------|------------------|--------|---------|
|                                       |                  |                  | Amount | Percent |
| ONLINE LEARNING AND DISTANCE PROGRAMS |                  |                  |        |         |
| IN-STATE                              |                  |                  |        |         |
| <u>Undergraduate</u>                  |                  |                  |        |         |
| New Students (Soar in Four)           |                  |                  |        |         |
| Maintenance Fee                       | \$ 7,992         | \$ 7,992         |        |         |
| Mandatory Fees                        | 310              | 310              |        |         |
| Online Support Fee                    | 1,344            | 1,344            |        |         |
| Total Tuition and Fees                | <u>\$ 9,646</u>  | <u>\$ 9,646</u>  |        |         |
| Students Admitted Prior to Fall 2019  |                  |                  |        |         |
| Maintenance Fee                       | \$ 7,200         | \$ 7,200         |        |         |
| Mandatory Fees                        | 310              | 310              |        |         |
| Online Support Fee                    | 1,344            | 1,344            |        |         |
| Total Tuition and Fees                | <u>\$ 8,854</u>  | <u>\$ 8,854</u>  |        |         |
| <u>Graduate</u>                       |                  |                  |        |         |
| Maintenance Fee                       | \$ 8,618         | \$ 8,618         |        |         |
| Mandatory Fees                        | 310              | 310              |        |         |
| Online Support Fee                    | 1,008            | 1,008            |        |         |
| Total Tuition and Fees                | <u>\$ 9,936</u>  | <u>\$ 9,936</u>  |        |         |
| OUT-OF-STATE                          |                  |                  |        |         |
| <u>Undergraduate</u>                  |                  |                  |        |         |
| New Students (Soar in Four)           |                  |                  |        |         |
| Maintenance Fee                       | \$ 7,992         | \$ 7,992         |        |         |
| Non-Resident Tuition                  | 624              | 624              |        |         |
| Total Out-of-State Tuition            | <u>8,616</u>     | <u>8,616</u>     |        |         |
| Mandatory Fees                        | 310              | 310              |        |         |
| Online Support Fee                    | 1,344            | 1,344            |        |         |
| Total Out-of-State Tuition and Fees   | <u>\$ 10,270</u> | <u>\$ 10,270</u> |        |         |
| Students Admitted Prior to Fall 2019  |                  |                  |        |         |
| Maintenance Fee                       | \$ 7,200         | \$ 7,200         |        |         |
| Non-Resident Tuition                  | 624              | 624              |        |         |
| Total Out-of-State Tuition            | <u>7,824</u>     | <u>7,824</u>     |        |         |
| Mandatory Fees                        | 310              | 310              |        |         |
| Online Support Fee                    | 1,344            | 1,344            |        |         |
| Total Out-of-State Tuition and Fees   | <u>\$ 9,478</u>  | <u>\$ 9,478</u>  |        |         |
| <u>Graduate</u>                       |                  |                  |        |         |
| Maintenance Fee                       | \$ 8,618         | \$ 8,618         |        |         |
| Non-Resident Tuition                  | 846              | 846              |        |         |
| Total Out-of-State Tuition            | <u>9,464</u>     | <u>9,464</u>     |        |         |
| Mandatory Fees                        | 310              | 310              |        |         |
| Online Support Fee                    | 1,008            | 1,008            |        |         |
| Total Out-of-State Tuition and Fees   | <u>\$ 10,782</u> | <u>\$ 10,782</u> |        |         |

The Online Learning and Distance fee schedule will apply to students enrolled in a THEC approved online program or degree.

The Online Learning and Distance fee schedule mandatory fees consist of a reduced assessment of fees compared to on-campus programs. Mandatory fees for the Online Learning and Distance fee schedule include the Technology Fee and Library Fee.

The Online Support fee is assessed at \$56 per credit hour. The total amount assessed depends on the number of credit hours taken.

In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus non-resident tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

The 'Soar in Four' rate is assessed to students admitted as of the Fall 2019 semester and any semester after. All students who were previously admitted during prior semesters will be assessed the 'Students Admitted Prior' rate. The 'Soar in Four' initiative is a new tuition model intended to encourage students to enroll in 15 or more credit hours per semester in order to graduate in four years. Although it encourages students to enroll for more hours each term, the 'Soar in Four' rate will be assessed to students registered for 12 or more credit hours in a term. By FY 2023-24, the 'Soar in Four' rate will be applicable to all students.

University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.

# Chattanooga

## FY 2022-23 Annual Tuition And Fees

### Mandatory Fees, Differential Tuition and Program Fees

|   | FY 2021-22      | FY 2022-23      | CHANGE      |             |
|---|-----------------|-----------------|-------------|-------------|
|   |                 |                 | Amount      | Percent     |
| UNDERGRADUATE AND GRADUATE MANDATORY FEES   |                 |                 |             |             |
| Student Programs and Services Fee (SPSF)    |                 |                 |             |             |
| Student Activity                            | \$ 240          | \$ 168          | \$ (72)     | -30.0%      |
| Debt Service                                | 336             | 408             | 72          | 21.4%       |
| Health Services                             | 120             | 120             |             |             |
| Total Student Programs and Services Fee     | <u>\$ 696</u>   | <u>\$ 696</u>   | <u>\$ -</u> | <u>0.0%</u> |
| Other Mandatory Fees                        |                 |                 |             |             |
| Athletics                                   | \$ 514          | \$ 514          |             |             |
| Green                                       | 20              | 20              |             |             |
| Technology                                  | 260             | 260             |             |             |
| Library                                     | 50              | 50              |             |             |
| Transportation                              | 96              | 96              |             |             |
| Facilities                                  | 200             | 200             |             |             |
| International Education                     | 20              | 20              |             |             |
| Total Mandatory Fees                        | <u>\$ 1,856</u> | <u>\$ 1,856</u> | <u></u>     | <u></u>     |
| DIFFERENTIAL TUITION                        |                 |                 |             |             |
| College of Business                         | \$ 59           | \$ 59           |             |             |
| College of Engineering and Computer Science | 59              | 59              |             |             |
| Doctorate of Physical Therapy               | 59              | 59              |             |             |
| Doctorate of Occupational Therapy           | 59              | 59              |             |             |
| School of Nursing                           | 102             | 102             |             |             |
| MASTER'S DEGREE PROGRAMS                    |                 |                 |             |             |
| IN-STATE                                    |                 |                 |             |             |
| Executive MBA                               | \$ 44,000       | \$ 44,000       |             |             |
| Online MBA Program                          | 23,880          | 23,880          |             |             |
| Graduate College of Business Program Fee    | 900             | 900             |             |             |
| OUT-OF-STATE                                |                 |                 |             |             |
| Executive MBA                               | \$ 49,000       | \$ 49,000       |             |             |
| Online MBA Program                          | 25,572          | 25,572          |             |             |
| Graduate College of Business Program Fee    | 900             | 900             |             |             |

*Mandatory fees are used to support programs, services, technology, and facilities that enhance student life and academic programs.*

*The purpose of the Student Programs and Services Fee (SPSF) is to advance the University's educational mission by funding non-instructional services, activities, programs, and facilities that promote student satisfaction and retention or promote the intellectual, physical, emotional, social, cultural, or leadership development of students. The Board of Trustees retains ultimate control of the SPSF and sets the amount of the SPSF at each campus annually upon the recommendation of the Board's Finance and Administration Committee.*

*Differential Tuition is a per-credit-hour fee assessed for a specific course or group of courses as dictated by increasing demand for educational excellence in specific areas.. The total amount paid depends on the number of courses taken.*

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

# Chattanooga

## FY 2022-23 Annual Tuition and Fees

### Auxiliary Enterprises

|  | FY 2021-22 | FY 2022-23 | CHANGE |         |
|--|------------|------------|--------|---------|
|  |            |            | Amount | Percent |
| HOUSING  |            |            |        |         |
| Guerry   |            |            |        |         |
| 2 Bedroom 1 Bath (Private Room)                | \$ 7,144   | \$ 7,358   | \$ 214 | 3.0%    |
| 2 Bedroom 1 Bath (Shared Room)                 | 6,304      | 6,494      | 190    | 3.0%    |
| 3 Bedroom 2 Bath (Private Room)                | 7,564      | 7,792      | 228    | 3.0%    |
| Decosimo                                       |            |            |        |         |
| 1 Bedroom 1 Bath (Shared Room)                 | 6,724      | 6,926      | 202    | 3.0%    |
| 1 Bedroom 1 Bath (Private Room)                | 9,035      | 9,306      | 271    | 3.0%    |
| 3 Bedroom 2 Bath (Shared)                      | 7,564      | 7,792      | 228    | 3.0%    |
| 3 Bedroom 2 Bath (Private Room/bath)           | 8,405      | 8,656      | 251    | 3.0%    |
| 4 Bedroom 2 Bath (Private Room)                | 7,564      | 7,792      | 228    | 3.0%    |
| Stophel  |            |            |        |         |
| 2 Bedroom 1 Bath (Private Room)                | 8,405      | 8,656      | 251    | 3.0%    |
| 4 Bedroom 2 Bath (Private Room)                | 7,564      | 7,792      | 228    | 3.0%    |
| Walker   |            |            |        |         |
| 4 Bedroom 2 Bath (Private Room)                | 7,564      | 7,792      | 228    | 3.0%    |
| UCF  |            |            |        |         |
| 4 Bedroom 2 Bath (Private Room)                | 7,564      | 7,792      | 228    | 3.0%    |
| 2 Bedroom 2 Bath (Full Bed. Shared Room)       | 6,724      | 6,926      | 202    | 3.0%    |
| West Campus                                    |            |            |        |         |
| 1 bedroom 1 bath for 2 residents               | 8,240      | 8,488      | 248    | 3.0%    |
| 2 bedroom 2 bath for 4 residents               | 7,828      | 8,062      | 234    | 3.0%    |
| 2 bedroom 1 bath for 4 residents w/living area | 7,828      | 8,062      | 234    | 3.0%    |
| Boling   |            |            |        |         |
| 4 Bedroom 1 Bath (Private Room)                | 6,304      | 6,494      | 190    | 3.0%    |
| 3 Bedroom 1 Bath (Private Room)                | 6,724      | 6,926      | 202    | 3.0%    |
| Johnson Obear                                  |            |            |        |         |
| 4 Bedroom 1 Bath (Private Room)                | 6,304      | 6,494      | 190    | 3.0%    |
| 3 Bedroom 1 Bath (Private Room)                | 6,724      | 6,926      | 202    | 3.0%    |
| Lockmiller                                     |            |            |        |         |
| 2 Bedroom 1 Bath (Private Room)                | 7,144      | 7,358      | 214    | 3.0%    |
| 2 Bedroom 1 Bath (Shared Room - Shared)        | 5,463      | 5,628      | 165    | 3.0%    |
| Stagmaier                                      |            |            |        |         |
| 2 bedroom Suite Style Bath (Private)           | 6,304      | 6,494      | 190    | 3.0%    |

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# Chattanooga

## FY 2022-23 Annual Tuition and Fees

### Auxiliary Enterprises

|  |            |            | CHANGE     |         |
|--|------------|------------|------------|---------|
|  | FY 2021-22 | FY 2022-23 | Amount     | Percent |
| FOOD SERVICES                                    |            |            |            |         |
| Meal Plans                                       |            |            |            |         |
| Expiring:  |            |            |            |         |
| 130 meals plus \$750 Mocs Bucks                  | \$ 3,642   | \$ -       | \$ (3,642) | -100.0% |
| 160 meals plus \$550 Mocs Bucks                  | 3,642      | -          | (3,642)    | -100.0% |
| 5 day all access plus \$350 Mocs Bucks           | 3,894      | -          | (3,894)    | -100.0% |
| 75 plus \$300 Mocs Bucks                         | 1,656      | -          | (1,656)    | -100.0% |
| 100 plus \$400 Mocs Bucks                        | 2,182      | -          | (2,182)    | -100.0% |
| Adjusting:                                       |            |            |            |         |
| Silver (7 day all access plus \$150 Mocs Bucks)  | \$ 4,114   | \$ 3,952   | \$ (162)   | -3.9%   |
| Gold Mocs Bucks (dollar for dollar)              | 1,634      | 1,700      | 66         | 4.1%    |
| Blue Mocs Bucks (dollar for dollar)              | 632        | 800        | 168        | 26.6%   |
| 50 meals plus \$50 Mocs Bucks                    | 820        | 844        | 24         | 3.1%    |
| New:   |            |            |            |         |
| Diamond (7 day all access plus \$350 Mocs Bucks) | -          | \$ 4,252   | \$ 4,252   | 100.0%  |
| Basic (7 day all access)                         | -          | 3,752      | 3,752      | 100.0%  |
| Weekly 10 plus \$500 Mocs Bucks                  | -          | 3,752      | 3,752      | 100.0%  |

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# Knoxville

## FY 2022-23 Annual Tuition and Fees Fall and Spring Semesters

|                                     | FY 2020-21       | FY 2022-23       | CHANGE |         |
|-------------------------------------|------------------|------------------|--------|---------|
|                                     |                  |                  | Amount | Percent |
| IN-STATE                            |                  |                  |        |         |
| <u>Undergraduate</u>                |                  |                  |        |         |
| Maintenance Fee                     | \$ 11,332        | \$ 11,332        |        |         |
| Mandatory Fees                      | 1,912            | 1,912            |        |         |
| Total Tuition and Fees              | <u>\$ 13,244</u> | <u>\$ 13,244</u> |        |         |
| <u>Graduate</u>                     |                  |                  |        |         |
| Maintenance Fee                     | \$ 11,468        | \$ 11,468        |        |         |
| Mandatory Fees                      | 1,912            | 1,912            |        |         |
| Total Tuition and Fees              | <u>\$ 13,380</u> | <u>\$ 13,380</u> |        |         |
| OUT-OF-STATE                        |                  |                  |        |         |
| <u>Undergraduate</u>                |                  |                  |        |         |
| Maintenance Fee                     | \$ 11,332        | \$ 11,332        |        |         |
| Non-Resident Tuition                | 18,190           | 18,190           |        |         |
| Total Out-of-State Tuition          | \$ 29,522        | \$ 29,522        |        |         |
| Mandatory Fees                      | 2,142            | 2,142            |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 31,664</u> | <u>\$ 31,664</u> |        |         |
| <u>Graduate</u>                     |                  |                  |        |         |
| Maintenance Fee                     | \$ 11,468        | \$ 11,468        |        |         |
| Non-Resident Tuition                | 18,188           | 18,188           |        |         |
| Total Out-of-State Tuition          | \$ 29,656        | \$ 29,656        |        |         |
| Mandatory Fees                      | 2,142            | 2,142            |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 31,798</u> | <u>\$ 31,798</u> |        |         |

*In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus non-resident tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.*

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# Knoxville

## FY 2022-23 Annual Tuition and Fees

### Mandatory Fees and Differential Tuition

|   | FY 2021-22      | FY 2022-23      | CHANGE |         |
|---|-----------------|-----------------|--------|---------|
|   |                 |                 | Amount | Percent |
| UNDERGRADUATE AND GRADUATE MANDATORY FEES                         |                 |                 |        |         |
| IN-STATE  |                 |                 |        |         |
| <u>Undergraduate</u>  |                 |                 |        |         |
| Student Programs and Services Fee (SPSF)                          |                 |                 |        |         |
| Part A  | \$ 836          | \$ 836          |        |         |
| Part B  | 202             | 202             |        |         |
| Total Student Programs and Services Fee                           | <u>\$ 1,038</u> | <u>\$ 1,038</u> |        |         |
| Other Mandatory Fees  |                 |                 |        |         |
| Technology  | 240             | 240             |        |         |
| Facilities  | 404             | 404             |        |         |
| Transportation  | 150             | 150             |        |         |
| Library   | 80              | 80              |        |         |
| International Education   | -               | -               |        |         |
| Total Mandatory Fees  | <u>\$ 1,912</u> | <u>\$ 1,912</u> |        |         |
| <u>Graduate</u>   |                 |                 |        |         |
| Student Programs and Services Fee (SPSF)                          | \$ 1,038        | \$ 1,038        |        |         |
| Other Mandatory Fees  |                 |                 |        |         |
| Technology  | 240             | 240             |        |         |
| Facilities  | 404             | 404             |        |         |
| Transportation  | 150             | 150             |        |         |
| Library   | 80              | 80              |        |         |
| Total Mandatory Fees  | <u>\$ 1,912</u> | <u>\$ 1,912</u> |        |         |
| OUT-OF STATE  |                 |                 |        |         |
| <u>Undergraduate</u>  |                 |                 |        |         |
| Student Programs and Services Fee (SPSF)                          | \$ 1,038        | \$ 1,038        |        |         |
| Other Mandatory Fees  |                 |                 |        |         |
| Technology  | \$ 240          | \$ 240          |        |         |
| Facilities  | 634             | 634             |        |         |
| Transportation  | 150             | 150             |        |         |
| Library   | 80              | 80              |        |         |
| International Education   | -               | -               |        |         |
| Total Mandatory Fees  | <u>\$ 2,142</u> | <u>\$ 2,142</u> |        |         |
| <u>Graduate</u>   |                 |                 |        |         |
| Student Programs and Services Fee (SPSF)                          | \$ 1,038        | \$ 1,038        |        |         |
| Other Mandatory Fees  |                 |                 |        |         |
| Technology  | 240             | 240             |        |         |
| Facilities  | 634             | 634             |        |         |
| Transportation  | 150             | 150             |        |         |
| Library   | 80              | 80              |        |         |
| Total Mandatory Fees  | <u>\$ 2,142</u> | <u>\$ 2,142</u> |        |         |
| UNDERGRADUATE DIFFERENTIAL TUITION                                |                 |                 |        |         |
| Tickle College of Engineering                                     | \$ 65           | \$ 115          | \$ 50  | 76.9%   |
| College of Nursing (All undergraduate level courses)              | 135             | 135             |        |         |
| Haslam College of Business (All undergraduate courses except 100) | 101             | 101             |        |         |
| College of Architecture   | 111             | 111             |        |         |

Mandatory fees are used to support programs, services, technology, and facilities that enhance student life and academic programs.

The purpose of the Student Programs and Services Fee (SPSF) is to advance the University's educational mission by funding non-instructional services, activities, programs, and facilities that promote student satisfaction and retention or promote the intellectual, physical, emotional, social, cultural, or leadership development of students. The Board of Trustees retains ultimate control of the SPSF and sets the amount of the SPSF at each campus annually upon the recommendation of the Board's Finance and Administration Committee.

The Student Programs and Services Fee (SPSF) is paid in two parts, Part A and Part B. Part A is paid by all full-time and part-time students at an hourly rate up to a maximum. Part B is paid by all students taking 9 or more hours at a flat rate of \$101. Students, who have paid any portion of the fee, have access to the Student Counseling Center, the Student Health Center, TRECS, and discounted Clarence Brown Theatre and UT Opera tickets. Students who wish the opportunity to obtain student tickets to football and basketball games are required to pay the full fee. If a student is taking 6, 7, or 8 hours, they may elect to pay the full SPSF Fee to become eligible to obtain tickets.

Differential Tuition is a per-credit-hour fee assessed for a specific course or group of courses as dictated by increasing demand for educational excellence in specific areas. Once approved, future percentage increases in Maintenance Fees automatically apply to the Differential Tuition for these specific courses. Increases to Differential Tuition exceeding the percentage increases proposed for Maintenance Fees must be approved by the Board of Trustees. The total amount paid depends on the number of courses taken.

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## Knoxville

### FY 2022-23 Annual Tuition and Fees Specialized Programs

|  | FY 2021-22 | FY 2022-23 | CHANGE |         |
|--|------------|------------|--------|---------|
|  |            |            | Amount | Percent |
| SPECIALIZED PROGRAMS   |            |            |        |         |
| MBA Programs   |            |            |        |         |
| Full-Time MBA  | \$ 16,000  | \$ 16,000  |        |         |
| Senior Executive MBA   | 76,000     | 76,000     |        |         |
| Aerospace Executive MBA  | 72,500     | 72,500     |        |         |
| Professional Executive MBA   | 49,500     | 49,500     |        |         |
| Physician Executive MBA  | 76,000     | 79,000     | 3,000  | 3.9%    |
| Global Supply Chain Executive MBA  | 90,000     | 90,000     |        |         |
| Health Care Leadership MBA   | 65,000     | 70,000     | 5,000  | 7.7%    |
| Master of Business Administration - Online Program Fee<br>(New Concentration)              | -          | 54,000     | 54,000 | New     |
| Specialty Master's Degree Programs   |            |            |        |         |
| Masters of Science in Industrial & Systems Engineering                                     | \$ 18,000  | \$ 18,000  |        |         |
| Masters of Human Resource Management   | 4,500      | 4,500      |        |         |
| Masters of Business Analytics Program in Statistics,<br>Operations, and Management Science | 6,000      | 6,000      |        |         |
| Masters of Accountancy in Accounting and Information<br>Management                         | 6,000      | 6,000      |        |         |
| Masters of Science in Supply Chain Management  | 2,000      | 2,000      |        |         |
| Doctor of Social Work  | 600        | 600        |        |         |
| Masters of Science in Social Work  | 750        | 750        |        |         |
| Masters of Science in Supply Chain Management (Online)                                     | 39,390     | 39,390     |        |         |
| Masters of Science in Industrial & Systems Engineering<br>Health Systems                   | 20,000     | 20,000     |        |         |
| Masters of Science in Industrial & Systems Engineering<br>(Online Cohort)                  | 18,000     | 18,000     |        |         |
| Master of Science in Marketing Face-to-Face Program Fee<br>(New Program)                   | -          | 7,500      | 7,500  | New     |
| Master of Science in Marketing Online Program Fee (New<br>Program)                         | -          | 32,000     | 32,000 | New     |
| Specialty Degree Programs  |            |            |        |         |
| Accelerated Bachelor of Science in Nursing Program Fee                                     | 1,000      | 1,000      |        |         |

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# Knoxville

## FY 2022-23 Annual Tuition and Fees Online Programs

|                             | FY 2021-22    | FY 2022-23    | CHANGE |         |
|-----------------------------|---------------|---------------|--------|---------|
|                             |               |               | Amount | Percent |
| <b>IN-STATE</b>             |               |               |        |         |
| <b><u>Undergraduate</u></b> |               |               |        |         |
| Maintenance Fee             | \$ 378        | \$ 378        |        |         |
| Library                     | 5             | 5             |        |         |
| Online Support              | 56            | 56            |        |         |
| Total                       | <u>\$ 439</u> | <u>\$ 439</u> |        |         |
| <b><u>Graduate</u></b>      |               |               |        |         |
| Maintenance Fee             | \$ 639        | \$ 639        |        |         |
| Library                     | 5             | 5             |        |         |
| Online Support              | 56            | 56            |        |         |
| Total                       | <u>\$ 700</u> | <u>\$ 700</u> |        |         |
| <b>OUT-OF-STATE</b>         |               |               |        |         |
| <b><u>Undergraduate</u></b> |               |               |        |         |
| Maintenance Fee             | \$ 453        | \$ 453        |        |         |
| Library                     | 5             | 5             |        |         |
| Online Support              | 56            | 56            |        |         |
| Total                       | <u>\$ 514</u> | <u>\$ 514</u> |        |         |
| <b><u>Graduate</u></b>      |               |               |        |         |
| Maintenance Fee             | \$ 714        | \$ 714        |        |         |
| Library                     | 5             | 5             |        |         |
| Online Support              | 56            | 56            |        |         |
| Total                       | <u>\$ 775</u> | <u>\$ 775</u> |        |         |

*Fees are charged per credit hour and apply only to courses that are included in the online program.*

*Graduate maintenance fees apply to both UTK and UTSI. Library and online support fees do not apply to UTSI.*

*Differential, program, and material course fees for various academic programs are in addition to the fees shown above.*

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**Knoxville**  
**FY 2022-23 Annual Tuition and Fees**  
**Auxiliary Enterprises**

|                       |            |            | CHANGE |         |
|-----------------------|------------|------------|--------|---------|
|                       | FY 2021-22 | FY 2022-23 | Amount | Percent |
| HOUSING               |            |            |        |         |
| COMMUNITY & POD RATES |            |            |        |         |
| Geier                 |            |            |        |         |
| Double Shared         | \$ 7,210   | \$ 7,210   |        |         |
| Single                | 9,890      | 9,890      |        |         |
| Buyout                | 14,420     | 14,420     |        |         |
| Hess                  |            |            |        |         |
| Double Shared         | 6,440      | 6,440      |        |         |
| Single                | 8,350      | 8,350      |        |         |
| Triple Shared         | 5,690      | 5,690      |        |         |
| Buyout                | 8,350      | 12,880     | 4,530  | 54.3%   |
| Magnolia              |            |            |        |         |
| Double Shared         | 7,210      | 7,210      |        |         |
| Buyout                | 14,420     | 14,420     |        |         |
| Massey                |            |            |        |         |
| Double Shared         | 6,050      | 6,050      |        |         |
| Buyout                | 7,910      | 12,100     | 4,190  | 53.0%   |
| North Carrick         |            |            |        |         |
| Double Shared         | 6,050      | 6,050      |        |         |
| Buyout                | 7,910      | 12,100     | 4,190  | 53.0%   |
| Reese                 |            |            |        |         |
| Double Shared         | 6,050      | 6,050      |        |         |
| Buyout                | 7,910      | 12,100     | 4,190  | 53.0%   |
| Robinson              |            |            |        |         |
| Double Shared         | 7,210      | 7,210      |        |         |
| Single                | 9,890      | 9,890      |        |         |
| Buyout                | 14,420     | 14,420     |        |         |
| South Carrick         |            |            |        |         |
| Double Shared         | 6,050      | 6,050      |        |         |
| Buyout                | 7,910      | 12,100     | 4,190  | 53.0%   |
| SUITES                |            |            |        |         |
| Brown                 |            |            |        |         |
| Quad Shared           | 7,730      | 7,730      |        |         |
| Quad Buyout           | 15,460     | 15,460     |        |         |
| Double Shared         | 8,140      | 8,140      |        |         |
| Double Buyout         | 16,280     | 16,280     |        |         |
| Clement               |            |            |        |         |
| Quad Shared           | 6,900      | 6,900      |        |         |
| Buyout                | 8,950      | 13,800     | 4,850  | 54.2%   |
| Dogwood               |            |            |        |         |
| Double Shared         | 7,730      | 7,730      |        |         |
| Buyout                | 15,460     | 15,460     |        |         |
| Magnolia              |            |            |        |         |
| Double Shared         | 7,730      | 7,730      |        |         |
| Buyout                | 15,460     | 15,460     |        |         |
| North Carrick         |            |            |        |         |
| Quad Shared           | 6,800      | 6,800      |        |         |
| Buyout                | 8,820      | 13,600     | 4,780  | 54.2%   |
| Reese                 |            |            |        |         |
| Quad Shared           | 6,800      | 6,800      |        |         |
| Buyout                | 8,820      | 13,600     | 4,780  | 54.2%   |
| South Carrick         |            |            |        |         |
| Quad Shared           | 6,800      | 6,800      |        |         |
| Buyout                | 8,820      | 13,600     | 4,780  | 54.2%   |
| Stokely               |            |            |        |         |
| Triple Private        | 9,790      | 9,790      |        |         |
| Quad Shared           | 9,170      | 9,170      |        |         |
| Quad Private          | 9,580      | 9,580      |        |         |
| Buyout                | 18,340     | 18,340     |        |         |

*Vol Condos were used for isolation space in FY 2021-22.*

*Buyout rates were changed to double the standard rate to be consistent across housing options.*

*Buyout Definition - Residence hall room that is designed to house two residents, but the resident chooses to buy out the other side to convert it to a single.*

*Housing has an Off Campus Master Lease with Quarry Trail. The rate is the same as the Volunteer Apartment Triple Private Rate*

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# Knoxville

## FY 2022-23 Annual Tuition and Fees

### Auxiliary Enterprises

|  | FY 2021-22 | FY 2022-23 | CHANGE  |         |
|--|------------|------------|---------|---------|
|  |            |            | Amount  | Percent |
| HOUSING (CONTINUED)                          |            |            |         |         |
| APARTMENTS                                   |            |            |         |         |
| Dogwood - Quad Private                       | 8,600      | 8,600      |         |         |
| Geier - Quad Private                         | 8,600      | 8,600      |         |         |
| Laurel                                       |            |            |         |         |
| Double Private (Not Available in FY23)       | 9,090      | -          | (9,090) | -100.0% |
| Double Shared                                | 7,050      | 7,050      |         |         |
| Triple Private                               |            | 9,480      | 9,480   | New     |
| Triple Shared                                |            | 7,350      | 7,350   | New     |
| Buyout                                       | 14,100     | 14,100     |         |         |
| Vol Condo                                    |            |            |         |         |
| Eight Person/Four Bedroom                    |            | 7,350      | 7,350   | New     |
| Six Person/Three Bedroom                     |            | 7,350      | 7,350   | New     |
| Volunteer                                    |            |            |         |         |
| Quad Private                                 | 8,700      | 8,700      |         |         |
| Triple Private/Private Bath                  | 10,350     | 10,350     |         |         |
| Triple Private/Shared Bath                   | 9,120      | 9,120      |         |         |
| Double Private                               | 10,350     | 10,350     |         |         |
| FOOD SERVICES                                |            |            |         |         |
| Meal Plans                                   |            |            |         |         |
| Tennessee Unlimited 7 + \$300 Dining Dollars | \$ 4,348   | \$ 4,610   | \$ 262  | 6.0%    |
| Tennessee Unlimited 5 + \$400 Dining Dollars | 4,348      | 4,610      | 262     | 6.0%    |
| Tennessee Weekly 7 + \$500 Dining Dollars    | 3,150      | 3,340      | 190     | 6.0%    |
| Dining Dollar Plus \$1,000 Dining Dollars    | 2,000      | 2,000      |         |         |
| Dining Dollar \$565 Dining Dollars           | 1,130      | 1,200      | 70      | 6.2%    |
| Flex Plan \$300 Dining Dollars               | 600        | 600        |         |         |
| Block Plans                                  |            |            |         |         |
| Block 100 - 100 meals + \$150 Dining Dollars | 2,120      | 2,250      | 130     | 6.1%    |
| Block 75 - 75 meals + \$150 Dining Dollars   | 1,690      | 1,800      | 110     | 6.5%    |
| Block 50 - 50 meals + \$300 Dining Dollars   | 1,690      | 1,800      | 110     | 6.5%    |

*All undergraduates taking 6 credit hours or more are required to purchase a flex or meal plan.*

*All first-year undergraduate students living on campus are required to purchase the Tennessee Unlimited 7 or the Tennessee Unlimited 5 Meal Plan.*

*All plans except for the Flex Plan include 5 free guest meals per semester.*

*Dining Dollars can be used like cash at all campus dining locations.*

*Vol Condos were used for isolation space in FY 2021-22.*

*Buyout rates were changed to double the standard rate to be consistent across housing options.*

*Buyout Definition - Residence hall room that is designed to house two residents, but the resident chooses to buy out the other side to convert it to a single.*

*Housing has an Off Campus Master Lease with Quarry Trail. The rate is the same as the Volunteer Apartment Triple Private rate.*

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# Knoxville

## FY 2022-23 Annual Tuition and Fees

### College of Law Fall and Spring Semesters

|                                     | FY 2021-22       | FY 2022-23       | CHANGE |         |
|-------------------------------------|------------------|------------------|--------|---------|
|                                     |                  |                  | Amount | Percent |
| IN-STATE                            |                  |                  |        |         |
| Maintenance Fee                     | \$ 16,696        | \$ 16,696        |        |         |
| Mandatory Fees                      | 3,472            | 3,472            |        |         |
| Total Tuition and Fees              | <u>\$ 20,168</u> | <u>\$ 20,168</u> |        |         |
| OUT-OF-STATE                        |                  |                  |        |         |
| Maintenance Fee                     | \$ 16,696        | \$ 16,696        |        |         |
| Non-Resident Tuition                | 18,444           | 18,444           |        |         |
| Total Out-of-State Tuition          | 35,140           | 35,140           |        |         |
| Mandatory Fees                      | 3,702            | 3,702            |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 38,842</u> | <u>\$ 38,842</u> |        |         |
| MANDATORY FEES                      |                  |                  |        |         |
| IN-STATE                            |                  |                  |        |         |
| Student Programs and Services Fee   | \$ 1,038         | \$ 1,038         |        |         |
| Other Mandatory Fees                |                  |                  |        |         |
| Technology                          | 240              | 240              |        |         |
| Facilities                          | 150              | 150              |        |         |
| Transportation                      | 404              | 404              |        |         |
| Law Library Fee                     | 250              | 250              |        |         |
| Law Enhancement Fee                 | 1,390            | 1,390            |        |         |
| Total Mandatory Fees                | <u>\$ 3,472</u>  | <u>\$ 3,472</u>  |        |         |
| OUT-OF-STATE                        |                  |                  |        |         |
| Student Programs and Services Fee   | \$ 1,038         | \$ 1,038         |        |         |
| Other Mandatory Fees                |                  |                  |        |         |
| Technology                          | 240              | 240              |        |         |
| Facilities                          | 150              | 150              |        |         |
| Transportation                      | 634              | 634              |        |         |
| Law Library Fee                     | 250              | 250              |        |         |
| Law Enhancement Fee                 | 1,390            | 1,390            |        |         |
| Total Mandatory Fees                | <u>\$ 3,702</u>  | <u>\$ 3,702</u>  |        |         |

*Mandatory fees are used to support programs, services, technology, and facilities that enhance student life and academic programs.*

*The purpose of the Student Programs and Services Fee (SPSF) is to advance the University's educational mission by funding non-instructional services, activities, programs, and facilities that promote student satisfaction and retention or promote the intellectual, physical, emotional, social, cultural, or leadership development of students. The Board of Trustees retains ultimate control of the SPSF and sets the amount of the SPSF at each campus annually upon the recommendation of the Board's Finance and Administration Committee.*

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# Knoxville

## FY 2022-23 Annual Tuition and Fees

### Space Institute Fall and Spring Semesters

|                                     | FY 2021-22       | FY 2022-23       | CHANGE |         |
|-------------------------------------|------------------|------------------|--------|---------|
|                                     |                  |                  | Amount | Percent |
| IN-STATE                            |                  |                  |        |         |
| <u>Graduate</u>                     |                  |                  |        |         |
| Maintenance Fee                     | \$ 11,468        | \$ 11,468        |        |         |
| Student Activity Fee                | 180              | 180              |        |         |
| Total Tuition and Fees              | <u>\$ 11,648</u> | <u>\$ 11,648</u> |        |         |
| OUT-OF-STATE                        |                  |                  |        |         |
| <u>Graduate</u>                     |                  |                  |        |         |
| Maintenance Fee                     | \$ 11,468        | \$ 11,468        |        |         |
| Non-Resident Tuition                | 18,188           | 18,188           |        |         |
| Total Out-of-State Tuition          | \$ 29,656        | \$ 29,656        |        |         |
| Student Activity Fee                | 180              | 180              |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 29,836</u> | <u>\$ 29,836</u> |        |         |
| DIFFERENTIAL TUITION                |                  |                  |        |         |
| Tickle College of Engineering       | \$ 65            | \$ 115           | \$ 50  | 76.9%   |

*In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus non-resident tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.*

*Differential Tuition is a per-credit-hour fee assessed for a specific course or group of courses as dictated by increasing demand for educational excellence in specific areas. Once approved, future percentage increases in Maintenance Fees automatically apply to the Differential Tuition for these specific courses. Increases to Differential Tuition exceeding the percentage increases proposed for Maintenance Fees must be approved by the Board of Trustees. The total amount paid depends on the number of courses taken.*

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# Martin

## FY 2022-23 Annual Tuition and Fees Fall and Spring Semesters

|                                     | FY 2021-22       | FY 2022-23       | CHANGE |         |
|-------------------------------------|------------------|------------------|--------|---------|
|                                     |                  |                  | Amount | Percent |
| IN-STATE                            |                  |                  |        |         |
| <u>Undergraduate</u>                |                  |                  |        |         |
| Maintenance Fee                     | \$ 8,378         | \$ 8,378         |        |         |
| Mandatory Fees                      | 1,534            | 1,534            |        |         |
| Total Tuition and Fees              | <u>\$ 9,912</u>  | <u>\$ 9,912</u>  |        |         |
| <u>Graduate</u>                     |                  |                  |        |         |
| Maintenance Fee                     | \$ 9,278         | \$ 9,278         |        |         |
| Mandatory Fees                      | 1,520            | 1,520            |        |         |
| Total Tuition and Fees              | <u>\$ 10,798</u> | <u>\$ 10,798</u> |        |         |
| OUT-OF-STATE DOMESTIC               |                  |                  |        |         |
| <u>Undergraduate</u>                |                  |                  |        |         |
| Maintenance Fee                     | \$ 8,378         | \$ 8,378         |        |         |
| Non-Resident Tuition                | 6,040            | 6,040            |        |         |
| Total Out-of-State Tuition          | <u>\$ 14,418</u> | <u>\$ 14,418</u> |        |         |
| Mandatory Fees                      | <u>\$ 1,534</u>  | <u>\$ 1,534</u>  |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 15,952</u> | <u>\$ 15,952</u> |        |         |
| <u>Graduate</u>                     |                  |                  |        |         |
| Maintenance Fee                     | \$ 9,278         | \$ 9,278         |        |         |
| Non-Resident Tuition                | 6,040            | 6,040            |        |         |
| Total Out-of-State Tuition          | <u>\$ 15,318</u> | <u>\$ 15,318</u> |        |         |
| Mandatory Fees                      | <u>\$ 1,520</u>  | <u>\$ 1,520</u>  |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 16,838</u> | <u>\$ 16,838</u> |        |         |
| OUT-OF-STATE INTERNATIONAL          |                  |                  |        |         |
| <u>Undergraduate</u>                |                  |                  |        |         |
| Maintenance Fee                     | \$ 8,378         | \$ 8,378         |        |         |
| Non-Resident Tuition                | 6,040            | 6,040            |        |         |
| Total Out-of-State Tuition          | <u>\$ 14,418</u> | <u>\$ 14,418</u> |        |         |
| Mandatory Fees                      | <u>1,534</u>     | <u>1,534</u>     |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 15,952</u> | <u>\$ 15,952</u> |        |         |
| <u>Graduate</u>                     |                  |                  |        |         |
| Maintenance Fee                     | \$ 9,278         | \$ 9,278         |        |         |
| Non-Resident Tuition                | 6,040            | 6,040            |        |         |
| Total Out-of-State Tuition          | <u>\$ 15,318</u> | <u>\$ 15,318</u> |        |         |
| Mandatory Fees                      | <u>\$ 1,520</u>  | <u>\$ 1,520</u>  |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 16,838</u> | <u>\$ 16,838</u> |        |         |

*In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus non-resident tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.*

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# Martin

## FY 2022-23 Annual Tuition and Fees

### Mandatory Fees

|  | FY 2021-22      | FY 2022-23      | CHANGE |         |
|--|-----------------|-----------------|--------|---------|
|  |                 |                 | Amount | Percent |
| UNDERGRADUATE                            |                 |                 |        |         |
| Student Programs and Services Fee (SPSF) |                 |                 |        |         |
| Student Activity - Non Athletic          | \$ 262          | \$ 262          |        |         |
| Student Activity - Athletic              | 408             | 408             |        |         |
| Student Health & Counseling              | 60              | 60              |        |         |
| Green                                    | 10              | 10              |        |         |
| Debt Service                             | 380             | 380             |        |         |
| Total Student Programs and Services Fee  | <u>\$ 1,120</u> | <u>\$ 1,120</u> |        |         |
| Other Mandatory Fees                     |                 |                 |        |         |
| Technology                               | 250             | 250             |        |         |
| Publications                             | 14              | 14              |        |         |
| Facilities                               | 150             | 150             |        |         |
| Total Mandatory Fees                     | <u>\$ 1,534</u> | <u>\$ 1,534</u> |        |         |
| GRADUATE                                 |                 |                 |        |         |
| Student Programs and Services Fee (SPSF) |                 |                 |        |         |
| Student Activity - Non Athletic          | \$ 262          | \$ 262          |        |         |
| Student Activity - Athletic              | 408             | 408             |        |         |
| Student Health & Counseling              | 60              | 60              |        |         |
| Green                                    | 10              | 10              |        |         |
| Debt Service                             | 380             | 380             |        |         |
| Total Student Programs and Services Fee  | <u>\$ 1,120</u> | <u>\$ 1,120</u> |        |         |
| Other Mandatory Fees                     |                 |                 |        |         |
| Technology                               | 250             | 250             |        |         |
| Facilities                               | 150             | 150             |        |         |
| Total Mandatory Fees                     | <u>\$ 1,520</u> | <u>\$ 1,520</u> |        |         |

*Mandatory fees are used to support programs, services, technology, and facilities that enhance student life and academic programs.*

*The purpose of the Student Programs and Services Fee (SPSF) is to advance the University's educational mission by funding non-instructional services, activities, programs, and facilities that promote student satisfaction and retention or promote the intellectual, physical, emotional, social, cultural, or leadership development of students. The Board of Trustees retains ultimate control of the SPSF and sets the amount of the SPSF at each campus annually upon the recommendation of the Board's Finance and Administration Committee.*

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# Martin

## FY 2022-23 Annual Tuition and Fees Online Fees

|                            | FY 2021-22    | FY 2022-23    | CHANGE |         |
|----------------------------|---------------|---------------|--------|---------|
|                            |               |               | Amount | Percent |
| IN-STATE                   |               |               |        |         |
| <u>Undergraduate</u>       |               |               |        |         |
| Course Fee                 | \$ 370        | \$ 370        |        |         |
| Online Support             | 56            | 56            |        |         |
| Total                      | <u>\$ 426</u> | <u>\$ 426</u> |        |         |
| <u>Graduate</u>            |               |               |        |         |
| Course Fee                 | \$ 575        | \$ 575        |        |         |
| Online Support             | 56            | 56            |        |         |
| Total                      | <u>\$ 631</u> | <u>\$ 631</u> |        |         |
| OUT-OF-STATE DOMESTIC      |               |               |        |         |
| <u>Undergraduate</u>       |               |               |        |         |
| Course Fee                 | \$ 407        | \$ 407        |        |         |
| Online Support             | 56            | 56            |        |         |
| Total                      | <u>\$ 463</u> | <u>\$ 463</u> |        |         |
| <u>Graduate</u>            |               |               |        |         |
| Course Fee                 | \$ 632        | \$ 632        |        |         |
| Online Support             | 56            | 56            |        |         |
| Total                      | <u>\$ 688</u> | <u>\$ 688</u> |        |         |
| OUT-OF-STATE INTERNATIONAL |               |               |        |         |
| <u>Undergraduate</u>       |               |               |        |         |
| Course Fee                 | \$ 407        | \$ 407        |        |         |
| Online Support             | 56            | 56            |        |         |
| Total                      | <u>\$ 463</u> | <u>\$ 463</u> |        |         |
| <u>Graduate</u>            |               |               |        |         |
| Course Fee                 | \$ 632        | \$ 632        |        |         |
| Online Support             | 56            | 56            |        |         |
| Total                      | <u>\$ 688</u> | <u>\$ 688</u> |        |         |

UT online course fees are charged per credit hour. The total amount depends on the number of credits taken. This applies to all students, including on-campus students.

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# Martin

## FY 2022-23 Annual Tuition and Fees Auxiliary Enterprises

|  | FY 2021-22 | FY 2022-23 | CHANGE  |         |
|--|------------|------------|---------|---------|
|  |            |            | Amount  | Percent |
| FOOD SERVICES  |            |            |         |         |
| Meal Plans   |            |            |         |         |
| Carte Blanche Meal Plan with \$100 declining balance | \$ 3,600   | \$ 3,806   | \$ 206  | 5.7%    |
| 15 Meal Plan per week with \$80 declining balance    | 3,440      | 3,636      | 196     | 5.7%    |
| 10 Meal Plan per week with \$200 declining balance   | 3,482      | 3,680      | 198     | 5.7%    |
| 5 Meal Plan per week with \$475 declining balance    | 3,012      | 3,184      | 172     | 5.7%    |
| Block Plans  |            |            |         |         |
| 100 Meals with \$130 declining balance               | 1,954      | \$ 2,066   | \$ 112  | 5.7%    |
| 75 Meals with \$100 declining balance                | 1,548      | 1,636      | 88      | 5.7%    |
| 70 Meals with \$600 declining balance                | 3,194      | 3,376      | 182     | 5.7%    |
| 50 Meals with \$60 declining balance                 | 1,036      | 1,094      | 58      | 5.6%    |
| 25 Meals with \$50 declining balance                 | 668        | 706        | 38      | 5.7%    |
| Captain's Cash Meal Plans                            |            |            |         |         |
| \$500 declining balance                              | 1,000      | \$ 1,000   |         |         |
| \$250 declining balance                              | 500        | 500        |         |         |
| Door Prices (Per Day)                                |            |            |         |         |
| Breakfast  | 8.69       | \$ 9.25    | \$ 0.56 | 6.4%    |
| Lunch  | 9.73       | 10.25      | 0.52    | 5.3%    |
| Dinner   | 10.88      | 10.25      | (0.63)  | -5.8%   |
| Saturday Brunch                                      | 9.73       | 10.25      | 0.52    | 5.3%    |
| Sunday Brunch: Adult                                 | 12.96      | 13.50      | 0.54    | 4.2%    |
| Sunday Brunch: Child under 10                        | 6.13       | 6.00       | (0.13)  | -2.1%   |

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# Martin

## FY 2022-23 Annual Tuition and Fees Auxiliary Enterprises

|                       | FY 2021-22 | FY 2022-23 | CHANGE |         |
|-----------------------|------------|------------|--------|---------|
|                       |            |            | Amount | Percent |
| HOUSING               |            |            |        |         |
| COMMUNITY & POD RATES |            |            |        |         |
| Ellington Hall        |            |            |        |         |
| Double Shared         | \$ 3,150   | \$ 3,276   | \$ 126 | 4.0%    |
| Single                | 4,850      | 5,044      | 194    | 4.0%    |
| Browning Hall         |            |            |        |         |
| Double Shared         | 3,150      | \$ 3,276   | \$ 126 | 4.0%    |
| Single                | 4,850      | 5,044      | 194    | 4.0%    |
| Cooper Hall           |            |            |        |         |
| Double Shared         | 3,780      | \$ 3,932   | \$ 152 | 4.0%    |
| Single                | 5,390      | 5,606      | 216    | 4.0%    |
| University Village II |            |            |        |         |
| Double Shared         | 6,520      | \$ 6,586   | \$ 66  | 1.0%    |
| Single                | 7,760      | 7,838      | 78     | 1.0%    |
| University Village I  |            |            |        |         |
| Single                | 6,850      | \$ 6,920   | \$ 70  | 1.0%    |
| Summer Lease          | 2,800      | 2,828      | 28     | 1.0%    |
| APARTMENTS            |            |            |        |         |
| University Courts     |            |            |        |         |
| 1 Bedroom             | 4,270      | 4,270      |        |         |
| 2 Bedroom             | 4,580      | 4,580      |        |         |
| 3 Bedroom             | 5,400      | 5,400      |        |         |

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# UT Southern

## FY 2022-23 Annual Tuition and Fees

### Fall and Spring Semesters

|  | FY 2021-22       | FY 2022-23       | CHANGE |         |
|--|------------------|------------------|--------|---------|
|  |                  |                  | Amount | Percent |
| IN-STATE   |                  |                  |        |         |
| <u>Undergraduate</u>   |                  |                  |        |         |
| Maintenance Fee  | \$ 9,000         | \$ 9,000         |        |         |
| Mandatory Fees   | 1,200            | 1,200            |        |         |
| Total Tuition and Fees   | <u>\$ 10,200</u> | <u>\$ 10,200</u> |        |         |
| <u>Graduate</u>  |                  |                  |        |         |
| Maintenance Fee  | \$ 14,850        | \$ 14,850        |        |         |
| Mandatory Fees   |                  |                  |        |         |
| Total Tuition and Fees   | <u>\$ 14,850</u> | <u>\$ 14,850</u> |        |         |
| OUT-OF-STATE   |                  |                  |        |         |
| <u>Undergraduate</u>   |                  |                  |        |         |
| Maintenance Fee  | \$ 9,000         | \$ 9,000         |        |         |
| Non-Resident Tuition   |                  |                  |        |         |
| Total Out-of-State Tuition   | <u>\$ 9,000</u>  | <u>\$ 9,000</u>  |        |         |
| Mandatory Fees   | 1,200            | 1,200            |        |         |
| Total Out-of-State Tuition and Fees  | <u>\$ 10,200</u> | <u>\$ 10,200</u> |        |         |
| <u>Graduate</u>  |                  |                  |        |         |
| Maintenance Fee  | \$ 14,850        | \$ 14,850        |        |         |
| Non-Resident Tuition   |                  |                  |        |         |
| Total Out-of-State Tuition   | <u>\$ 14,850</u> | <u>\$ 14,850</u> |        |         |
| Mandatory Fees   |                  |                  |        |         |
| Total Out-of-State Tuition and Fees  | <u>\$ 14,850</u> | <u>\$ 14,850</u> |        |         |
| AUXILIARY ENTERPRISES  |                  |                  |        |         |
| Room & Board - Criswell/Upperman - Double                                    | \$ 8,600         | \$ 8,600         |        |         |
| Room & Board-Apartments/Oakwood/Dorm - Single                                | \$ 10,000        | \$ 10,000        |        |         |
| Residential students pay a single flat rate for housing and dining services. |                  |                  |        |         |

*In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus non-resident tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.*

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

**Health Science Center****FY 2022-23 Annual Tuition and Fees****Tuition**

|   |            |            | CHANGE |         |
|---|------------|------------|--------|---------|
|   | FY 2021-22 | FY 2022-23 | Amount | Percent |
| IN-STATE                                      |            |            |        |         |
| Graduate Health Sciences                      | \$ 10,894  | \$ 10,894  |        |         |
| MS Pharmacology                               | 16,712     | 16,712     |        |         |
| MS Forensic Dentistry                         | 13,500     | 13,500     |        |         |
| Medicine                                      |            |            |        |         |
| Doctor of Medicine                            | 34,566     | 34,566     |        |         |
| Physician Assistant                           | 22,924     | 22,924     |        |         |
| Dentistry                                     |            |            |        |         |
| General DDS                                   | 30,388     | 30,388     |        |         |
| Transitional DDS                              | 73,028     | 73,028     |        |         |
| Dental Hygiene Bachelor of Science            | 9,988      | 9,988      |        |         |
| Pharmacy                                      | 22,370     | 22,370     |        |         |
| Nursing                                       |            |            |        |         |
| Bachelors                                     | 12,705     | 12,705     |        |         |
| Graduate                                      | 18,698     | 18,698     |        |         |
| Health Professions                            |            |            |        |         |
| Entry Level Bachelor of Science               |            |            |        |         |
| Medical Technology                            | 7,990      | 7,990      |        |         |
| Audiology & Speech Pathology ****             | 11,110     | 11,110     |        |         |
| Entry Level Advanced Degrees *                | 13,814     | 13,814     |        |         |
| Masters of Cytopathology Practice             | 9,900      | 9,900      |        |         |
| Entry Lev Adv Degrees Audiology/Speech Path** | 18,820     | 18,820     |        |         |
| Post-Professional Degrees ***                 | 10,068     | 10,068     |        |         |
| Masters of Clinical Lab Science               | 10,068     | 10,068     |        |         |
| OUT-OF-STATE                                  |            |            |        |         |
| Graduate Health Sciences                      | 16,542     | 16,542     |        |         |
| MS Pharmacology                               | 25,140     | 25,140     |        |         |
| MS Forensic Dentistry                         | 18,500     | 18,500     |        |         |
| Medicine                                      |            |            |        |         |
| Doctor of Medicine                            | 51,850     | 51,850     |        |         |
| Physician Assistant                           | 38,962     | 38,962     |        |         |
| Dentistry                                     |            |            |        |         |
| General DDS                                   | 69,148     | 69,148     |        |         |
| Transitional DDS                              | 73,028     | 73,028     |        |         |
| Dental Hygiene Bachelor of Science            | 19,976     | 19,976     |        |         |
| Pharmacy                                      | 27,374     | 27,374     |        |         |
| Nursing                                       |            |            |        |         |
| Bachelors                                     | 36,930     | 36,930     |        |         |
| Graduate                                      | 43,538     | 43,538     |        |         |
| Health Professions                            |            |            |        |         |
| Entry Level Bachelor of Science               |            |            |        |         |
| Medical Technology                            | 12,000     | 12,000     |        |         |
| Audiology & Speech Pathology ****             | 29,300     | 29,300     |        |         |
| Entry Level Advanced Degrees *                | 31,796     | 31,796     |        |         |
| Masters of Cytopathology Practice             | 14,400     | 14,400     |        |         |
| Entry Lev Adv Degrees Audiology/Speech Path** | 43,396     | 43,396     |        |         |
| Post-Professional Degrees ***                 | 28,008     | 28,008     |        |         |
| Masters of Clinical Lab Science               | 14,400     | 14,400     |        |         |

**\* Entry Level Advanced Degrees**

Doctor of Physical Therapy  
Master of Cytopathology  
Master of Occupational Therapy

**\*\* Entry Level Advanced Degrees Audiology/Speech Path**

Doctor of Audiology  
Master of Science in Speech-Language Pathology  
Transitional Doctor of Audiology

**\*\*\* Post-Professional Degrees**

Doctor of Science in Physical Therapy  
Master of Science in Physical Therapy  
Transitional Doctor of Physical Therapy

**\*\*\*\* Bachelor of Audiology & Speech Pathology**

This is a joint degree with UTK where UTHSC will teach the 4th year of the Bachelor's program but charge the UTK tuition rate.

University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.

**Health Science Center**  
**FY 2022-23 Annual Tuition and Fees**

**Other Fee Details**

|   |                   |                   | <b>CHANGE</b> |                |
|---|-------------------|-------------------|---------------|----------------|
|   | <b>FY 2021-22</b> | <b>FY 2022-23</b> | <b>Amount</b> | <b>Percent</b> |
| <b>Programs &amp; Services and Required Fees</b>        |                   |                   |               |                |
| <b>Student Programs &amp; Services Fees *</b>           | \$ 1,000          | \$ 1,000          |               |                |
| <b>Technology Fee</b>                                   | 240               | 240               |               |                |
| <b>Graduation/Yearbook</b>                              | 50                | 50                |               |                |
| Total   | <u>\$ 1,290</u>   | <u>\$ 1,290</u>   |               |                |
| <b>Other Fees</b>                                       |                   |                   |               |                |
| <b>Health Insurance</b>                                 | \$ 3,734          | \$ 3,887          | \$ 153        | 4.1%           |
| <b>Disability Insurance</b>                             | 44                | 44                |               |                |
| <b>Malpractice Insurance</b>                            |                   |                   |               |                |
| Medicine  |                   |                   |               |                |
| Class of 2024 and 2025                                  | 22                | 22                |               |                |
| Class of 2022 and 2023                                  | 35                | 35                |               |                |
| Pharmacy  | 15                | 15                |               |                |
| Nursing   | 15                | 15                |               |                |
| Health Professions                                      | 15                | 15                |               |                |
| Dentistry   | 17                | 17                |               |                |
| <b>Course Proficiency Exam Fee</b>                      | 200               | 200               |               |                |
| <b>Other Fees - Health Professions</b>                  |                   |                   |               |                |
| CHP OT Board Review Fee                                 | 150               | 150               |               |                |
| CHP OT Media Fee  | 150               | 150               |               |                |
| <b>Other Fees - Nursing</b>                             |                   |                   |               |                |
| CON Pre-Licensure Digital Course Materials Fee-1st Term | 617               | 617               |               |                |
| CON Pre-Licensure Digital Course Materials Fee-2nd Term | 617               | 617               |               |                |
| CON Pre-Licensure Digital Course Materials Fee-3rd Term | 617               | 617               |               |                |
| CON DNP Digital Course Materials Fee                    | 155               | 230               | 75            | 48.4%          |
| CON Nursing Kit   | 350               | 350               |               |                |
| CON Digital Equipment Fee                               | 420               | 420               |               |                |
| CON Board Review Fee                                    | 315               | 315               |               |                |
| <b>Other Fees - Medicine</b>                            |                   |                   |               |                |
| Step 1 Exam Prep Fee                                    | 120               | 120               |               |                |
| COM PA Digital Course Materials Fee                     | 48                | 48                |               |                |
| COM PA Medical Equipment Fee                            | 476               | 476               |               |                |
| COM PA Board Review Fee                                 | 268               | 268               |               |                |
| <b>Other Fees - Pharmacy</b>                            |                   |                   |               |                |
| Pre-Naplex Exam Fee-4th Year all in Fall Semester       | 80                | 80                |               |                |
| MTM Certificate Fee-3rd Year all in Fall Semester       | 125               | 125               |               |                |
| Point of Care Testing Certificate Fee                   | 140               | 140               |               |                |
| COP Board Review Fee                                    | 175               | 175               |               |                |
| COP Accelerated Pharmacy Pathway Fee                    | 2,500             | 2,500             |               |                |
| COP Immunization Certificate Fee                        | 150               | 150               |               |                |
| <b>Other Fees - Dentistry</b>                           |                   |                   |               |                |
| Dentistry Student Government                            | 60                | 60                |               |                |
| Laboratory and Clinical Utilization Fee                 | 4,800             | 4,800             |               |                |
| Graduate Endodontics Clinical Utilization Fee           | 12,750            | 12,750            |               |                |
| Graduate Orthodontics Clinical Utilization Fee          | 7,000             | 7,000             |               |                |
| <b>Audiology Mandatory Fees (UTK Campus)**</b>          |                   |                   |               |                |

\* Student Programs and Services Fees (SPSF) detail are on page C-34.

\*\* UTK Mandatory Fees are on page C-19.

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# Health Science Center

## FY 2022-23 Annual Tuition And Fees

### Mandatory Fees

|  | FY 2021-22      | FY 2022-23      | CHANGE |         |
|--|-----------------|-----------------|--------|---------|
|  |                 |                 | Amount | Percent |
| IN-STATE AND OUT-OF-STATE                      |                 |                 |        |         |
| Student Programs and Services Fee (SPSF)       |                 |                 |        |         |
| Student Activity                               | \$ 26           | \$ 26           |        |         |
| Campus Recreation                              | 40              | 40              |        |         |
| Campus Improvement                             | 50              | 50              |        |         |
| Simulation Center Equipment Fee                | 300             | 300             |        |         |
| Debt Service                                   | 54              | 54              |        |         |
| Computer Based Testing Fee                     | 50              | 50              |        |         |
| Health Services                                | 200             | 200             |        |         |
| Counseling                                     | 280             | 280             |        |         |
| Total Student Programs and Services Fee (SPSF) | <u>\$ 1,000</u> | <u>\$ 1,000</u> |        |         |
| Other Mandatory Fees                           |                 |                 |        |         |
| Technology                                     | \$ 240          | \$ 240          |        |         |
| Graduation/Yearbook                            | 50              | 50              |        |         |
| Total Other Fees                               | <u>\$ 1,290</u> | <u>\$ 1,290</u> |        |         |

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

**Health Science Center****FY 2022-23 Annual Tuition and Fees****Online Fees**

|   | FY 2021-22    | FY 2022-23    | CHANGE |         |
|---|---------------|---------------|--------|---------|
|   |               |               | Amount | Percent |
| <b>HEALTH SCIENCE CENTER ONLINE</b>   |               |               |        |         |
| HSC online course fees are charged per credit hour with no maximum credit hour cap. |               |               |        |         |
| <b><u>UNDERGRADUATE (Medical Technology)</u></b>                                    |               |               |        |         |
| <b>IN-STATE</b>   |               |               |        |         |
| Course Fee  | \$ 350        | \$ 350        |        |         |
| Online Support  | 46            | 46            |        |         |
| Total   | <u>\$ 396</u> | <u>\$ 396</u> |        |         |
| <b>OUT-OF-STATE</b>   |               |               |        |         |
| Course Fee  | \$ 415        | \$ 415        |        |         |
| Online Support  | 46            | 46            |        |         |
| Total   | <u>\$ 461</u> | <u>\$ 461</u> |        |         |
| <b><u>GRADUATE</u></b>  |               |               |        |         |
| <b>IN-STATE</b>   |               |               |        |         |
| Course Fee  | \$ 640        | \$ 640        |        |         |
| Online Support  | 46            | 46            |        |         |
| Total   | <u>\$ 686</u> | <u>\$ 686</u> |        |         |
| <b>OUT-OF-STATE</b>   |               |               |        |         |
| Course Fee  | \$ 705        | \$ 705        |        |         |
| Online Support  | 46            | 46            |        |         |
| Total   | <u>\$ 751</u> | <u>\$ 751</u> |        |         |
| <b><u>HEALTH INFORMATICS AND INFORMATION MANAGEMENT</u></b>                         |               |               |        |         |
| <b>IN-STATE</b>   |               |               |        |         |
| Course Fee  | \$ 500        | \$ 500        |        |         |
| Online Support  | 50            | 50            |        |         |
| Total   | <u>\$ 550</u> | <u>\$ 550</u> |        |         |
| <b>OUT-OF-STATE</b>   |               |               |        |         |
| Course Fee  | \$ 550        | \$ 550        |        |         |
| Online Support  | 50            | 50            |        |         |
| Total   | <u>\$ 600</u> | <u>\$ 600</u> |        |         |
| <b><u>Nursing Doctorate</u></b>   |               |               |        |         |
| <b>IN-STATE</b>   |               |               |        |         |
| Course Fee  | \$ 600        | \$ 600        |        |         |
| Online Support  | 50            | 50            |        |         |
| Total   | <u>\$ 650</u> | <u>\$ 650</u> |        |         |
| <b>OUT-OF-STATE</b>   |               |               |        |         |
| Course Fee  | \$ 650        | \$ 650        |        |         |
| Online Support  | 50            | 50            |        |         |
| Total   | <u>\$ 700</u> | <u>\$ 700</u> |        |         |

University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.



## Veterinary Medicine

### FY 2022-23 Annual Tuition and Fees Fall and Spring Semesters

|                                     | FY 2021-22       | FY 2022-23       | CHANGE |         |
|-------------------------------------|------------------|------------------|--------|---------|
|                                     |                  |                  | Amount | Percent |
| IN-STATE                            |                  |                  |        |         |
| Maintenance Fee                     | \$ 28,054        | \$ 28,054        |        |         |
| Mandatory Fees                      | 1,832            | 1,832            |        |         |
| Total Tuition and Fees              | <u>\$ 29,886</u> | <u>\$ 29,886</u> |        |         |
| OUT-OF-STATE                        |                  |                  |        |         |
| Maintenance Fee                     | \$ 28,054        | \$ 28,054        |        |         |
| Non-Resident Tuition                | 27,036           | 27,036           |        |         |
| Total Out-of-State Tuition          | <u>\$ 55,090</u> | <u>\$ 55,090</u> |        |         |
| Mandatory Fees                      | 2,062            | 2,062            |        |         |
| Total Out-of-State Tuition and Fees | <u>\$ 57,152</u> | <u>\$ 57,152</u> |        |         |

*The purpose of the Student Programs and Services Fee (SPSF) is to advance the University's educational mission by funding non-instructional services, activities, programs, and facilities that promote student satisfaction and retention or promote the intellectual, physical, emotional, social, cultural, or leadership development of students. The Board of Trustees retains ultimate control of the SPSF and sets the amount of the SPSF at each campus annually upon the recommendation of the Board's Finance and Administration Committee.*

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

**University of Tennessee System**  
**FY 2022-23 Annual Tuition and Fees**  
**Fees for Disabled and Elderly Persons**

|                                 | FY 2021-22 | FY 2022-23 | CHANGE<br>Amount |
|---------------------------------|------------|------------|------------------|
| <b>Disabled/Elderly Persons</b> |            |            |                  |
| <b>COURSES FOR CREDIT</b>       |            |            |                  |
| Per Semester Hour               | \$ 7       | \$ 7       |                  |
| Maximum Fee per Semester        | 70         | 70         |                  |
| <b>AUDIT COURSES</b>            |            |            |                  |
|                                 | No Charge  | No Charge  |                  |

*Fee levels mandated by Tennessee Code Annotated 49-7-113.*

## **University of Tennessee FY 2022-23 Proposed Budget**

### **Appendix: Accounting and Budget Terminology**

#### **Current Funds**

Current funds are available to the university for use in achieving any of its authorized institutional purposes. They include revenues generated by or appropriated for current operations as well as carryovers from previous years set aside for current operations. These funds may be either unrestricted or restricted:

- **Unrestricted** – funds which the university retains full control of their use, or
- **Restricted** – funds which are externally restricted and may be used only in accordance with the purposes established by the provider

#### **Current Fund Categories**

There are two categories of current funds used by UT:

- **Educational and General** – consists of all core functions of the university necessary to support the teaching, research, and public service missions of the university
- **Auxiliary Enterprises** – self-supporting enterprises which furnish services to students, faculty, and staff. Examples include housing, bookstores, food service, and UT Knoxville Men's Athletics.

#### **Current Fund Revenue Sources**

- **Tuition and Fees** – funds collected from students for educational purposes
- **Appropriations** – primarily funding received from the State of Tennessee to support current operations of the university. Appropriations may also be received from the federal government and from local (city and county) governments.
- **Grants and Contracts** – funds received from governmental (federal, state, local) or non-governmental (private organizations or individuals) entities resulting from grants or contracts entered into to furnish goods or services.
- **Sales and Services of Educational Activities** – revenues from the sale of goods or services related to educational activities. Examples include the sale of literary publications, testing services, the sale of agricultural products, theater revenues, clinical services, and band and sports camps.
- **Other Revenues** – revenues not included in the above classifications. Includes gifts from private organizations or individuals investment income, income from endowments (funds which principal must be maintained inviolate but which interest income may be expended) not dedicated to a specific expenditure, miscellaneous rentals and sales, and conference revenues.

## University of Tennessee FY 2022-23 Proposed Budget

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### Accounting and Budget Terminology (continued)

#### Functional Area Expenditure Categories

- **Instruction** – expenses for activities that are part of an institution’s instruction program. Expenses for credit and noncredit courses; academic, vocational, and technical instruction; and regular, special, and extension sessions should be included.
- **Research** – expenses for activities specifically organized to produce research, whether commissioned by an agency external to the institution or separately budgeted by an organizational unit within the institution.
- **Public Service** – expenses for activities established primarily to provide non instructional services beneficial to individuals and groups external to the institution. Such activities include community service programs and cooperative extension services.
- **Academic Support** – expenses to provide support for the university’s primary mission of instruction, research, and public service; includes libraries, academic computing support, museums, and academic administration.
- **Student Services** – expenses incurred for offices of admissions and the registrar and activities with the primary purpose of contributing to students’ emotional and physical well-being and intellectual, cultural, and social development outside the context of the formal instruction program. It includes expenses for student activities, cultural events, student newspapers, intramural athletics, student organizations, intercollegiate athletics (if the program is not operated as an auxiliary enterprise), counseling and career guidance (excluding informal academic counseling by the faculty), student aid administration, and student health service (if not operated as an auxiliary enterprise).
- **Institutional Support** – expenses related to executive management, fiscal operations, legal services, personnel services, administrative computing, and contractual payments to the UT Foundation, Inc. for the provision of alumni and development programs.
- **Operation and Maintenance of Physical Plant** – expenses for the operation and maintenance of buildings and grounds, utilities, custodial services, and campus security.
- **Scholarships and Fellowships** – expenses for aid to students in the form of monetary grants resulting from selection by the institution or from an entitlement program.

#### Transfers

- **Mandatory** – transfers from current funds to another fund group arising from a legal binding agreement, primarily the retirement of debt obligations for buildings.
- **Non-mandatory** – transfers from current funds to another fund group made at the discretion of the university. Examples include the setting aside of funds for the renewal or replacement of equipment, funding institutional match requirements for construction projects, and building long term reserves for future contingencies.

## **University of Tennessee FY 2022-23 Proposed Budget**

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### **Accounting and Budget Terminology (continued)**

#### **Natural Classification Expenditure Categories**

Expenditure categories reflecting type of good or service: salaries, fringe benefits, non-personnel operating expense, equipment, scholarships, fellowships, utilities, supplies, and contractual services.

#### **Unrestricted Net Assets**

Funds designated or reserved for specific purposes such as working capital, revolving funds, encumbrances, and reappropriations. A portion of these funds are maintained as unallocated funds at a level that falls within a certain percent range of total expenditures and transfers.

- **Working capital** – provides sufficient liquidity to fund accounts receivable, inventories, and petty cash. These are required by state regulations and are considered non-expendable during the fiscal year.
- **Revolving funds** – fund balances tied to revenue-generating units (e.g., service centers, motor pools, conference centers, medical clinics) and university wide cost-distribution models (e.g., unemployment compensation, workers compensation liabilities, claims liabilities, etc.).
- **Encumbrances** – funds carried over for commitments for purchases of goods and services that were not received before the close of the fiscal year. They are fully expended during the fiscal year.
- **Reappropriations** – funds carried forward for specific programs and initiatives. The most common example is a project that was planned for the previous fiscal year but delayed until the next fiscal year. Most reappropriations are fully expended during the current year.
- **Unallocated Reserves** – are contingency funds used to respond to fluctuations in revenues and expenditures. The unallocated reserve for E&G operations is limited to 2% to 5% of total expenditures and transfers; the auxiliary target range is 3% to 5% of expenditures and transfers.



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Capital Outlay Funding Requests, FY 2023-24 through FY 2027-28**

Type: Action

Presenter(s): David L. Miller, Senior Vice President and Chief Financial Officer  
Austin Oakes, Assistant Vice President, Capital Projects

8.1

### **Background Information**

Annually, in accordance with Tennessee Higher Education Commission and State Department of Finance and Administration guidelines, the administration develops a five-year schedule of capital outlay funding requests. The schedule for FY 2023-24 through FY 2027-28 follows this summary.

The five-year schedule reflects \$785,003,400 in requested state funding for capital outlay projects, which is net of the requisite institutional funding match for new construction and for major renovation.

### **Board Action**

The Board Chair will call for a motion to recommend adoption of the following Resolution by the Board of Trustees.

#### **Resolved:** The Board of Trustees:

1. Approves the schedule of Capital Outlay Funding Requests for FY 2023-24 through FY 2027-28 and authorizes the administration to submit the schedule to state government, a copy of which shall be attached to this Resolution after adoption;
2. Authorizes the administration to enter into contracts for design and construction of capital outlay projects funded for FY 2023-24 within available funds;
3. Approves the granting of any easements, licenses, disposals of utilities, rights of entry, and rights of way necessary for any capital outlay projects funded for FY 2023-24; and

4. Authorizes the President to reprioritize the FY 2023-24 and FY 2024-25 project requests as a result of changes in direction from state leadership.
5. The proper officers of the University be and hereby are authorized to take any and all such actions as may be required or which they may deem necessary or appropriate in order to accomplish the foregoing; and

**THE UNIVERSITY OF TENNESSEE  
CAPITAL OUTLAY PRIORITIES**

| Priority | Projects  | Total Project         | Institutional Match   | STATE FUNDING         |                       |                      |                       |                       |
|----------|---|-----------------------|-----------------------|-----------------------|-----------------------|----------------------|-----------------------|-----------------------|
|          |   |                       |                       | FY 23-24              | FY 24-25              | FY 25-26             | FY 26-27              | FY 27-28              |
| 1        | UTC - Fletcher Hall Addition & Reno             | \$ 87,680,000         | \$ 30,000,000         | \$ 57,680,000         |                       |                      |                       |                       |
| 2        | UTK - Chemistry Building                        | 150,500,000           | 25,585,000            | 124,915,000           |                       |                      |                       |                       |
| 3        | UTHSC - Gross Anatomy Lab Renovation            | 24,130,000            | 482,600               | 23,647,400            |                       |                      |                       |                       |
|          | <b>TOTAL</b>                                    | <b>\$ 262,310,000</b> | <b>\$ 56,067,600</b>  | <b>\$ 206,242,400</b> |                       |                      |                       |                       |
| 4        | UTIA - Garden Education & Discovery Center      | \$ 30,150,000         | \$ 1,246,000          |                       | \$ 28,904,000         |                      |                       |                       |
| 5        | UTM - College of Business & Global Affairs      | 50,000,000            | 3,000,000             |                       | 47,000,000            |                      |                       |                       |
| 6        | UTC - Research Lab Facility                     | 100,000,000           | 8,000,000             |                       | 92,000,000            |                      |                       |                       |
|          | <b>TOTAL</b>                                    | <b>\$ 180,150,000</b> | <b>\$ 12,246,000</b>  |                       | <b>\$ 167,904,000</b> |                      |                       |                       |
| 7        | UTIA - CVM Renovation & Expansion               | \$ 24,500,000         | \$ 12,000,000         |                       |                       | \$ 12,500,000        |                       |                       |
| 8        | UTHSC - Biorepository                           | 12,600,000            | 252,000               |                       |                       | 12,348,000           |                       |                       |
| 9        | UTK - Dabney Hall Renovation                    | 54,400,000            | 3,240,000             |                       |                       | 51,160,000           |                       |                       |
|          | <b>TOTAL</b>                                    | <b>\$ 91,500,000</b>  | <b>\$ 15,492,000</b>  |                       |                       | <b>\$ 76,008,000</b> |                       |                       |
| 10       | UTC - Multi-Discipline Research/Lab Facility    | \$ 120,000,000        | \$ 9,600,000          |                       |                       |                      | \$ 110,400,000        |                       |
| 11       | UTM - Fine Arts Music Hall & Theatre Renovation | 47,000,000            | 2,716,600             |                       |                       |                      | 44,283,400            |                       |
| 12       | UTK - Jessie Harris Bldg. Renovation            | 57,240,000            | 3,434,400             |                       |                       |                      | 53,805,600            |                       |
|          | <b>TOTAL</b>                                    | <b>\$ 224,240,000</b> | <b>\$ 15,751,000</b>  |                       |                       |                      | <b>\$ 208,489,000</b> |                       |
| 13       | UTHSC - Coleman Building Renovation             | \$ 40,600,000         | \$ 812,000            |                       |                       |                      |                       | \$ 39,788,000         |
| 14       | UTIA - North Greenhouse Expansion               | 6,950,000             | 278,000               |                       |                       |                      |                       | 6,672,000             |
| 15       | UTK - Walters Life Sciences Renovation          | 85,000,000            | 5,100,000             |                       |                       |                      |                       | 79,900,000            |
|          | <b>TOTAL</b>                                    | <b>\$ 132,550,000</b> | <b>\$ 6,190,000</b>   |                       |                       |                      |                       | <b>\$ 126,360,000</b> |
|          | <b>GRAND TOTAL</b>                              | <b>\$ 890,750,000</b> | <b>\$ 105,746,600</b> |                       |                       |                      |                       | <b>\$ 785,003,400</b> |



## PRIORITIES

### **Capital Outlay**

#### **FY 2023-2024**

##### UTC Fletcher Hall Addition & Reno. - \$87,680,000 *Total Project*

Renovation and addition to Fletcher Hall to accommodate growth of the Rollins College of Business.

Renovation includes building systems, envelope repairs, and a portion of the building interiors.

Construction of the addition will include relocation of site utilities and demolition of the Development House. Includes all related work to complete the project.

##### UTK Chemistry Building - \$150,500,000 *Total Project*

Construction of a new Chemistry Building, including the demolition of the Panhellenic Building and Buehler Hall and all related work to complete the project.

##### UTHSC Gross Anatomy Lab Renovation - \$24,130,000 *Total Project*

Renovation of the General Education Building to provide space for the Gross Anatomy Lab including all related work to complete the project.

#### **FY 2024-2025**

##### UTIA Garden Education & Discovery Center - \$30,150,000 *Total Project*

Construction of the Garden Education and Discovery Center, including outdoor site amenities, and all related work to complete the project.

##### UTM College of Business & Global Affairs - \$50,000,000 *Total Project*

Construction of the College of Business and Global Affairs building, including demolition of the existing Business Administration Building. Includes all related work to complete the project.

##### UTC Research Lab Facility - \$100,000,000 *Total Project*

Construction of a new multi-disciplinary research space facility for faculty research teams. Includes all related work to complete the project.

#### **FY 2025-2026**

##### UTIA CVM Renovation & Expansion - \$24,500,000 *Total Project*

Renovation and addition to the College of Veterinary Medicine, expanding the critical care unit and feline center. Includes site work and all related work to complete the project.

##### UTHSC Biorepository - \$12,600,000 *Total Project*

Renovation of the Food Bank Building into the Biorepository. Includes all related work to complete the project.

##### UTK Dabney Hall Renovation - \$54,400,000 *Total Project*

Renovation of Dabney Hall to upgrade building use functions as well as improvements to building systems and correction of code deficiencies. Includes all related work to complete the project.

## **FY 2026-2027**

### UTC Multi-Discipline Research/Lab Facility - \$120,000,000 *Total Project*

Construction of a new facility which will accommodate technology related to secure networking computation and reliability along with global communication and research. Site utility relocation is included and includes all related work to complete the project.

### UTM - Fine Arts Music Hall & Theatre Renovation - \$47,000,000 *Total Project*

Construction of a new addition for fine arts performances and renovation of support spaces in the existing building. Includes all related work to complete the project.

### UTK - Jessie Harris Bldg. Renovation - \$57,240,000 *Total Project*

Renovation of the Jessie Harris Building to upgrade building use functions and upgrade all building systems, finishes, and support functions. Includes all related work to complete the project.

## **FY 2027-2028**

### UTHSC - Coleman Building Renovation - \$40,600,000 *Total Project*

Renovation of the Coleman Building to upgrade all building use functions and building systems. Includes all related work to complete the project.

### UTIA - North Greenhouse Expansion - \$6,950,000 *Total Project*

Expansion of the North Greenhouse to expand teaching and research facility. Includes demolition of adjacent greenhouses and all related work to complete the project.

### UTK - Walters Life Sciences Renovation - \$85,000,000 *Total Project*

Renovations to upgrade all building use functions and building systems along with correcting code deficiencies. Includes all related work to complete the project.



# THE UNIVERSITY OF TENNESSEE

## BOARD OF TRUSTEES

### AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Capital Maintenance Funding Requests, FY 2023-24 through FY 2027-28**

Type: Action

Presenter(s): David L. Miller, Senior Vice President and Chief Financial Officer and Austin Oakes, Assistant Vice President, Capital Projects

8.2

### **Background Information**

Annually, in accordance with Tennessee Higher Education Commission and State Department of Finance and Administration guidelines, the administration develops a five-year schedule of capital maintenance funding requests. The schedule for FY 2023-24 through FY 2027-28 follows this memorandum and reflects \$383,880,000 in requested state funding for capital maintenance projects.

### **Board Action**

The Board Chair will call for a motion to recommend adoption of the following Resolution by the Board of Trustees.

#### **Resolved:** The Board of Trustees:

1. Approves the schedule of Capital Maintenance Funding Requests for FY 2023-24 through FY 2027-28 and authorizes the administration to submit the schedule to state government, a copy of which shall be attached to this Resolution after adoption;
2. Authorizes the administration to enter into contracts for design and construction of capital maintenance projects funded for FY 2023-24 within available funds; and
3. Approves the granting of any easements, licenses, disposals of utilities, rights of entry, and rights of way necessary for any capital maintenance projects funded for FY 2023-24;

4. Authorizes the President to reprioritize the FY 2023-24 and FY 2024-25 project requests as a result of changes in direction from state leadership.
5. The proper officers of the University be and hereby are authorized to take any and all such actions as may be required or which they may deem necessary or appropriate in order to accomplish the foregoing.

**THE UNIVERSITY OF TENNESSEE  
CAPITAL MAINTENANCE PRIORITIES  
STATE APPROPRIATIONS**

| Priority | Projects   | FY 23-24            | FY 24-25            | FY 25-26            | FY 26-27            | FY 27-28              |
|----------|--|---------------------|---------------------|---------------------|---------------------|-----------------------|
| 1        | UTC Building Envelope Repairs Ph I                         | 3,650,000           |                     |                     |                     |                       |
| 2        | UTIA CVM Building Envelope Repairs                         | 3,610,000           |                     |                     |                     |                       |
| 3        | UTK Steam Plant Improvements                               | 3,600,000           |                     |                     |                     |                       |
| 4        | UTM Elam Center Exterior Wall Repairs                      | 4,000,000           |                     |                     |                     |                       |
| 5        | UTS Building Envelope Repair                               | 2,000,000           |                     |                     |                     |                       |
| 6        | UTK Second Creek Repair & Storm Sewer Replacement          | 2,880,000           |                     |                     |                     |                       |
| 7        | UTC Building Envelope Repairs Ph II                        | 3,700,000           |                     |                     |                     |                       |
| 8        | UTHSC GEB Systems Upgrades                                 | 7,480,000           |                     |                     |                     |                       |
| 9        | UTM Building Envelope Repairs                              | 1,770,000           |                     |                     |                     |                       |
| 10       | UTK A&A Windows Replacement & Masonry Repair               | 5,400,000           |                     |                     |                     |                       |
| 11       | UTIA Morgan Hall HVAC Improvements                         | 8,350,000           |                     |                     |                     |                       |
| 12       | UTHSC Storage Tank Replacement                             | 3,750,000           |                     |                     |                     |                       |
| 13       | UTS Electrical Systems Upgrade                             | 2,000,000           |                     |                     |                     |                       |
| 14       | UTC Building Envelope Repairs Ph III                       | 3,650,000           |                     |                     |                     |                       |
| 15       | UTK Science & Engineering Bldg. Utilities Upgrades         | 3,000,000           |                     |                     |                     |                       |
| 16       | UTM Mechanical Systems Controls Upgrade                    | 1,390,000           |                     |                     |                     |                       |
| 17       | UTHSC Roof Replacements                                    | 3,130,000           |                     |                     |                     |                       |
| 18       | UTK Stokely Mgt Cntr Elevator Upgrades                     | 1,500,000           |                     |                     |                     |                       |
| 19       | UTC Building Envelope Repairs Ph IV                        | 2,510,000           |                     |                     |                     |                       |
| 20       | UTK Communications Water Intrusion Repairs                 | 1,560,000           |                     |                     |                     |                       |
| 21       | UTM Multiple Buildings Roof Replacements                   | 1,900,000           |                     |                     |                     |                       |
| 22       | UTK Underground Electrical Distribution Upgrades           | 1,800,000           |                     |                     |                     |                       |
| 23       | UTHSC Window & Masonry Repairs                             | 5,000,000           |                     |                     |                     |                       |
| 24       | UTK Agriculture Campus Water Line Upgrades                 | 3,900,000           |                     |                     |                     |                       |
| 25       | UTM Chiller Replacement North Plant                        | 2,500,000           |                     |                     |                     |                       |
| 26       | UTK HPER Exterior Improvements                             | 2,100,000           |                     |                     |                     |                       |
| 27       | UTHSC Facilities Physical Plant Building Upgrades          | 2,510,000           |                     |                     |                     |                       |
|          | <b>Total</b>   | <b>\$88,640,000</b> |                     |                     |                     |                       |
|          |  |                     |                     |                     |                     |                       |
|          |  |                     |                     |                     |                     |                       |
| 1        | UTIA Vet Research Ed Center Repairs                        |                     | 5,130,000           |                     |                     |                       |
| 2        | UTS Johnston Center Upgrades                               |                     | 5,000,000           |                     |                     |                       |
| 3        | UTK UTSI Multiple Buildings, Site & Infrastructure Repairs |                     | 4,000,000           |                     |                     |                       |
| 4        | UTC Cadek Hall Upgrades                                    |                     | 8,100,000           |                     |                     |                       |
| 5        | UTK Utility Upgrades                                       |                     | 3,000,000           |                     |                     |                       |
| 6        | UTHSC Van Vleet Systems Upgrades                           |                     | 4,990,000           |                     |                     |                       |
| 7        | UTK Blount Hall Envelope Repairs                           |                     | 2,640,000           |                     |                     |                       |
| 8        | UTS Turner Center Repairs                                  |                     | 530,000             |                     |                     |                       |
| 9        | UTK Student Services Elevator Upgrades                     |                     | 2,500,000           |                     |                     |                       |
| 10       | UTIA REC-Building Upgrades                                 |                     | 5,500,000           |                     |                     |                       |
| 11       | UTK Claxton/Bailey HVAC Upgrades                           |                     | 3,000,000           |                     |                     |                       |
| 12       | UTM Storm and Sewer Line Upgrades                          |                     | 1,700,000           |                     |                     |                       |
| 13       | UTK Hesler Biology Flat Roof Replacement                   |                     | 1,440,000           |                     |                     |                       |
| 14       | UTC Patten & Danforth Upgrades                             |                     | 5,000,000           |                     |                     |                       |
| 15       | UTHSC Dunn Building HVAC Upgrades                          |                     | 4,990,000           |                     |                     |                       |
| 16       | UTIA Clyde York 4-H Center Upgrades                        |                     | 3,500,000           |                     |                     |                       |
| 17       | UTM Restroom Upgrades                                      |                     | 2,400,000           |                     |                     |                       |
| 18       | UTHSC Coleman HVAC Improvements                            |                     | 3,500,000           |                     |                     |                       |
|          | <b>TOTAL</b>   |                     | <b>\$66,920,000</b> |                     |                     |                       |
|          |  |                     |                     |                     |                     |                       |
|          | <b>TOTAL FY 25-26</b>                                      |                     |                     | <b>\$76,970,000</b> |                     |                       |
|          | <b>TOTAL FY 26-27</b>                                      |                     |                     |                     | <b>\$75,270,000</b> |                       |
|          | <b>TOTAL FY 27-28</b>                                      |                     |                     |                     |                     | <b>\$ 76,080,000</b>  |
|          | <b>GRAND TOTAL</b>   |                     |                     |                     |                     | <b>\$ 383,880,000</b> |
|          |  |                     |                     |                     |                     |                       |
|          |  |                     |                     |                     |                     |                       |
|          |  |                     |                     |                     |                     |                       |
|          |  |                     |                     |                     |                     |                       |

8.2

## PRIORITIES

### **Capital Maintenance FY 2023-2024**

#### UTC Building Envelope Repairs Ph I - \$3,650,000

Repairs and maintenance of exterior building components for façades and roofing systems. Includes all related work to complete the project. Primary buildings: Founders Hall, 801 McCallie Ave., Grote Hall and Jones Observatory.

#### UTIA CVM Building Envelope Repairs - \$3,610,000

Replacement of all exterior windows along with repairs for the exterior building façade and some roofing system repairs. Includes all related work to complete the project.

#### UTK Steam Plant Improvements - \$3,600,000

Improvements of safety related items for the facility. Including upgrades to existing equipment systems, repair and replacements of equipment access areas while also taking care of site related approaches for servicing and protection in fencing and delivery points. Includes all related work to complete the project.

#### UTM Elam Center Exterior Wall Repairs - \$4,000,000

Repairs to the masonry brick veneer system and structural components at the south and north walls of the Elam Center. Repairs will also be made in the natatorium area for exposed structural components and related finishes. Also includes replacing overhead and service doors, and all related work to complete the project.

#### UTS Building Envelope Repair - \$2,000,000

Repairs and upgrades of exterior building components for facades and roofing systems. Includes all related work to complete the project. Primary buildings: Colonial Hall, Gault Fine Arts, and Maintenance House.

#### UTK Second Creek Repair & Storm Sewer Replacement - \$2,880,000

Repairs and improvements of the streambank to stop deterioration. Replacement of storm sewer along Melrose Avenue and Lower Drive. Includes all related work to complete the project.

#### UTC Building Envelope Repairs Ph II - \$3,700,000

Repairs and maintenance of exterior building components for façades and roofing systems. Includes all related work to complete the project. Includes all related work to complete the project. Primary buildings: Race Hall, Hooper Hall, Danforth Chapel, and the Hunter Hall Colonnade.

#### UTHSC GEB Systems Upgrades - \$7,480,000

Upgrades to mechanical system and associated building systems in the General Education Building (GEB). Includes all related work to complete the project.

#### UTM Building Envelope Repairs - \$1,770,000

Repairs of moisture related issues within the building envelope systems including replacement of exterior opening components. Includes all related work to complete the project. Primary buildings: Humanities Building, Margaret Perry Children Center, and Crisp Hall.

UTK A&A Windows Replacement & Masonry Repair - \$5,400,000

Repairs and replaces window systems, masonry, and structural remediation in Art & Architecture. Includes asbestos abatement and all related work to complete the project.

UTIA Morgan Hall HVAC Improvements - \$8,350,000

Replacement of the mechanical system and associated effected building systems, including life-safety and code improvements. Includes all related work to complete the project.

UTHSC Storage Tank Replacement - \$3,750,000

Replacement of existing underground fuel storage tanks for the General Education Building and Student Alumni Center with above ground storage tanks. Includes all related work to complete the project.

UTS Electrical Systems Upgrade - \$2,000,000

Upgrades of the electrical systems for Colonial Hall, DW Johnston Center, Warren Library, and Martin Hall and Theater. Includes all related work to complete the project.

UTC Building Envelope Repairs Ph III - \$3,650,000

Repairs and maintenance of exterior building components for façades and roofing systems. Includes all related work to complete the project. Primary buildings: Patten Chapel, Holt Hall, SimCenter, and Metro.

UTK Science & Engineering Bldg. Utilities Upgrades - \$3,000,000

Upgrades to the steam, condensate, and chilled water lines serving the Science & Engineering Bldg. and the chiller building. Includes all related work to complete the project.

UTM Mechanical Systems Controls Upgrade - \$1,390,000

Upgrades to the mechanical system controls in the University Center, Gooch Hall, and the Central Steam Plant. Includes all related work to complete the project.

UTHSC Roof Replacements - \$3,130,000

Replacement of roofing system and related components on the Physical Plant Office Building and Dunn Dental Building. Includes all related work to complete the project.

UTK Stokely Mgt Cntr Elevator Upgrades - \$1,500,000

Upgrades to the elevators in the Stokely Management Center. Includes all related work to complete the project.

UTC Building Envelope Repairs Ph IV - \$2,510,000

Repairs and maintenance of exterior building components for façades and roofing systems. Includes all related work to complete the project. Primary buildings: Mapp, Patten House, Patten Caretakers, Patten Carriage, Central Energy Plant, Administrative Services, EMCS, and Library.

UTK Communications Water Intrusion Repairs - \$1,560,000

Repair water intrusion issues in the building and envelope, and replace any damaged finishes in the Communications Building. Includes all related work to complete the project.

UTM Multiple Buildings Roof Replacements - \$1,900,000

Replacement of roofing systems on various buildings including Humanities, Student Life Building, McCombs, and the South Chiller Plant. Includes all related work to complete the project.

UTK Underground Electrical Distribution Upgrades - \$1,800,000

Replacement of high voltage cables serving the Communications Building, Circle Park, and Tom Black Track. Includes all related work to complete the project.

UTHSC Window & Masonry Repairs - \$5,000,000

Repair of exterior building façade components focusing on windows and masonry. Includes all related work to complete the project. Primary buildings: Hyman Building, Coleman Building, General Education Building, Johnson Building, Link Building, and Wittenborg Building.

UTK Agriculture Campus Water Line Upgrades - \$3,900,000

Upgrades to the water main and lines serving the Agriculture Campus. Includes all related work to complete the project.

UTM Chiller Replacement North Plant - \$2,500,000

Replacement of the chiller system in the North plant. Includes all related work to complete the project.

UTK HPER Exterior Improvements - \$2,100,000

Improvement of drainage to repair water intrusion into and around the Health, Physical Education, and Recreation (HPER) Building, including envelope repairs. Includes all related work to complete the project.

UTHSC Facilities Physical Plant Building Upgrades - \$2,510,000

Upgrades to the building systems along with exterior building envelope improvements. Includes all related work to complete the project.

### **Capital Maintenance FY 2024-2025**

UTIA Vet Research Ed Center Repairs - \$5,130,000

Repairs and replacements to the Animal Facilities Unit include mechanical and envelope building system repairs, along with replacement of windows and doors, interior finish upgrades, and site corrections for drainage. Includes all related work to complete the project.

UTS Johnston Center Upgrades - \$5,000,000

Upgrades of building mechanical and envelope system repairs and replacement, interior finish and restroom upgrades. Includes all related work to complete the project.

UTK UTSI Multiple Buildings, Site & Infrastructure Repairs - \$4,000,000

Repairs and replacements to windows, roof systems along with asbestos abatement. Site repairs include sidewalk, exterior lighting, and infrastructure updates to campus services. Includes all related work to complete the project. Primary buildings: Clement Main Academic Building, Vincent Physical Plant Building, Acoustic Lab Building, Vacuum Chamber Building, Advanced Technology Laboratory, and the Propulsion Research Facility.

UTC Cadek Hall Upgrades - \$8,100,000



Upgrades and repairs to building mechanical, exterior envelope and roofing systems, life safety systems, correct code related issues, asbestos removal, and general finish upgrades. Minor wall reconfigurations may be required for building systems and code updates. Includes all related work to complete the project.

UTK Utility Upgrades - \$3,000,000

Upgrades gas lines and metering at each building on the Hill. Includes all related work to complete the project.

UTHSC Van Vleet Systems Upgrades - \$4,990,000

Upgrades the building mechanical systems to comply with current lab and office facility standards. Includes all related work to complete the project.

UTK Blount Hall Envelope Repairs - \$2,640,000

Replace windows and exterior doors, and repair masonry. Includes abatement and all related work to complete the project.

UTS Turner Center Repairs - \$530,000

Upgrades include replacement of building systems along with some exterior envelope and site repairs. Includes all related work to complete the project.

UTK Student Services Elevator Upgrades - \$2,500,000

Upgrade elevators in the Student Services Building including the completion of the elevator in the empty shaft. Includes all related work to complete the project.

UTIA REC-Building Upgrades - \$5,500,000

Upgrades the livestock feed storage, handling and processing systems, miscellaneous facilities repairs, refurbish site drainage, and upgrade utilities and infrastructure. Includes all related work to complete the project.

UTK Claxton/Bailey HVAC Upgrades - \$3,000,000

Upgrades building mechanical systems equipment and controls in the Bailey Education and Philander Claxton buildings. Includes all related work to complete the project.

UTM Storm and Sewer Line Upgrades - \$1,700,000

Upgrades campus storm and sewer lines system and components. Includes all related work to complete the project.

UTK Hesler Biology Flat Roof Replacement - \$1,440,000

Replaces the roof system on Hesler Biology. Includes all related work to complete the project.

UTC Patten & Danforth Upgrades - \$5,000,000

Upgrades and repairs all building systems along with correction of code related issues. Interior finishes, door and window refurbishing or replacement, and asbestos abatement will be completed. Includes all related work to complete the project.

UTHSC Dunn Dental HVAC Upgrades - \$4,990,000

Upgrades the building mechanical systems for compliance with current medical facility standards. Includes all related work to complete the project.

UTIA Clyde York 4-H Center Upgrades - \$3,500,000

Replace multiple building roofs, upgrade multiple building systems, exterior envelope repairs, and replacement of openings. Site structures and amenity repairs will also be addressed. Includes all related work to complete the project.

UTM Restroom Upgrades - \$2,400,000

Upgrades multiple buildings restrooms to address code deficiencies. Includes all related work to complete the project. Primary buildings: Holland McCombs Center, Gooch Hall, Business Administration, and Crisp Hall.

UTHSC Coleman HVAC Improvements - \$3,500,000

Upgrades the building mechanical systems for compliance with current lab and office facility standards. Includes all related work to complete the project.



# THE UNIVERSITY OF TENNESSEE

## BOARD OF TRUSTEES

### AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Revenue/Institutionally-Funded Capital Projects, FY 2023-24**

Type: Action

Presenter(s): David L. Miller, Senior Vice President and Chief Financial Officer  
Austin Oakes, Assistant Vice President, Capital Projects

8.3

#### **Background Information**

The administration has developed the revenue/institutionally funded projects listed on the following schedule for inclusion in the FY 2023-24 State of Tennessee Budget Document. A brief narrative description of the projects, which totals \$113,870,000 follows the schedule. Although no state funds are requested for these projects, legislative approval is required.

#### **Board Action**

The Board Chair will call for a motion to recommend adoption of the following Resolution by the Board of Trustees.

#### **Resolved:** The Board of Trustees:

1. Approves the schedule of FY 2023-24 Revenue/Institutionally-Funded Capital Projects and authorizes the administration to submit the schedule to state government, a copy of which shall be attached to this Resolution after adoption;
2. Authorizes the administration to enter into contracts for design and construction of the FY 2023-24 projects within available funds;
3. Authorizes the administration to enter into contracts for design and construction associated with revenue/institutionally-funded projects subsequently identified before or during FY 2023-24, subject to the President's approval and subject to any subsequently identified projects being reported to the Board of Trustees at its next regularly scheduled meeting; and
4. Approves the granting of any easements, licenses, disposals of utilities, rights of entry, and rights of way necessary for FY 2023-24 revenue/institutionally-funded capital projects.
5. The proper officers of the University be and hereby are authorized to make any and all such actions as may be required or which they may deem necessary or appropriate in order to accomplish the foregoing.



**THE UNIVERSITY OF TENNESSEE**  
**PROPOSED REVENUE/INSTITUTIONALLY FUNDED PROJECTS**  
**FY 2023-2024**

| <b>Projects</b> |                                      | <b>FY 23-24</b>              |
|-----------------|--------------------------------------|------------------------------|
| <b>UTC</b>      |                                      |                              |
| 1               | Dining Services Refresh              | \$ 3,000,000                 |
| 2               | Fletcher Hall Dining                 | \$ 360,000                   |
| 3               | Fletcher Hall Parking                | \$ 1,660,000                 |
| 4               | Parking Lot and Paving Improvements  | \$ 1,500,000                 |
|                 |                                      | <u>\$ 6,520,000</u>          |
| <b>UTIA</b>     |                                      |                              |
| 1               | Clyde Austin 4-H Center Improvements | \$ 1,000,000                 |
| 2               | Clyde York 4-H Center Improvements   | \$ 1,000,000                 |
| 3               | CVM Building Improvements            | \$ 2,500,000                 |
| 4               | Fusion Center                        | \$ 62,500,000                |
| 5               | Lone Oaks 4-H Center Improvements    | \$ 1,000,000                 |
| 6               | Meat Science Lab Facility            | \$ 12,500,000                |
| 7               | NextGen Broiler Facility             | \$ 3,350,000                 |
| 8               | REC-Central Region Improvements      | \$ 2,500,000                 |
| 9               | REC-East Region Improvements         | \$ 2,500,000                 |
| 10              | REC-Western Region Improvements      | \$ 2,500,000                 |
| 11              | Ridley 4-H Center Improvements       | \$ 1,000,000                 |
|                 |                                      | <u>\$ 92,350,000</u>         |
| <b>UTK</b>      |                                      |                              |
| 1               | Classroom Upgrades                   | \$ 5,000,000                 |
| 2               | Dining Services Renovations          | \$ 1,500,000                 |
| 3               | Laboratory Upgrades                  | \$ 5,000,000                 |
| 4               | Parking & Roadway Improvements       | \$ 500,000                   |
| 5               | Volunteer Hall Fire Safety Upgrades  | \$ 3,000,000                 |
|                 |                                      | <u>\$ 15,000,000</u>         |
| <b>TOTAL</b>    |                                      | <u><u>\$ 113,870,000</u></u> |

8.3

## Revenue/Institutionally Funded Projects

### **UTC Dining Services Upgrades - \$3,000,000**

Upgrades the dining services venues across campus and includes all related work to complete the project.

### **UTC Fletcher Hall Dining - \$360,000**

Build out a food service POD and a catering kitchen in the Fletcher Hall addition. Includes all related work to complete the project.

### **UTC Fletcher Hall Parking - \$1,660,000**

Construct a parking garage on the ground floor of the Fletcher Hall addition. Includes all related work to complete the project.

### **UTC Parking Lot and Paving Improvements - \$1,500,000**

Improvements of campus roadways and parking lots and associated utilities as needed. Includes all related work to complete the project.

### **UTIA Clyde Austin 4-H Center Improvements - \$1,000,000**

Renovation of existing support facilities including improvements to site infrastructure. Includes all related work to complete the project.

### **UTIA Clyde York 4-H Center Improvements - \$1,000,000**

Renovation of existing support facilities including improvements to site infrastructure. Includes all related work to complete the project.

### **UTIA CVM Building Improvements - \$2,500,000**

Renovation of labs, classrooms, administrative, animal and support spaces in the College of Veterinary Medicine (CVM) as required for hospital operations. Includes all related work to complete the project.

### **UTIA Fusion Center - \$62,500,000**

Construction of an educational facility focusing on food security and urban food production. Includes site work for outdoor education and all related work to complete the project.

### **UTIA Lone Oaks 4-H Center Improvements - \$1,000,000**

Renovation of existing support facilities including improvements to site infrastructure. Includes all related work to complete the project.

### **UTIA Meat Science Lab Facility - \$12,500,000**

Construction of a meat processing facility, including renovation and an addition to the Johnson Research and Teaching Unit Facility. Includes all related work to complete the project.

### **UTIA NextGen Broiler Facility - \$3,350,000**

Construction of facilities and site infrastructure for broiler production, includes all related work to complete the project.

**UTIA REC-Central Region Improvements - \$2,500,000**

Renovation of existing support facilities including improvements to site infrastructure. Includes all related work to complete the project.

**UTIA REC-East Region Improvements - \$2,500,000**

Renovation of existing support facilities including improvements to site infrastructure. Includes all related work to complete the project.

**UTIA REC-Western Region Improvements - \$2,500,000**

Renovation of existing support facilities including improvements to site infrastructure. Includes all related work to complete the project.

**UTIA Ridley 4-H Center Improvements - \$1,000,000**

Renovation of existing support facilities including improvements to site infrastructure. Includes all related work to complete the project.

**UTK Classroom Upgrades - \$5,000,000**

Renovation of multiple classrooms across campus including technology improvements, finishes, furnishings, building systems, security and safety improvements. Includes all related work to complete the project.

**UTK Dining Services Renovations - \$1,500,000**

Upgrades branding for Dining Services venues across campus including graphics, finishes, furnishes, and utilities. Includes all related work to complete the project.

**UTK Laboratory Upgrades - \$5,000,000**

Upgrades lab spaces across campus including building systems, technology improvements, finishes, and safety improvements. Includes all related work to complete the project.

**UTK Parking & Roadway Improvements - \$500,000**

Improvements and repair of roadways, parking areas, curbs, gutters, and sidewalks; and improve site drainage by changing underground infrastructures as needed. Includes all related work to complete the project.

**UTK Volunteer Hall Fire Safety Upgrades - \$3,000,000**

Upgrades the fire alarm system in Volunteer Hall with a complete monitored system throughout the residence hall. Includes all related work to complete the project.



# THE UNIVERSITY OF TENNESSEE

## BOARD OF TRUSTEES

### AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Capital Demolition Funding Requests, FY 2023-24**

Type: Action

Presenter(s): David L. Miller, Senior Vice President and Chief Financial Officer  
Austin Oakes, Assistant Vice President, Capital Projects

8.4

#### **Background Information**

In accordance with Tennessee Higher Education Commission and State Department of Finance and Administration guidelines, the administration has developed a schedule of capital demolition funding requests. The schedule for FY 2023-24 follows this summary and reflects \$4,596,000 in requested state funding for the capital demolition projects.

#### **Board Action**

The Board Chair will call for a motion to recommend adoption of the following Resolution by the Board of Trustees.

#### **Resolved:** The Board of Trustees:

1. Approves the schedule of Capital Demolition Funding Requests for FY 2023-24 and authorizes the administration to submit the schedule to state government, a copy of which shall be attached to this Resolution after adoption;
2. Authorizes the administration to enter into contracts for design and construction of capital outlay projects funded for FY 2023-24 within available funds;
3. Approves the granting of any easements, licenses, disposals of utilities, rights of entry, and rights of way necessary for any capital outlay projects funded for FY 2023-24; and
4. Authorizes the President to reprioritize the FY 2023-24 project requests as a result of changes in direction from state leadership.
5. The proper officers of the University be and hereby are authorized to take any and all such actions as may be required or which they may deem necessary or appropriate in order to accomplish the foregoing.



The University of Tennessee  
**FY 2023-2024 Demolition Project Requests**  
*(Not included in Outlay Requests)*

|                              | <b>AMOUNT</b>       |
|------------------------------|---------------------|
| UTC Doctors Building         | \$ 1,525,000        |
| UTK Hotel Building - Concord | \$ 526,000          |
| UTK Water Treatment Plant    | \$ 1,545,000        |
| UTM Grove Apartments         | \$ 1,000,000        |
| <b>Totals</b>                | <b>\$ 4,596,000</b> |

8.4

## Demolition Projects

### **UTC Doctors Building - \$1,525,000**

Demolition of the Doctors Building and the two-story Parking Deck located on the same property. Includes all related work to complete the project.

### **UTK Hotel Building - Concord - \$526,000**

Demolish the Concord Campus Hotel Building including the crossover walkway to the adjacent building. Includes abatement, site cleanup, utility capping, stormwater compliance, and all related work to complete the project.

### **UTK Water Treatment Plant - \$1,545,000**

Demolish the Water Treatment Plant including abatement, site cleanup, utility capping, stormwater compliance, and all related work to complete the project.

### **UTM Grove Apartments - \$1,000,000**

Demolition of the remaining Grove Apartments. Includes all related work to complete the project.



## THE UNIVERSITY OF TENNESSEE SYSTEM BOARD OF TRUSTEES

### MEMORANDUM

TO: Members of the Executive Committee of the Board of Trustees

FROM: John Compton, Chair of the Board

DATE: June 16, 2022

SUBJECT: Annual Performance Review of President Randy Boyd

9

In accordance with the Policy on Presidential Performance Reviews (BT0004), I have conducted an annual review of President Randy Boyd's performance. The purposes of the annual performance review are: (1) to enable the President to enhance his performance and leadership; (2) to promote good communications and strong working relationships between the President, the Board of Trustees (the "Board"), and University constituencies; (3) to enable the President and Board to set mutually agreeable goals; and (4) to inform Board decisions on compensation and other terms of employment for the President.

#### *I. The Review Process*

- A. As required by the Board Policy, President Boyd prepared a written self-assessment statement (See Attachment 1). The self-assessment includes copies of the following items:
  - a year-end summary of performance results measured against the 2021 Objectives, which report was distributed to all members of the Board and presented by the President at the Executive Committee meeting held on January 21, 2022; and
  - the 2022 Objectives, which goals were presented to the Board at its Winter Meeting held on February 25, 2022.
- B. The views of University constituencies, Chancellors and other senior administrative staff, and Trustees were solicited through an electronic survey. A total of 87 individuals responded to the survey, representing an aggregate response rate of 72.5%. Approximately 95% of respondents rated President Boyd's performance as exceeding expectations (See Attachment 2).

*Page -1-*

Of the categories measured, he received the highest ratings for (i) providing visionary leadership; (ii) articulating strategic, long-term goals for the University system; (iii) integrity; (iv) providing leadership to ensure the University maintains highly effective relationships with the state legislature/state officials and Tennessee congressional delegation members/federal agencies; and (v) serving as an effective spokesperson for public higher education in Tennessee. Notably, the overall approval rating is slightly above the prior review period, and the highest performance categories remain generally consistent with previous results.

- C. Chancellors and Senior administrative staff had an opportunity to request a personal interview, and I spoke with these individuals.
- D. As required under the Board Policy, I discussed President Boyd's performance with Tennessee Speaker of the House of Representatives Cameron Sexton and Lieutenant Governor and Speaker of the Senate Randy McNally. The reviews of President Boyd's performance were extremely positive. Among other things, appreciation was expressed for his leadership and his efforts to engage, listen, and communicate on a regular basis with members of the legislature. President Boyd's attention to relationship building has led to improved confidence in the University, which has been evidenced by the General Assembly's unprecedented level of funding support. In terms of continuous improvement recommendations, both Speakers emphasized the potential value of taking additional time to assess and socialize the bigger initiatives under consideration.
- E. I met with President Boyd on June 16, 2022 to discuss his performance and goals and Trustee Amy Miles (Chair, Audit and Compliance Committee) and Trustee Donnie Smith (Chair, Education, Research, and Service Committee) participated as observers in the review process. Both Committee Chairs were provided a copy of this report and advised of the opportunity to submit a separate written assessment of the President's performance.

## II. *Review Period and Performance*

This performance review covers the period from January 1, 2021 through December 31, 2021. In addition to the constituent feedback noted above, President Boyd's performance was measured against the goals identified in the Strategic Plan 2021-2025 (See <https://tennessee.edu/plan/>). The Strategic Plan sets forth the following five pillars:

- Enhancing Educational Excellence;
- Expanding Research Capacities;
- Fostering Outreach and Engagement;
- Ensuring Workforce and Administrative Excellence; and
- Advocating for UT.

Championing Diversity and Inclusive Excellence is a foundational component of each of the aforementioned areas.

President Boyd's self-assessment identifies the 2021 Objectives by goal area and provides an in-depth assessment of results for each of these areas for the review period (See Attachment 1).

### ***III. Evaluation of Overall Performance as Chief Executive Officer of the UT System***

2021 Objectives. Beyond navigating the challenges associated with the ongoing COVID-19 pandemic, including fluctuating and sometimes competing federal and state health and safety mandates, the University made progress in each of the five goal areas set forth in the Strategic Plan.

As the results are outlined in detail in President Boyd's self-assessment, I have chosen not to address each of the goal areas in this memorandum. Instead, I will highlight a few of the most striking accomplishments.

#### UT Southern

President Boyd identified a unique opportunity to expand access to affordable, public higher education for the residents of southern, middle Tennessee. Under his leadership, a substantial amount of due diligence and other activity was undertaken to (i) assess the needs of the local community; (ii) evaluate the potential advantages and disadvantages of pursuing a potential acquisition of Martin Methodist College ("MMC"); and (iii) engage stakeholders around the value proposition of expanding the UT System to better serve the State of Tennessee.

Among other things, the following opportunities were identified for pursuing the acquisition:

- Stem the loss of Tennessee residents, who may pursue higher education out-of-state and often remain for jobs;
- Meet the labor need in the area by providing programs related to the area's top growing industries (healthcare, education, etc.);
- Increase the college-going rate by providing access to a quality, affordable education; and
- Boost the college degree attainment rate.

During the year, President Boyd and the leadership team worked diligently with the Tennessee Higher Education Commission (THEC), Governor Lee, and the state legislature. In May, the state legislature passed the Governor's budget, which included \$5.1 million recurring funding for ongoing support and \$1 million nonrecurring funding to assist with one-time acquisition costs. By obtaining this critical funding support, the University was positioned to reduce tuition for students by approximately 61%, from \$26,000 to \$10,200.

In June 2021, the formal proposal to acquire the assets of MMC and establish UT Southern was finalized and presented to the Board for its approval at the Annual Meeting. All necessary approvals, including accreditation by Southern Association of Colleges and Schools Commission on Colleges (SACSCOC), were obtained. Since July 1, 2021, a number of steps have been successfully performed to integrate UT Southern into the UT System.

### Student Enrollment and Success

Nationally, fall 2021 undergraduate enrollment declined across all higher education sectors, with public four-year institutions losing the largest number of students (251,400 or 3.8%) compared to the previous year.<sup>1</sup> “Our final look at fall 2021 enrollment shows undergraduates continuing to sit out in droves as colleges navigate yet another year of COVID-19,” said Doug Shapiro, Executive Director of the National Student Clearinghouse Research Center.

Accordingly, it is an extraordinary accomplishment that the UT System, as a whole, reached an all-time high in enrollment of 53,983 students (a 2.7% increase). In 2021, the UT System’s four-year and six-year graduation rates continued to increase.

### Financial Support

It was a remarkable year for the University in terms of state funding. These investments are due, in large part, to the confidence the state has in the University’s current leadership. In addition to the funding provided in support of UT Southern, the state budget included the following:

- Full funding of performance formula;
- \$5.1M recurring operating increase for UTHSC;
- \$8M nonrecurring for UT Oak Ridge Innovation Institute;
- \$2.2M recurring for 32 additional UT agriculture extension agents;
- \$59.9M for capital maintenance system-wide;
- \$56.8M funding for UTK Nursing Building and \$11.2M UTHSC Nash Buildout;
- \$500,000 to support the demolition of Grove dormitories at UT Martin; and
- \$500,000 to support IPS’ Law Enforcement Innovation Center.

Likewise, donor support was very strong. A “stretch” goal of \$208.9 million and 67,312 donors was set for the year. For the fiscal year ended June 30, 2021, the UT Foundation received over \$312 million in private commitments, representing 149% of this goal. It was the second-highest fundraising year in the University’s history. The year ended with 66,672 donors, far exceeding the primary goal of 60,540 donors and achieving 98% of the stretch goal.

<sup>1</sup> (<https://www.studentclearinghouse.org/blog/fall-2021-undergraduate-enrollment-declines-465300-students-compared-to-fall-2020/>).

### Leadership Transitions

In 2021, there were a number of retirements from key leadership positions, including the chancellor of the UT Health Science Center, the senior vice chancellor/senior vice president of the UT Institute of Agriculture (UTIA), and the treasurer of the University. National searches were conducted for each of these officer positions, and dynamic leaders were identified.

Dr. Peter Buckley was appointed as chancellor for the UT Health Science Center, and Luke Lybrand has been appointed as treasurer. Dr. Carrie Castille's appointment as the next senior vice chancellor/senior vice president of UTIA will come before the Board for consideration in June.

During the transition period from Dr. Cross' retirement until the selection and appointment of Dr. Castille, Dr. Linda Martin was appointed by President Boyd to serve as the interim leader of UTIA. Dr. Martin has provided exceptional leadership during this transitional period.

Lastly, I would like to commend Randy for his efforts, in collaboration with Chancellor Plowman, that resulted in the hiring of Danny White as the Vice Chancellor and Director of Athletics for UT Knoxville. During 2021, AD White has made important hires and led other initiatives, improving the overall quality and competitiveness of UT Knoxville's athletics program. For the academic year 2021-2022, UT Knoxville has been awarded the SEC All-Sports Trophy, which recognizes the top performing athletic achievements across all men and women sports. An outstanding accomplishment and achievement.

#### **IV. *Goals for 2022***

2022 Objectives. As previously noted, the 2022 Objectives were presented by President Boyd to the Board for review and discussion at the Winter Meeting held in February 2022 (See Attachment 1).

In May 2022, the THEC released an inaugural state college-going status report, [College Going and the Class of 2021](#). The report shows that the college-going rate has been trending down over the past five years, which in recent years was exacerbated by the pandemic.

In light of national trends and the findings contained in the THEC report, I have asked President Boyd to primarily focus his attention on the following items:

- Identifying the next chancellor for UT Southern to ensure that the campus is positioned to realize its full potential;
- Elevating and promoting key initiatives (i.e., UT Promise program and in-state residency for military-affiliated students) intended to improve access to and the affordability of a UT degree;

- Ensuring the successful launch of the UT-Oak Ridge Innovation Institute, leveraging the state's fully funded \$80 million commitment establishing the institute 8 years ahead of schedule and the \$20 million in grant funding provided by the U.S. Department of Energy;
- Assessing the University's current online footprint and providing recommendation(s) to the Board for how to best position the University for the long-term; and
- Leading the University's efforts to work with the state and others to improve the current capital project planning and approval processes in order to advance immediate and critical needs of the campuses/institutes, including campus housing necessary to support enrollment growth.

Opportunities for Improvement. The following areas for further improvement have been identified:

- *Tempo/Rhythm* – Across the board, constituent groups applaud President Boyd's unbridled enthusiasm for making this the "Greatest Decade" in the University's history. However, these same constituencies have shared their concern that the pace of activity may sometimes be too fast. The potential benefits of broader and earlier constituent engagement include a more robust opportunity to fully study of short- and long-term impacts, consider competing views, and improve upon initial ideas or identify possible alternatives. Such an approach may also foster the level of "buy-in" necessary for sustainable institutional change.
- *UT Strategic Plan* – The remaining undefined metrics associated with the Strategic Plan need to be finalized. Additionally, a dashboard or scorecard needs to be developed in order for the Board to measure the University's progress against key performance indicators and effectively track multi-year outcomes against the specified metrics.
- *System/Campus Alignment* – Our BeOneUT values need to be better aligned among the campuses and system leadership. This has been a long-standing institutional issue and progress has been made. However, there is feedback from stakeholders that improvement is still required.

In conclusion, President Boyd continues to provide visionary leadership. Randy excels in his engagement efforts with governmental officials at the local, state, and federal level as demonstrated by the recent successes in obtaining state/federal funding and donor support. President Boyd continues to be a highly effective advocate for the University and for public, higher education in the State of Tennessee.



On behalf of the Board of Trustees, I extend our sincere gratitude for his unwavering devotion and commitment to serving The University of Tennessee.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John Compton". The signature is fluid and cursive, with the first name "John" and last name "Compton" clearly distinguishable.

John Compton, Chair

*Supporting Materials:*

Attachment 1 – Self Assessment

Attachment 2 – Constituent Survey Results



# THE UNIVERSITY OF TENNESSEE SYSTEM

OFFICE OF THE PRESIDENT

RANDY BOYD  
*President*

TO: Chairman John Compton

FROM: Randy Boyd

DATE: April 12, 2022

RE: 2021 Performance Review

9

First, let me say again how thankful I am for this opportunity. I've dedicated the rest of my life to giving back to the state of Tennessee and there is no better place to do that than at the University of Tennessee.

2021 was another amazing year for the University of Tennessee across the state, thanks to the great faculty, staff and students and of course our tremendous Board of Trustees for their advice and counsel throughout the year. Rather than going through all of our accomplishments in 2021 (report attached), I wanted to highlight a few areas I'm particularly excited about.

- **Academic Success.** Any time you set records in enrollment and graduation rates, it's a good year. Many schools across the state saw declines last year, but we saw an increase in enrollment led by UT Knoxville. We also saw our four-year graduation rate rise by 0.4% and our six-year graduation rate rise by 0.9% to approximately 48% and 64% respectively.
- **UT Promise.** We were able to make a UT education more financially-accessible by increasing the threshold from \$50,000 to \$60,000. We were the first system in the country to do an access program like this statewide. We will continue to look for ways to expand the program.
- **UT Southern.** For the first time in more than 50 years, we added a new campus to the University of Tennessee System. It's the first public four-year higher education institution in southern middle Tennessee. We flipped the switch on July 1. Within the first six weeks of being UT Southern, they had an 8% increase in enrollment, which led the state in enrollment increases. Applications are up triple digits, and we are looking forward to an even bigger year this year. We are excited about the transformation it is making in that part of the state.
- **Improved partnerships with the state.** Part of our mission is to serve the state. In 2021, we secured five new partnerships with state agencies to align UT expertise with state interests and address grand challenges.

- **Be One UT Values.** In 2021, we created our Be One UT values, which will lead our work for decades to come.
- **Best year for support from our state.** We achieved 100% success on our legislative agenda. From the addition of 32 extension officers in rural counties to investments in facilities. We are thankful for their support.

Looking forward to 2022:

- **Leadership matters.** The biggest decisions I will make in this job are recruiting and retaining the very best leaders. We were able to lure Dr. Peter Buckley to be the new chancellor at the UT Health Science Center, who started Feb. 1. We have also hired Dr. Carrie Castille to serve as senior vice chancellor/senior vice president for the UT Institute of Agriculture. She will begin that role in July.
- **Expanding access to a UT education.** We will make it easier for students at a UT institution to transfer within the system, and we will expand our educational offerings by exploring ways to increase our online education offerings.
- **Develop automotive leadership talent.** With four major automotive manufacturers in our state, we need to attract automotive leadership to Tennessee. We will put together a taskforce for the governor to demonstrate how Tennessee can be a leader in automotive manufacturing.
- **Fully-fund UT Oak Ridge Innovation Institute.** Gov. Lee's proposed budget includes \$72 million to fully-fund ORII. If we are successful, this will ensure funding nine years ahead of schedule.
- **Improve health across our state.** Develop a statewide dental clinic network and expand the Substance Misuse and Addiction Resource of Tennessee's (SMART) impact across our state by utilizing the existing statewide network in the UT Institute for Public Service to help local communities.

Over the past two years, we've gotten off to a fantastic start in our quest to have the greatest decade in the history of the University of Tennessee. I look forward to continuing that progress in 2022.



## 2021 OBJECTIVES



## ENHANCING EDUCATIONAL EXCELLENCE

### OBJECTIVE: CREATE ACQUISITION STRATEGY

As part of our mission to provide affordable and accessible higher education, the University of Tennessee System will identify geographic locations in need of access to public higher education or other gaps in our offerings. In 2021, we will develop a long-term acquisition strategy, along with cultivating a pipeline for opportunities in the future.

#### RESULTS

- Researched opportunities to strategically improve access and offerings. Early conversations identified online education as one of our biggest opportunities. We are woefully behind and falling further behind by the year. Many other leading public universities like Purdue, University of Arkansas and University of Arizona have already acquired online providers.
- Engaged Huron Consulting to research the market, and they prepared a report on the current situation and future opportunities. That report has been shared with the Board and chancellors and posted on Transparent UT.

### OBJECTIVE: COMPLETE MARTIN METHODIST COLLEGE (MMC) ACQUISITION



The University of Tennessee System strives to provide affordable and accessible higher education to all Tennesseans. While we have addressed financial access with initiatives like the UT Promise, we must also address geographic access. Not everyone can travel to and/or afford to be a resident at our four campuses. Southern Middle Tennessee is a higher education desert and to better serve Tennesseans in that region, we will establish our first new campus in more than 50 years. Our first step in expanding access is to achieve successful approval from the Tennessee General Assembly, as well as approvals from the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) and the UT Board of Trustees for Martin Methodist College (MMC) to officially join the UT System by July 1, 2021. Upon receiving approval for the acquisition, fully transform MMC into UT Southern (policies, onboarding, SACSCOC, enrollment growth strategies, student success improvement, training, professional development, etc.). In addition, we will develop a new brand identity for UT Southern to ensure consistency with the UT brand and establish a toolkit that will successfully implement the brand.

#### RESULTS

- Successfully transitioned MMC to UT Southern on July 1. Results have immediately exceeded expectations. Enrollment in the fall was up 9%, leading the state, and applications for 2022 are up more than 200%.
- Became a case study for other university systems to follow. The UT System Office of Academic Affairs and Student Success (AASS) led a 2021 NASH Leadership Academy Team focused on the acquisition.

### OBJECTIVE: INCREASE ENROLLMENT SYSTEMWIDE BY 2%

Consistent with our goal to provide high-quality, public education to the residents of Tennessee, and in support of the Drive to 55, we aspire to increase total enrollment (graduate and undergraduate) by 2% systemwide.

#### RESULTS

- Enrollment increased by 2.7 % in fall 2021 systemwide for an all-time high of 53,983 students.
- Hired a new Assistant Vice President for Student Success, Bernie Savarese, in November 2021.

## ENHANCING EDUCATIONAL EXCELLENCE

**OBJECTIVE: DOUBLE UT PROMISE APPLICANTS AND PARTICIPANTS AND INCREASE RETENTION 10%**

Increase the number of UT Promise applicants and participants by 100%. Increase retention of UT Promise from fall to spring semester by 10% systemwide for the 2021-22 academic year. In addition, we will add \$10 million to the UT Promise endowment.

**RESULTS**

- Successfully brought UT Southern into the UT Promise program and established processes align with their campus culture.
- Implemented an opt-in form for students to either accept or deny their UT Promise award.
- Saw an increase of more than 1,000 applicants from 2021-2022 to 2022-2023.

**OBJECTIVE: LAUNCH UT ONLINE**

In order to enhance educational opportunities for students, we will prepare, pilot and implement the UT Online Consortium across the UT System. After piloting in Fall 2021, our goal is to offer 10 new courses through the consortium by year end and expand to 40 new courses offered on the online platform by the end of 2022.

**RESULTS**

- Concluded Phase I of III of the UT pilot in June 2021.
- Began work on Phase II in early August 2021. Phase II includes a technical process pilot spring 2022, Student Pilot fall 2023, identifying new courses for the consortium, ensuring high-quality online courses via UT's new systemwide partnership with Quality Matters, developing a work plan for Phase III, and making necessary adjustments to the program (e.g., fees, policies, incentives, sharing courses with the larger consortium, etc.).

*\* The initial pilot was delayed due to COVID-19 and is now due to launch in fall 2022. The goal is to offer 10 new courses through the consortium by the end of 2022 and identify 40 new courses to go through the campus approval process to be offered on the online platform by 2023.*

**OBJECTIVE: INCREASE SYSTEMWIDE RETENTION AND GRADUATION RATES BY 1%**

Convene a systemwide team to develop focused strategies around first-, second- and third-year retention rates, as well as four-year and six-year graduation rates. As a result, our goal is to increase retention and graduation rates systemwide by a minimum of 1% for academic year 2021-22. As retention and graduation rates continue to improve systemwide, incremental increases become increasingly more difficult to achieve. Future efforts to make improvements will require significant coordination and intentionality.

**RESULTS:**

- Established workgroups to identify opportunities to increase systemwide graduation and retention rates.
- For 2020-21, first-year retention decreased by 2.7% systemwide. While we did not achieve our goal of a 1% increase, we were unable to anticipate the full impact of COVID-19 at the time these goals were established.
- Graduation rates increased across the System - four-year graduation rate increased by 0.4% and six-year graduation rate by 0.9%.
- Administering the UT Student Experience Survey each semester. Data collected provided insight into ways we can continue to enhance student success and improve retention and graduation rates.

## ENHANCING EDUCATIONAL EXCELLENCE

**OBJECTIVE: CREATE NEW OPPORTUNITIES FOR ADULT LEARNERS**

Grow enrollment and better serve adult learners systemwide by expanding credentialing opportunities, partnering with industry and exploring cohort-based online degree offerings. Develop micro and/or stackable credentials to take advantage of areas of strength and available expertise. Our goal is to increase the percentage of adult learners systemwide by 10% during the upcoming academic year.

**RESULTS**

UT campuses continue to create new academic programs and certificates that offer flexible options for adult learners and returning students.

- UT Martin received final approval for two fully online master's program (Criminal Justice and Sport Coaching).
- UT Chattanooga is in the process of developing a new Bachelor of Applied Science in Cybersecurity which will offer online courses, flexible transfer, award academic credit through prior learning assessment, and provide learners the opportunity to attend part-time or full-time.
- UT Knoxville is in the process of developing a new Master of Science in Marketing that will be fully online and will be designed in a simulcast format for local and non-local students working full-time.
- All new academic program proposals now include a section on Tennessee Transfer Pathways alignment and early engagement with the Tennessee Board of Regents
- UT Knoxville has created several new fully online concentrations in (1) Community Development and Leadership Development; (2) American Studies; and (3) Cultures and Societies—all of which are designed and marketed to students who have an AA or AS degree already and are seeking a fully distance option.

**OBJECTIVE: LAUNCH TENNESSEE DIGITAL JOBS FACTORY**

Develop a vision and strategy roadmap for workforce development needs and partnerships to enhance computational literacy and digital skills for UT students, alumni, faculty and staff (e.g. re-skilling/up-skilling of existing workforce, follow-on certification and certificates, and credentialing for graduates).

**RESULTS:**

- Reached more than 400 learners in digital skills training programs, including AWS Cloud Computing, Power BI, Python, and Google IT Support. All learners are welcome, including those seeking their first job in IT, professionals and workers seeking to upskill, high school and college students, and anyone else who is interested in learning.
- Hosted training on Canvas Catalog which meant: (1) learners were afforded a Canvas-like experience even if they were not affiliated with UT; (2) engagement metrics were collected; (3) users were able to self-enroll for the training; and (4) credit card payments were accepted. There was a minimal cost associated with using Canvas Catalog which we passed along to the learners.
- Continue working with other organizations with a shared interest in workforce development to enlarge their efforts and to share our mission and grow our impact. We actively seek opportunities to fill gaps. For example, TDJF was contracted to provide Google IT Support training for Pellissippi State Community College's Reimagine Your Career Program. For this program, TDJF is leveraging free access to Coursera which is provided to all Tennesseans by the state through the end of 2022. The first cohort is underway, and a second cohort will begin in January 2022.

## EXPANDING RESEARCH CAPABILITIES

**OBJECTIVE: REACH AN ALL-TIME HIGH IN RESEARCH EXPENDITURES**

Research expenditures as reported to the National Science Foundation Higher Education Research and Development (HERD) survey have hit all-time records in each of the previous four years. Working with each of the campus Vice Chancellors for Research, we will develop ways to continue to support faculty engaged in research and expand proposals submitted, diversify the funding agencies, and support seed investments to strengthen our research enterprise systemwide.

**RESULTS**

- Established monthly meetings for chief research officers to discuss and develop policies and procedures and create collaborative opportunities. The System research office is working with each of them to better understand investment priorities that will help lead to large interdisciplinary research programs at each campus. We have invested seed funds to initiate some of those efforts this year including the One Health initiative at UTIA and the Center for Urban Informatics and Progress at UTC.
- The COVID-19 pandemic has had a profound impact on the research enterprise at universities across the country including the campuses and institutes within the UT System. Some groups geared up and were conducting research in response to the needs of the pandemic, while other groups were forced to shut down and put work on hold. The immediate need to offer on-line instruction also pulled faculty away from their research in FY21. The full impact of the pandemic on the UT research enterprise may not be realized for a few years, and while we expect projects to fully rebound, this will take time.
- Overall, research expenditures were lagging FY20 numbers for the first three quarters of the year but made a significant rebound in the fourth quarter of the year. While the official numbers are not available at this time, we do expect a slight overall increase and another all-time high in total research expenditures system wide in FY21.

**OBJECTIVE: GROW OAK RIDGE INNOVATION INSTITUTE AT UT**

Facilitate growth of research expenditures systemwide and develop top graduate talent by supporting the full launch of the Oak Ridge Innovation Institute at UT. In FY21, the goals of ORI at UT will be to:

- Recruit and hire a permanent, full-time executive director, two student recruiters, and complete the leadership team;
- Secure at least \$10M in additional funding from the state or other extramural sources;
- Recruit two senior level joint faculty hires in the area of data science to help lead and grow new joint programs;
- Recruit an exceptionally well qualified 2021 cohort of students for the three joint graduate programs;
- Seed and facilitate the submission of UT-ORNL teams to submit at least two center-type proposals (at least \$5M each) at UT campuses.



## EXPANDING RESEARCH CAPABILITIES

**OBJECTIVE: GROW OAK RIDGE INSTITUTE AT UT (CONTINUED)****RESULTS:**

- Hired Dr. Joan Bienvenue as the first full-time Executive Director and Vice Provost for the UT-Oak Ridge Innovation Institute (UT-ORII). UT-ORII has also hired a full-time student recruiter, Timothy Guthrie, for the joint graduate programs, and a complete interim leadership team is in place. Searches are underway for the two senior level joint hires. The 2021 cohort of students are active in all UT-ORNL joint graduate programs, and the first two rounds of seed funding has been awarded.
- Led the Science Alliance Student Mentoring and Research Training (SMaRT) program. Eighteen top-level undergraduate students from around the country participated the in-residence interdisciplinary research training. The SMaRT program collaborated with the national GEM Consortium that promotes participation of underrepresented groups in STEM, and four of our participants came through this pipeline. Overall, the diversity of the cohort matched or exceeded that represented in the U.S. population. While on campus, the students won the campus wide undergraduate Summer Research Scholars award for being the most engaged cohort. Four of the students remained with UT faculty to participate in internships, and four peer-reviewed publications have been awarded. Several of these students are now applying to return to complete their graduate studies in one of the three joint PhD programs.
- Included in the FY22 budget by Gov. Bill Lee and the Institute has secured extramural funding and submitted several promising proposals, including an NSF Research Traineeship (NRT) pre-proposal by the team led by Dr. Michael Kilbey.
- Received a \$3.7 million award from the Department of Energy Office of Science to host the World Data System International Program Office for the next five years and partnered with UTHSC on the submission of a five year, \$15M proposal to establish the Tennessee Clinical and Translational Science Institute (TN-CTSI) as part of the National Center for Advancing Translational Sciences, Clinical and Translational Science Award Program (waiting on notice of award). Finally, UT-ORII is partnered with a UTHSC-led consortium (that includes UTK, UTC, University of Memphis) for the of a submission of a \$25 million Good Jobs Challenge proposal, focused on developing new careers avenues in the areas of Data Analytics, Clinical Trials, Regenerative Science, Pharmaceutical Formulations, and Animal Husbandry.

**OBJECTIVE: SECURE UT'S POSITION TO SUPPORT THE Y-12/PANTEX CONTRACT**

Actively engage with Texas A&M University System to develop an effective workforce development plan for Y-12 and Pantex to support the M&O contracting team. The UT System will engage all UT campuses and at least two two-year community colleges in Tennessee in the effort.

**RESULTS**

- Entered into a strategic alliance with Texas A&M and developed a comprehensive workforce development plan to support the proposal SNO, LLC team. The full proposal was submitted in January 2021. On November 29, 2021, the US Department of Energy announced an intent to make an award for the contract, but the final contract has not yet been awarded.

## EXPANDING RESEARCH CAPABILITIES

**OBJECTIVE: RECRUIT 10 HIGH TECH COMPANIES TO THE REGION**

Launch the regional TechStars Accelerator in partnership with ORNL and TVA resulting in the recruitment of at least ten new high potential companies to the region this year. Engage the full entrepreneurial support community to help close the gaps identified by the 2020 assessment and recruit 100+ mentors to support the companies and their founders. In addition, UTRF will help launch at least five additional startups focused on the commercialization of UT technologies and help support the more than 40 active UTRF technology-based startups in the state.

**RESULTS**

- Partnered with Oak Ridge National Lab, Tennessee Valley Authority and Techstars to launch the Knoxville-Oak Ridge Corridor, Industries of the Future Accelerator. The partners selected Tricia Martinez as the Managing Director. Tricia is a serial entrepreneur, a Techstars alum, and most recently a Presidential Innovation Fellow where she served at the Department of Energy working across National Laboratories to drive commercialization and innovation. The accelerator has recruited ten companies and more than 100 mentors for participation in the first cohort who will be in residence in Knoxville starting February 2022. The participating companies are building breakthrough technologies in Artificial Intelligence, cleantech, Quantum, advanced manufacturing, biotech, and 5g technology. The Innov865 Alliance is working together to support the accelerator and to address identified gaps in the region. In addition, UTRF, TVA, KUB, and the city of Knoxville worked together to support the refurbishment and preparation of entrepreneurial space downtown.
- UT Research Foundation had a banner year in FY21, executing an all-time high 51 license agreements with commercial partners and supported the launch of six new startup companies based on UT intellectual property. UTRF currently manages 217 active license agreements and 45 active companies based on UT intellectual property.

**OBJECTIVE: LAND TWO NEW PROJECTS FOR UT RESEARCH PARK AT CHEROKEE FARM**

Support regional economic development and partnerships by executing at least two new building projects that result in at least 50,000 square feet of new space and at least 200 new jobs at the UT Research Park at Cherokee Farm. Cherokee Farm Development Corporation will also seek extramural funding to help support and grow the Spark Innovation Center and develop a strategy to take advantage of and market the Opportunity Zone status of the park.

**RESULTS:**

- The UT Research Park at Cherokee Farm has seen tremendous growth recently. Despite the global pandemic, the number of tenants in the park has tripled in the past two years.
- Began construction on a new 93,000 square foot Advanced Orthopedic Institute to provide unparalleled ambulatory surgical care, and support a new UT Knoxville center for translational research in health fields.
- Established the Spark Innovation Center to serve six promising early-stage technology companies, which are working side by side with UT Knoxville researchers in the campus's new Institutes for Advanced Materials and Manufacturing.
- A recent DOE award (\$900K total project) will enable the launch of a new Cleantech Accelerator program to serve the entire state.

**OBJECTIVE: CREATE TWO NEW  
PARTNERSHIPS THROUGH UTRF**

To serve the state's widespread needs for research commercialization and entrepreneurship, the UT Research Foundation will explore partnership agreements with at least two other institutions in Tennessee to help support their technology transfer and commercialization needs.

**RESULTS:**

- Developing an innovation economy in Tennessee requires a network of support resources at all the state's public higher education institutions. UTRF developed and presented a proposal to all of the vice presidents for research at the Locally-Governed Institutions (LGI) in June. The proposal was included as part of THEC's request for pilot funding. Two universities (MTSU and the University of Memphis) are moving forward with the agreement (as a pilot) prior to any state support.

## FOSTERING OUTREACH AND ENGAGEMENT

### OBJECTIVE: ALIGN WORK OF THE SUBSTANCE MISUSE AND ADDICTION RESOURCE FOR TENNESSEE (SMART) POLICY NETWORK TO MEET STATE NEEDS



Unquestionably opioid addiction is one of the greatest threats facing our state today. In 2021, we will execute a strategy to increase awareness of SMART resources to every member of the state legislature and statewide local officials. In addition, the group will develop four policy briefs in the areas of criminal justice reform and telehealth to align with the state's goals.

#### RESULTS

- Produced and distributed four briefs in the 2021 legislative session and worked with the government relations team to discuss a legislative strategy.
- Developed a proposal for state funding to move SMART into the UT Institute for Public Service to create greater connectivity with local elected officials and develop a statewide outreach program.
- Developed a proposal for the Commissioner of the Tennessee Department of Substance Abuse and Mental Health to assist the state in the areas of outreach, prevention, treatment and recovery.
- Successfully nominated a member of the SMART Steering Committee for appointment to the State's Opioid Abatement Taskforce, which will determine investments in opioid-related treatment and prevention efforts statewide.

### OBJECTIVE: PRODUCE \$250 MILLION ECONOMIC IMPACT FOR THE STATE IN MANUFACTURING

Through the Center for Industrial Service (CIS), UT will provide technical assistance and training to 200 manufacturers across Tennessee, producing \$250 million in economic impact, including increased sales, improved efficiency, capital investment, and new and retained jobs. In addition, CIS will provide counseling to 500 small businesses in government contracting, helping them secure \$1 billion in new contracts and increasing jobs.

#### RESULTS

- Assisted 793 businesses, facilitated \$1.07 billion in customer-reported economic impact and helped companies create or retain over 13,000 jobs. Economic impact includes increased sales, government contracts, improved efficiency, capital investment, and new and retained jobs.
- Assisted 181 manufacturers and facilitated \$160 million in economic impact. Met our government contract goals, however, by assisting 612 small business clients.

*\*Represents results for first three quarters only.*

### OBJECTIVE: TRAIN 400 POLICE AGENCIES IN CULTURAL COMPETENCY

The Law Enforcement Innovation Center (LEIC) will launch the Cultural Competency in Policing program with UT police departments this spring. Each University police officer within the system will receive the training this year. The program will also be offered to law enforcement officers throughout the state. Approximately 400 police agencies will be offered this training courtesy of the University of Tennessee.

#### RESULTS

- Conducted 17 two-day Cultural Competency trainings with 303 officers participating from 49 agencies, including all UT campuses. More than 80% of participants have been supervisors.
- Tracking to meet the goal by the end of the two-year span of the funding.

## FOSTERING OUTREACH AND ENGAGEMENT

**OBJECTIVE: GROW PARTICIPATION IN ELECTED OFFICIALS ACADEMY BY 15%**

In 2021, the Municipal Technical Advisory Service will introduce and offer to municipally elected officials a revamped and improved Elected Officials Academy. This will be developed as an online and participatory educational session available to the more than 2,000 municipally elected officials in the states' 345 cities and towns. Given the online opportunity and recent elections, we will increase participation by 15% than after the last major election cycle of 2018.

**RESULTS**

- Re-designed the Elected Official's Academy curriculum and modified to offer an online option for completion. Offering the improved course electronically has been a huge success with registrations by year's end more than five times those of the last election cycle (from 89 elected officials to 486 thus far registered for Elected Official's Academy Level 1)

**OBJECTIVE: DEVELOP FIVE NEW PARTNERSHIP OPPORTUNITIES FOR UT WITH STATE GOVERNMENT**

Part of our mission is to serve the state. We will identify and pursue five new partnerships with state agencies in 2021 to align UT expertise with state interests and address grand challenges.

**RESULTS**

In 2021, five new partnerships with state agencies have been officially secured.

- UT Martin is working in partnership with the Tennessee Department of Education (TDOE) to deliver free ACT prep workshops for students statewide and professional development opportunities for in-house high school ACT team leads.
- UT Health Science Center is partnering with the Tennessee Department of Health to offer dentistry services in rural Tennessee.
- UT Knoxville is providing support to the TDOE by helping to recruit and assess at least 50 qualified applicants to serve as facilitators for summer 2021 week-long professional development courses on foundational literacy skills for 11,000 Tennessee educators.
- The UT colleges of education was awarded grant funding to support the TDOE in implementing the Tennessee Literacy Success Act that passed during the special legislative session.
- A system-wide proposal to support state literacy efforts, the Tennessee Reading Research Center, was awarded to UT Knoxville through the Tennessee Department of Education.
- There are several other partnership opportunities that we are working to officially secure. For example, SMART developed a proposal for the Commissioner of the Tennessee Department of Substance Abuse and Mental Health to assist the state in the areas of outreach, prevention, treatment and recovery, which is currently being reviewed.

## ENSURING WORKFORCE AND ADMINISTRATIVE EXCELLENCE

### OBJECTIVE: COMPLETE PHASE I OF ENTERPRISE RESOURCE PLANNING (ERP)

Phase I of implementing a new ERP system is aligning business processes, establishing data governance, and the change management plan to facilitate selection of the next ERP software in CY21. Streamline and standardize administrative processes to reduce the implementation time and cost of the ERP implementation. Additionally, serve as the statewide leader in ERP planning and implementation for higher education.

#### RESULTS

- A systemwide team worked diligently throughout 2021 to define our Enterprise Resource Planning (ERP) needs, conduct a thorough bidding and evaluation process, and select a vendor. After a comprehensive and publicly competitive process, the team has selected Oracle to build the new system for a 2024 launch.
- Pulled together the LGIs and the Tennessee Higher Education Commission (THEC) to present a collaborative approach to the ERP process and presented it to Gov. Bill Lee for support. We are hopeful that the State may fund up to \$150 million in support, saving UT nearly \$50 million in implementation costs.

### OBJECTIVE: MEASURE PROGRESS IN DIVERSITY AND INCLUSION



Establish and annually monitor and report accountability measures for University of Tennessee inclusion, diversity and engagement (UT IDE) for faculty, staff and student under-represented groups (ex. recruitment, retention, and graduation rates as compared to the non-minority populations).

#### RESULTS

- Reaffirmed the University's standing diversity statement by the Board of Trustees. This reaffirmation clarifies the intent and opportunity of UT to foster campus communities that are diverse and fully-inclusive.
- Re-imagined the presidential committee that will guide our direction to operate and manage all efforts involving diversity, inclusion, equity, engagement and belonging.
- Created a strategic alliance to help us develop strategic direction, spark robust dialogue and facilitate awareness opportunities.
- Launched the UT IDE Strategic Alliance Plan in support of the overall UT strategic plan refresh. In June 2021, we introduced the strategic alliance plan to enable the UT IDE governance structure that aligns the work with newly established committees, helping to develop the expected accountability measures to be monitored and reported annually. This governance structure will ensure a long-term sustainability plan for this work.

### OBJECTIVE: LEADERSHIP TRAINING

Create a UT Executive Leadership Certification Master Class plan by 2022 to develop higher education and private-sector leadership, practices, mentorship, and best in class strategies for success. This Master Class would provide participants with real-world business challenges and utilize current and past leaders' expertise to bridge the gap between theory and practice in addressing the challenges.

#### RESULTS

- Launched a number of new programs (both online self-service and instructor led face-to-face) through the Employee and Organizational Development office to increase employee training and career development.
- Re-imagining program content through collaboration for both the Leadership Institute and Executive Leadership Institute to ensure that the content is both relevant and current as we work to finalize the structure for the "Master Class."

## ENSURING WORKFORCE AND ADMINISTRATIVE EXCELLENCE

**OBJECTIVE: COMPLETE UTK, UTC AND UT SYSTEM STRATEGIC PLANS**

Complete new strategic plans for the UT System, UT Chattanooga and UT Knoxville. All plans will be aligned and presented for approval at the June 2021 Board meetings.

**RESULTS**

- Presented the updated system strategic plan to Board of Trustees in June and October. UT Chattanooga and UT Knoxville presented their strategic plans at the fall board meeting.

**OBJECTIVE: LAUNCH UT POLICY CENTRAL**

Improve and streamline the policymaking process, developing and launching a new policy-specific website, and offering new employee trainings on key policies. The goal is to roll out the new website and policy templates in the first quarter of 2021, with employee trainings to begin later in the year.

**RESULTS**

- Established a new System Policy Office, housed within the Office of the General Counsel.
- Created a new General Policy Advisory Group with representatives from all campuses and institutes. Several new and revised policies have been developed and issued. Among the policies that have been significantly updated are the following: Policy Governing Issuance of System-wide Administrative Policies (System Policy GE0001), State and Federal Government Relations Activities (System Policy GE0003), and Conflict of Interests (System Policy FI0125).
- Developing a new, interactive policy website to be launched in early 2022.

**OBJECTIVE: CREATE BOARD RESOURCE SITE**

In collaboration with the Board Secretary, develop educational materials (written briefs and/or video modules) pertaining to federal and state laws applicable to higher education. Topics under consideration include Title IX/Clery Act, First Amendment/free speech on campus, foreign influence/export control regulations, and new/revised legislation on emerging topics (e.g., name/image/likeness in intercollegiate athletics). The goal is to create materials for 3-4 important topics in 2021. The training materials will also be available on Transparent UT.

**RESULTS**

- Developing several educational modules. The first two presentations – covering the topics of free speech activities on campus and public records in Tennessee – will be available in Diligent for Trustees to review in early January. Training sessions on foreign influence, conflicts of interest, and name, image and likeness will follow.

## ADVOCATING FOR UT

**OBJECTIVE: INCREASE PRIVATE GIFTS AND EXPAND DONOR ENGAGEMENT**

While the UT Foundation has experienced record-breaking numbers in recent years, the pandemic certainly had an impact on results in 2020. As the foundation prepared for 2021, the challenge has been to establish goals that are aggressive as well as realistic given the many factors at play. Based upon these parameters, UTFI has set a primary goal of raising \$176 million in private support, with a secondary, or “stretch” goal of \$208 million. In addition, the primary goal for donors is 60,540, with a stretch goal of 67,312.

**RESULTS**

- Established a “stretch” goal of \$208.9 million and 67,312 donors in fiscal year 2021. During FY21, which ended on June 30, 2021, UTFI received just over \$312.4 million in private commitments, representing 149% of the “stretch” goal. This total is the second-highest fundraising year in UT’s history, highlighted by the \$79 million commitment of Wildwood Farm from Melanie Taylor.
- Ended the year with 66,672 donors, the second-largest total in history, and representing 98% of the goal for the year.

**OBJECTIVE: ACHIEVE STATE FUNDING SUCCESS**

Realize 100% success in all funding requests, including \$5.1 million in recurring funds for UTHSC, \$2.3 million for UTIA for Extension agents, \$10 million for ORI and \$5.1 million for the Martin Methodist College acquisition and path forward. Additionally, successfully achieve funding for ranked capital projects, such as the nursing renovation and addition at UT Knoxville, the Innovation and Product Realization Facility at UT Martin and the Nash Buildout at UTHSC.

**RESULTS**

- Achieved 100% success in all funding requests, including \$5.1 million in recurring funds for UTHSC, \$2.3 million for UTIA for Extension agents, \$8 million for UT-ORII, and \$5.1 million for the Martin Methodist College acquisition and path forward.
- Received funding for our capital projects - \$56.8 million the nursing renovation and addition at UT Knoxville, \$11.2 million for the Nash buildout at UTHSC and \$59.9 million for capital maintenance across the UT System.
- Worked in support of \$4 million recurring to support graduate medical education in Tennessee, \$1 million non-recurring to support engineering scholarships for minority students at public Tennessee universities, \$500,000 non-recurring toward the UTM Grove dormitories demolition, and \$500,000 non-recurring toward the IPS Law Enforcement Innovation Center (LEIC) for the Distressed and At Risk Rural Training (DARRT) initiative.

**OBJECTIVE: DEVELOP THREE NEW POLICY INITIATIVES THAT ADDRESS GRAND CHALLENGES**

From access to higher education, to workforce development, to mental health, to opioid abuse and beyond – develop opportunities for UT to lead in creating policy initiatives that tackle grand challenges facing our state and nation.

**RESULTS**

- Obtained funding to add the UT Southern campus, located in Pulaski, Tenn., to aid in the state’s goal to educate more Tennesseans (Drive to 55).
- Developed and secured legislation and funding aimed at addressing the grand challenge of improving our rural healthcare workforce through Graduate Medical Education (GME).
- Working to develop and identify new policy initiatives that address the state’s grand challenges outside of the state legislative session.
- Secured \$50 million in new funding to address food security and supply chain resiliency in Tennessee agriculture by developing a funding proposal for American Rescue Plan funds.



## ADVOCATING FOR UT

**OBJECTIVE: EXPAND AND ALIGN UT BRAND  
ACROSS THE STATE**

In 2021, we will build on the work done in 2020 to create brand consistency and identify opportunities to further leverage the brand and amplify the stories of the collective impact of UT across the state. While each campus and institute has its own voice and strategic communications priorities, there is a unique opportunity to quantify and promote the System's collective impact and unify the brand to raise awareness for all UT components. The team will create a plan for 2021 and work with campus and institute communicators to find additional opportunities to amplify messages that reinforce "Everywhere You Look, UT." Add 12 additional murals in strategic locations across the state, with the ultimate goal of having a mural in all 95 counties by 2030. In addition, we will Expand Our Tennessee magazine (formerly Tennessee Alumnus) to four times a year to increase awareness of impact and connectivity across the UT System.

**RESULTS**

- Established monthly lead communicators meetings to align communications and identify opportunities to amplify messages.
- Developed a systemwide marketing and communications plan to highlight UT's work around the grand challenges.
- Secured and painted 12 new murals in 2021. We are in discussions for 15 additional murals for 2022.
- Developed a process and timeline to expand Our Tennessee magazine to four issues annually, which will begin in 2022.

**OBJECTIVE: EXECUTE AFFILIATION  
AGREEMENTS FOR UTHSC**

Successfully negotiate and finalize key affiliations with the University's medical education partners in Memphis and Knoxville. Goal is to finalize affiliation agreements in place by the end of the current fiscal year (June 30, 2021).

**RESULTS**

- Successfully negotiated and finalized a key affiliation agreement with the University's medical education partner in Memphis with Methodist Hospital and made significant progress on an affiliation agreement in Knoxville with UT Medical Center, which we hope to sign in early 2022.

## BONUS ACCOMPLISHMENTS

### SELECTION OF NEW UT HEALTH SCIENCE CENTER (UTHSC) CHANCELLOR

In June, Chancellor Schwab announced he was retiring from his position and rejoining the faculty. With the help of an outstanding search committee chaired by Trustee Bill Rhodes and co-chaired by Vice President Stacey Patterson, the committee presented me with four outstanding candidates. With the help of literally hundreds of students, faculty and staff throughout UTHSC and the UT System, we were able to select the ideal candidate to lead the UT Health Science Center for the next decade - Dr. Peter Buckley. Leadership matters, and Dr. Buckley will be an impactful, transformational leader.

### THE DEPARTURE OF UTIA SENIOR VICE CHANCELLOR AND SENIOR VICE PRESIDENT CHANCELLOR TIM CROSS

Tim announced early last summer that he was stepping down after an illustrious and legendary tenure as leader of the UT Institute of Agriculture. Thankfully, Vice President of Academic Affairs and Student Success Linda Martin, whose background is agriculture, stepped up to be the interim as we search for a new permanent leader, and she has done an incredible job.

### EVERYWHERE YOU LOOK, UT TOUR

Starting in August, we launched an “Everywhere You Look, UT” tour. The System Communications and Marketing Office planned and executed a statewide “Everywhere UT” tour with campus and institute communicators to highlight UT’s impact across the state. The tour visited 48 counties with approximately 1,000 total attendees at event stops, including state legislators and city and county elected officials.

### 100% SUCCESS ON LEGISLATIVE AGENDA, GOVERNMENT OPERATIONS RULES, AND FISCAL REVIEW CONTRACT VOTES

The System Office of Government Relations successfully advocated for and achieved unanimous approval of UT’s official legislative package including measures to increase 4-H participation and provide greater confidentiality in Chancellor searches. The team also led efforts in securing approval of a number of rules

### COVID SPECIAL SESSION

The government relations team and General Counsel’s office led efforts to secure critical bill language that protected UT research and federal funding interests in light of changing federal and state requirements surrounding COVID-19.

### CONSISTENT DIPLOMAS

The UT System Office of Academic Affairs and Student Success led a systemwide committee to redesign and develop consistent UT diplomas and transcripts (which included acquiring a single contract for diploma services, standardizing and updating the design of the UT diploma, and developing board policies on future changes to diplomas and the award of posthumous and honorary degrees across the System).



THE UNIVERSITY OF  
TENNESSEE  
SYSTEM

## 2022 OBJECTIVES

## ENHANCING EDUCATIONAL EXCELLENCE

### GROW AND ENRICH UT PROMISE

Increase year-to-year retention of current recipients by 10%; increase the number of eligible students at UT who are receiving UT Promise by 10%, and increase the number of eligible student applications by 25%.



### LAUNCH UT ONLINE CONSORTIUM\*

Launch the UT Online Consortium (course sharing platform for online coursework across UT campuses) pilot in 2022 in preparation for a full launch in 2023; 10 new courses will be offered in 2022 and 40 new courses in 2023. To facilitate future growth of the UT Online Consortium, the UT System will lead a collaborative effort to align components of academic infrastructure to more effectively serve online learners systemwide.



*\*Metrics established previously in collaboration with UT campuses.*

### COORDINATE UNDERGRADUATE ADMISSIONS ACROSS THE UT SYSTEM\*

Develop a process that would allow undergraduate applicants to be considered for more than one UT campus during the undergraduate admissions process. Applicants denied at one campus would be presented with an option to enroll and matriculate at another campus within the UT System.

*\*Based on recommendations from the annual CAO-CSAO Annual Summit.*

### DEVELOP SYSTEMWIDE COMMON ACADEMIC DATA DEFINITIONS

In preparation for implementing a common systemwide student information system (SIS), we will develop common systemwide academic data definitions.

### EXPAND UT'S EDUCATIONAL FOOTPRINT\*

Grow total enrollment by 2000 learners systemwide; increase fall-to-fall retention of first-year undergraduates by .80%.

*\*Metrics determined as a per year increment of the 5-yr goals outlined in the UT Systemwide Strategic Plan (Strategic Plan metrics developed in collaboration with UT campuses).*

## EXPANDING RESEARCH CAPABILITIES

### IMPLEMENT NEW FEDERAL RESEARCH AND DEVELOPMENT (R&D) PROTECTIONS

Work with the campuses to review, develop, and provide detailed strategies, guides, and procedures to better align our R&D practices, including training and compliance, with emerging federal guidance implementing new research security requirements designed to strengthen and protect the nation's R&D enterprise.

### ESTABLISH STATEWIDE TECHNOLOGY TRANSFER SUPPORT

Partner with the locally-governed institutions (LGI) institutions to develop and support their commercialization potential to support a truly statewide innovation economy in Tennessee.

### CREATE A STATEWIDE MOBILITY INNOVATION COLLABORATIVE

In partnership with Tennessee Economic and Community Development, UT will facilitate the development of a statewide mobility innovation collaborative that leverages all of Tennessee's research talent and workforce development resources. Working together, Tennessee can become a national leader and a natural magnet for mobility industries to locate not only the translation of today's technologies into products and services but also the development of mobility technologies for tomorrow.

### ESTABLISH A NEW SYSTEMWIDE SEED FUNDING OPPORTUNITY

In partnership with the Chief Researchers, develop and launch a formal seed support program for up to \$1 million. The program will support the development of large, multi-investigator programs. The support for this new program will be from earned fees and other earned contract revenues.

## FOSTERING OUTREACH AND ENGAGEMENT

### SUPPORT UTHSC TO DEVELOP A STATEWIDE DENTAL HEALTH CLINIC NETWORK

Working with the Tennessee Department of Health and the UT Health Science Center (UTHSC) College of Dentistry, we will open up to six new dental clinics that will provide care for the indigent and low-income Tennessee residents and provide training opportunities for our students.

### INCREASE SMART'S IMPACT ACROSS THE STATE

Transition the Substance Misuse and Addiction Resource of Tennessee (SMART) to the Institute of Public Service (IPS) to provide statewide assistance to mitigate the consequences of the opioid crisis and substance misuse in Tennessee. The transition will increase access to existing resources and expertise through the UT System and expand efforts by way of the already existing IPS and Extension relationships and connections. In addition, identify and pursue two new partnerships in this space with state agencies in 2022 to align UT expertise with state interests.



### LAUNCH THE TENNESSEE GOVERNMENT PROFESSIONALS INSTITUTE (TGPI)

Through the Naifeh Center for Effective Leadership (NCEL), in partnership with the state's Department of Human Resources (DoHR), create TGPI for 60 subject matter experts representing state government agencies across Tennessee. This new leadership program will focus on the need for innovation, creativity, and bold, unconventional initiatives to be executed by state experts. Identifying these agencies' grand challenges will afford UT an opportunity to better position itself in offering stronger partnerships and resources to help them overcome many of these contemporary challenges.

### PRODUCE \$300 MILLION ECONOMIC IMPACT FOR THE STATE IN MANUFACTURING

Through the Center for Industrial Service (CIS), UT will provide technical assistance and training to 200 manufacturers across Tennessee, which will produce \$300 million in economic impact, including increased sales and improved efficiency, capital investment, and new and retained jobs. In addition, CIS will provide counseling to 600 small businesses in government contracting, helping them secure \$1.1 billion in new contracts and increasing jobs.

## ENSURING WORKFORCE AND ADMINISTRATIVE EXCELLENCE

### SELECT NEW LEADER FOR THE UT INSTITUTE OF AGRICULTURE (UTIA)

In collaboration with UT Knoxville Chancellor Plowman, hire an outstanding leader for UTIA for the decade to come. Leadership matters, and nowhere is that truer than for the UTIA on which so many in our state depend. We will select a new senior vice chancellor and senior vice president early in the year.



### IMPROVE CAPITAL PROJECTS PROCESS

Participate with other state leaders in improving capital projects processes. UT, along with other public institutions to THEC to our colleagues at the Speaker's offices and the State Building Commission, will find ways that maintain accountability while making the process more efficient.

### IMPLEMENT PILOT CONSTRUCTION AUDIT PROCESS

Initiate a process by which a third-party consultant will conduct audits of construction billing and contract compliance by contractors and designers on designated capital projects. The goals are to ensure diligent stewardship of state and private funds and promote compliance with state requirements, contract requirements, best construction practices, and internal controls. It is anticipated that increased efficiencies and cost savings may be recognized as the result of these audits. If the pilot project is successful, this would be the start of an ongoing audit program for construction projects.

### CONTINUE TO IMPROVE POLICYMAKING PROCESS

Launch the new system policy website and continue to work with the campuses to build an improved and streamlined process for creating system policies, campus procedures, and related guidance documents. This new policy process will include input from all campuses as the Policy Office reviews and revises system human resources, fiscal, general, and other policies to make them easier to comprehend. The Policy Office will also work with campuses to develop their own guidance on creating and tracking campus procedures.

### LAY FOUNDATION FOR ENTERPRISE RESOURCE PLANNING

Building upon the selection of Oracle as the new platform for the ERP system, select an implementation partner through a competitive process and begin official implementation on July 1, 2022. Build a culture that will effectively communicate the sweeping changes in all finance and human resource operations across the UT System through proactive change management. Create measurable outcomes that reduce risk, duplication, and improve the security environment. Create a return on the investment by streamlining and automating workflow and increasing productivity. Maintain and adhere to a project budget and schedule.

### ADVANCE STATEWIDE PROCUREMENT

Launch a website for the newly created Tennessee Consortium for University Procurement (TCUP), a collaboration with the LGIs. This will allow all Tennessee universities to take advantage of maximum volume pricing and shared contracts.

## ENSURING WORKFORCE AND ADMINISTRATIVE EXCELLENCE

**SUPPORT SMALL AND  
UNDERREPRESENTED BUSINESSES**

Improve the University's spend with Diversity Business Enterprises (DBEs), including small businesses and businesses owned by minorities, women, persons with disabilities, and service-disabled veterans. UT System will promote communication about existing DBE contracts, get vendors eligible for DBE certification to pursue certification with the governor's office, and promote bid opportunities with DBEs.

**ENHANCE INFORMATION TECHNOLOGY  
(IT) SECURITY**

Continue to enhance system administration risk management and information security practices to protect system IT resources and respond to regulatory and compliance mandates. To the degree possible, utilize technology and automation to prevent threats and mitigate risk in enterprise systems.

**INCREASE EMPLOYEE  
SATISFACTION/ENGAGEMENT**

Building upon the 2021 employee engagement work, develop comprehensive strategies to increase employee satisfaction and engagement levels, which are reflected in ongoing engagement surveys.



## ADVOCATING FOR UT

### GAIN SUPPORT FOR LEGISLATIVE AND BUDGETARY REQUESTS

We will strive for 100% success in our budgetary requests for UT from the state. We will also work to achieve 100% of our legislative agenda around supporting military-affiliated students, codifying UT Southern, and securing changes to Tennessee's Name, Image, and Likeness law.

### STRENGTHEN BRAND AWARENESS AND REPUTATION ACROSS THE STATE

In 2022, we will work with campuses and institutes to strengthen brand awareness and reputation, ensure brand consistency and identify opportunities to further amplify stories of how UT is solving the state's grand challenges as identified in our 2022 integrated marketing communications plan. The team will create a plan for 2022 and work with campus and institute communicators to find additional opportunities to amplify messages that reinforce "Everywhere You Look, UT." We will also increase distribution of Our Tennessee magazine by 25% by expanding publication to four times a year to increase awareness of impact and connectivity across the UT System and add 15 additional murals in strategic locations across the state, with the ultimate goal of having a mural in all 95 counties by 2030.

### GROW PARTNERSHIPS TO ADDRESSING THE STATE'S GRAND CHALLENGES

Building on our success from 2021 and continuing our mission to serve the state, we will work with state agencies to secure at least five new partnership opportunities with state entities.

### EXPAND PRIVATE, PUBLIC, AND POLITICAL SUPPORT

The UT Foundation will continue to focus on increasing fundraising, alumni engagement, and advocacy. In 2022, we will raise \$275 million in private gifts, generate 68,500 donors, expand the number of engaged alumni to 245,000, and increase participation in the Advocacy Network to 8,200 members. The five-year goal is to generate a cumulative total of \$1.25 billion in gifts (2021-2025), with a target of reaching \$587 million by the end of 2022.

THE UNIVERSITY OF TENNESSEE  
**UT FOUNDATION**

Attachment 2

**President Boyd's overall performance during 2021 exceeded expectations:**

| # | Answer                   | %      | Count |
|---|--------------------------|--------|-------|
| 0 | Not Able to Assess (N/A) | 1.16%  | 1     |
| 1 | Strongly Disagree (1)    | 0.00%  | 0     |
| 2 | Disagree (2)             | 3.49%  | 3     |
| 3 | Agree (3)                | 18.60% | 16    |
| 4 | Strongly Agree (4)       | 76.74% | 66    |
|   | Total                    | 100%   | 86    |

| # | Field               | Minimum | Maximum | Mean | Std Deviation | Variance | Count |
|---|---------------------|---------|---------|------|---------------|----------|-------|
| 1 | Overall Performance | 0.00    | 4.00    | 3.70 | 0.65          | 0.42     | 86    |



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Consent Agenda**

Type: Action

Presenter: John C. Compton, Chair

### **Background Information**

Items on the Consent Agenda will not be presented or discussed in the Board meeting unless a Board member requests removal of an item from the Consent Agenda. In accordance with the Bylaws, before calling for a motion to approve the Consent Agenda, the Chair will ask if any member of the Committee requests that an item be removed from the Consent Agenda. The Bylaws provide that an item will not be removed from the Consent Agenda solely for the purpose of asking questions for clarification. Those questions should be presented to the President or the Secretary before the meeting.

### **Board Action**

If there are no requests to remove items on the Consent Agenda, the Chair will call for a motion to omit the reading of the minutes of the prior meetings and to approve the items on the Consent Agenda.



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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## MINUTES OF THE WINTER MEETING OF THE BOARD OF TRUSTEES February 25, 2022

The University of Tennessee Board of Trustees met at 11:00 a.m. (CST) on Friday, February 25, 2022. The meeting was held in the Gault Fine Arts Center (Art Gallery) on the UT Southern campus located in Pulaski, Tennessee.

Trustees Present: John C. Compton, Board Chair; Bradford D. Box; Lane Gutridge (Student Trustee); Charles Hatcher, Tennessee Commissioner of Agriculture; Decosta E. Jenkins; Shanea A. McKinney; Christopher L. Patterson; William (Bill) C. Rhodes III; Donald J. Smith; David N. Watson; and Jamie R. Woodson.

University Officers in Attendance:

President Randy Boyd; Cynthia Moore, Board Secretary and Special Counsel; Chancellor Steve Angle (UT Chattanooga); Chancellor Peter Buckley (UTHSC); Chancellor Keith Carver (UT Martin); Chancellor Mark LaBranche (UT Southern); and Chancellor Donde Plowman (UT Knoxville).

Ms. Moore announced the presence of a quorum. The meeting was webcast for the convenience of the University community, the general public, and the media.

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Board Chair Compton opened the meeting and welcomed Zach Moffatt, Senior Pastor at Pulaski First Methodist Church, who provided the invocation. Chair Compton acknowledged the historic importance of the establishment of UT Southern and expressed the Board's gratitude to Chancellor Mark La Branche, formerly President of Martin Methodist College, and others who were dedicated to advancing the mission of the institution and champions of the proposed acquisition.

Chair Compton introduced the new appointees to the Board – Shanea McKinney and David Watson. He indicated that their appointments are pending confirmation. Trustee McKinney has been appointed to serve as a member of the Education, Research, and Service Committee, and Trustee Watson has been appointed to serve as a member of the Finance and Administration Committee. Additionally, Chair Compton recognized the retirement and dedicated service of Crawford Gallimore, who served on the Board of Trustees for 10 years (2008-2018), including serving as the Chair of the Audit and Compliance Committee. Beginning in 2018, Mr. Gallimore continued to serve the Board of Trustees as an external member of the Audit and Compliance Committee.

## Appointment of Treasurer

David Miller presented candidate, Mr. Luke Lybrand, to serve as the next Treasurer of the University. Mr. Miller noted that Mr. Lybrand's unique qualifications, recommended compensation, and other terms of employment were included in the meeting materials and that his appointment as an officer of the University requires the Board's approval.

Upon motion duly made and seconded, the Board of Trustees unanimously approved *Resolution 004-2022* appointing Mr. Luke Lybrand as Treasurer.

## President's Update

President Boyd opened his remarks by thanking Chancellor Mark La Branche and the UT Southern team for their hospitality in hosting the Board of Trustees. He highlighted certain goals identified for calendar year 2022, under each of the five pillars of the UT Strategic Plan, focusing his comments on the following items:

### Enhancing Educational Excellence

- Grow and Enrich UT Promise
- Coordinate Undergraduate Admissions Across the UT System
- Expand UT's Education Footprint
- Increase and Improve UT's Online Education Offerings

### Expanding Research Capabilities

- Create a Statewide Mobility Innovation Collaborative
- Fully Fund UT-Oak Ridge Innovation Institute

### Fostering Outreach and Engagement

- Support UTHSC to Develop a Statewide Dental Health Clinic Network
- Increase the Impact of the Substance Misuse and Addiction Resource for Tennessee (SMART) Policy Network Across the State

### Ensuring Workforce and Administrative Excellence

- Lay the Foundation for the Enterprise Resource Planning Project

### Advocating for UT

- Gain Support for Legislative and Budgetary Requests
- Grow Partnerships to Address the State's Grand Challenges

(A full copy of the 2022 Objectives is set forth in Tab 3 of the meeting materials and filed with these minutes.)

President Boyd concluded his remarks by noting that the Governor's proposed budget is extraordinary in terms of financial support for the University and, if passed by the legislature, it will represent the best budget in the University's history. He also provided an update on recent officer appointments, along with the status of the search for the next leader of the UT Institute of Agriculture.

### **Committee Reports**

Audit and Compliance Committee. Chair Compton provided the Committee's report remarking that the Committee met during the prior week and approved the 2022 Internal Audit Plan and the 2022 Institutional Compliance Workplan. Chair Compton shared highlights as to the focus of each of these plans.

Education, Research, and Service Committee. Committee Chair Donnie Smith provided an overview of the items discussed at the meeting held earlier in the morning, including the action items that have been brought forward for the Board's approval set forth on the consent agenda. (A complete list of the approved items appears at the end of these minutes.)

Finance and Administration Committee. Committee Chair Bill Rhodes asked Mr. Miller to provide an overview of the key components of the Governor's proposed budget request for the University (in aggregate, approximately \$471 million). Committee Chair Rhodes then reviewed the items acted upon by the Committee and presented the following action items (in addition to those listed on the consent agenda) for the Board's consideration:

- Revised FY 2021-22 Operating Budget
- Additional Revenue/Institutionally Funded Capital Projects, FY 2022-23
- FY 2022-23 Tuition and Fees for UT Health Science Center

Upon motion duly made and seconded, the Board of Trustees approved *Resolutions 005-2022, 006-2022, and 007-2022* by unanimous roll call vote.

### **Campus Safety (Clery Act Compliance Update)**

Brian Daniels, Chief Audit and Compliance Officer, introduced Kelly Mihalik, Special Projects Analyst and UT System Clery Compliance Coordinator, who provided the annual campus safety review. The report covered the following topics: (i) an overview of the Clery Act and its requirements; (ii) a compliance update; (iii) recent efforts to streamline information and increase transparency; and (iv) a review of the 2022 system-wide initiatives.

Chair Compton emphasized that campus safety and security was an area where increased oversight by the Board was recommended by the Office of the Comptroller in the Sunset Audit. He encouraged all of the trustees to review the campuses Annual Security Reports.

### **Consent Agenda**

Chair Compton asked if there were any requests to remove an item from the agenda. There being none, upon motion duly made and seconded, the Board approved: (i) the Resolution to adopt the minutes of the prior meetings of the Board, and (ii) the Resolutions pertaining to the other action items included on the Consent Agenda. (A complete list of the approved items appears at the end of these minutes.)

### **Honorary Resolutions**

Chair Compton and President Boyd recognized the contributions and dedication of two University officers who were retiring – Dr. Steve Schwab (former Chancellor of UTHSC) and Mark Paganelli (University Treasurer). Dr. Schwab and Mr. Paganelli each expressed their gratitude for having had the opportunity to serve the University and for the Board’s recognition of their efforts.

Upon motion duly made and seconded, the Board of Trustees approved the proposed Honorary Resolutions (see *Resolutions H001-2022 and H002-2022*).

### **Closing Remarks**

Chair Compton expressed his gratitude to the Governor and to the legislature for their continued support of the University and pledged the University’s commitment to being a good steward of the investments being made to advance the University’s mission. He thanked President Boyd and the leadership team for their continued focus on (i) enrollment, (ii) retention/graduation, (iii) research growth, and (iv) conducting surveys of the faculty, students and staff regarding the culture and health of the entire organization. Chair Compton concluded his remarks by highlighting the upcoming rulemaking hearings (UT Southern) and the Executive Committee that will be held in advance of the Annual Meeting of the Board.

With no further business to come before the Board, the Chair adjourned the meeting.

Respectfully Submitted,



Cynthia C. Moore  
Secretary and Special Counsel

Approved Consent Agenda Items

- Minutes of the Prior Meetings
- Resolution Appointing a Managerial Group for U.S. Government Contracts
- Items from the Education, Research, and Service Committee
  1. Academic Program Modification, Bachelor of Mass Media and Strategic Communications (UT Martin)
  2. New Academic Program, Master of Science degree in Marketing (UT Knoxville)
  3. Grant of Tenure upon Initial Appointment
  4. Authorization Concerning Extension of the Probationary Period Due to COVID-19 Crisis (Board Policy – BT0006)
- Items from the Finance and Administration Committee
  1. Master Plan Housing and Campus Boundary Amendments (UT Knoxville)
  2. Acquisitions of Real Property (UT Southern)
    - 409 W. Flower Street, Pulaski, TN
    - 600 W. Madison Street, Pulaski, TN
  3. Amendment of Ground Lease Agreement and Grant of Easements – Housing Development (UTHSC)
  4. Grant of Easement to Tennessee Department of Transportation – ETREC Plant Science Unit (UTIA)
  5. School Naming (Tombras School of Advertising and Public Relations, UT Knoxville)

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# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Resolution Appointing a Managerial Group for U.S. Government Contracts**

Type: Action

### **Background Information**

The Department of Energy, Department of Defense, and other federal agencies with which the University has contracts impacting national security require the Board to appoint a Managerial Group and delegate to that group responsibility for negotiation, execution, and administration of U.S. government contracts. Only members of the Managerial Group will receive security clearance to access classified information related to these contracts.

A new Resolution is required to update the Managerial Group to include an Insider Threat Program Senior Official in accordance with Defense Counterintelligence & Security Agency guidance derived from 32 C.F.R. 117.

[Resolution in the Required Form is attached.]

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The University of Tennessee  
Board of Trustees

Resolution \_\_\_\_-2022

Appointment of the Managerial Group for Contracts between  
The University of Tennessee and the United States Government  
Pursuant to the National Industrial Security Program Operating Manual

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF TENNESSEE THAT:

1. Those persons occupying the following positions at The University of Tennessee shall be known as the Managerial Group, having the authority and responsibility for the negotiation, execution, and administration of U.S. Government contracts as described in the National Industrial Security Program Operating Manual:

President  
Chief Financial Officer  
General Counsel  
Vice President for Research, Outreach and Economic Development  
Chancellor, The University of Tennessee, Knoxville  
Vice Chancellor for Research, The University of Tennessee, Knoxville  
Executive Director, The University of Tennessee Space Institute  
Facility Security Officer  
Insider Threat Program Senior Official

2. The Chief Executive and the members of the Managerial Group are cleared, or will be processed for clearance, to the level of The University of Tennessee's facility clearance. If uncleared, pending issuance of the requested access authorization, such individual shall be excluded from all access and shall not participate in any decision or other matter pertaining to the protection of classified information and/or special nuclear material.
3. The above-named Managerial Group is hereby delegated all of the Board's duties and responsibilities pertaining to the protection of classified information and/or special nuclear material released to The University of Tennessee.
4. In the future, when any individual is appointed to the Managerial Group as an additional member or replacement member, such individual shall immediately be processed for an access authorization at the same level as The University of Tennessee's facility clearance. Pending issuance of this requested access authorization, such individual shall be excluded from all access and shall not participate in any decision or other matter pertaining to the protection of classified information and/or special nuclear material.

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5. The following named officers and members of the Board of Trustees of The University of Tennessee shall not require, shall not have, and can be effectively excluded from access to all classified information and/or special nuclear material released to The University of Tennessee and do not occupy positions that would enable them to affect adversely the policies or practices of The University of Tennessee's performance of classified contracts for the U.S. Government:

### **Officers**

| <u>Name</u>          | <u>Title</u>   |
|----------------------|--|
| Steve R. Angle       | Chancellor, University of Tennessee at Chattanooga   |
| William H. Byrd III  | Vice President, Institute for Public Service   |
| Keith S. Carver      | Chancellor, University of Tennessee at Martin  |
| Tiffany Carpenter    | Vice Chancellor for Communications and Marketing   |
| Carrie Castille      | Senior Vice Chancellor/Senior Vice President, University of Tennessee Institute of Agriculture                       |
| Brian J. Daniels     | Chief Audit and Compliance Officer   |
| Luke Lybrand         | Treasurer  |
| Linda C. Martin      | Vice President for Academic Affairs and Student Success and Interim Chancellor, The University of Tennessee Southern |
| Cynthia C. Moore     | Secretary and Special Counsel to the Board of Trustees   |
| Peter F. Buckley, MD | Chancellor, University of Tennessee Health Science Center  |
| Carey Whitworth      | Vice President for Government Relations and Advocacy   |
| Kerry W. Witcher     | Vice President for Development and Alumni Affairs and Programs   |

### **Members of the Board of Trustees**

| <u>Name</u>                 | <u>Title</u>               |
|-----------------------------|----------------------------|
| Bradford D. Box             | Trustee                    |
| John C. Compton             | Trustee                    |
| Hayden Galloway             | Student Trustee            |
| Decosta E. Jenkins          | Trustee                    |
| Shanea A. McKinney          | Trustee                    |
| Amy E. Miles                | Trustee                    |
| Christopher L. Patterson    | Trustee                    |
| William C. Rhodes III       | Trustee                    |
| Donald J. Smith             | Trustee                    |
| David N. Watson             | Trustee                    |
| Jamie R. Woodson            | Trustee                    |
| Commissioner of Agriculture | Ex Officio, Voting Trustee |

Effective this 1<sup>st</sup> day of July, 2022.



# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Items from the Education, Research, and Service Committee**

Type: Action

Education, Research, and Service Committee agenda items approved in the Committee (listed below) and coming forward to the full Board Consent Agenda are in the meeting book of the Committee and not repeated here.

- A. 2022 Institutional Mission Profile Statements
- B. Authorization for Conferral of Degrees, 2022-23 Academic Year
- C. Academic Program Modifications
  - 1) Master of Science in Industrial and Organizational Psychology, UTC
  - 2) Bachelor of Arts in Africana Studies, UTK
  - 3) Bachelor of Arts in Physics, UTK
  - 4) Master of Science in Engineering Management, UTK
  - 5) Bachelor of Science in Philosophy, UTM
  - 6) Bachelor of Arts in Fine and Performing Arts, UTM
- D. New Academic Programs
  - 1) Master of Health Science in Pathologists' Assistant, UTHSC
  - 2) Bachelor of Science in Public Health, UTK
  - 3) Bachelor of Science in Construction Management, UTM
- E. Tenure Recommendations Requiring Board Approval
  - 1) Grant of Tenure upon Initial Appointment
  - 2) Grant of Tenure upon Early Consideration
- F. Proposed Faculty Handbook Revisions, UTC

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# THE UNIVERSITY OF TENNESSEE BOARD OF TRUSTEES

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## AGENDA ITEM SUMMARY

Meeting Date: June 24, 2022

Item: **Items from the Finance and Administration Committee**

Type: Action

Finance and Administration Committee agenda items approved in the Committee (listed below) and coming forward to the full Board Consent Agenda are in the meeting book of the Committee and not repeated here.

- A. Redefining the Future of Neyland Stadium (UTK)
- B. Master Plan (UTM)
- C. Acquisition of 888 East Third Street, Chattanooga, TN (UTC)
- D. Acquisitions of Properties at 417 West Flower Street and 635 West Madison Street, Pulaski, TN (UTS)
- E. Alcoa Highway/UT Lifestar Road Easements (UTK)
- F. Sale or Transfer of Gift Property Not Held for Institutional Use (UTIA/UTM)
- G. Ratification of Quasi-Endowments Created during FY 2021-22
- H. Procedures Governing Compensation Increases during FY 2022-23

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